

# Function alternations of the Mandarin particle *dou*: Distributor, free choice licenser, and ‘even’

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**Abstract** Semantic variations of logical particles should be either non-existent or very limited, otherwise the logical system of the universal grammar would be too complex to acquire. Nevertheless, a number of functional particles possess various logical uses. Take the Mandarin particle *dou* for example. Varying by the item associated with and the prosodic pattern of the environment appearing in, *dou* can trigger a distributivity effect, license a pre-verbal free choice item, or evoke an *even*-like inference. To maintain the simplicity of the universal grammar, it is crucial to figure out which function or functions are primary, what parameters are responsible for the alternations of the logical functions, and how these alternations are conditioned.

In this paper, I argue that the seemingly unrelated functions of *dou* share the same source: *dou* is a pre-exhaustification exhaustifier operating on sub-alternatives. Uniformly, *dou* affirms the truth of its propositional prejacent, negates the exhaustification of each sub-alternative, and presupposes the existence of at least one sub-alternative. Function alternations result from minimal weakening operations on the semantics of sub-alternatives. In particular, sub-alternatives are primarily weaker alternatives, and thus the presupposition of *dou* yields a distributivity effect. Further, when the semantics of sub-alternatives is weakened under particular syntactic or prosodic conditions, *dou* gains its other logical functions.

## 1. Introduction

The Mandarin particle *dou* is known for its function diversity. As a rough classification, *dou* can be used as a quantifier-distributor, a free choice item (FCI)-licenser, and a scalar additive operator. This paper presents a uniform semantics of *dou* to capture its seemingly diverse functions. I propose that *dou* is a special exhaustifier with a pre-exhaustification effect. The basic idea of my proposal is as follows. For a *dou*-sentence of the form “*dou*( $S_A$ )” where  $S$  is the prejacent clause and  $A$  is the associate of *dou*, its meaning is roughly ‘ $S_A$  and not only  $S_{A'}$ ’ where  $A'$  is what I call a “sub-alternative” of  $A$ , which can be a proper subpart of  $A$ , a weak scale-mate of  $A$ , or a disjunction of  $A$ , and so on. For example, “John and Mary *dou* came” means that John and Mary came, not only John came, and not only Mary came; “it’s *dou* five o’clock” means that it’s five o’clock, not just four o’clock, not just three o’clock, .... I will argue that function alternations of *dou* come from the variations on what counts a sub-alternative.

The function diversity of *dou* raises two fundamental questions for the semantics of natural languages: what is the underlying logical system of the universal grammar (UG), and how is it developed? The underlying logical system of UG is the core system of the semantics of human languages. It is made up of connectives (such as negation, conjunction, disjunction, conditional), quantifiers, and so on. This system should be simple and consistent, otherwise we wouldn’t have been able to acquire it so easily (Chierchia 2016). Nevertheless, cross-linguistically, many functional particles possess various basic functions. As Gil (2013) reports, 67% of world’s languages possess such multi-functional particles. Typical examples include Mandarin particles *dou* and *ye*, and

Japanese particles *ka* and *mo*,<sup>1</sup> and so on. For each of these particles, its diverse functions should have primarily the same source, otherwise the logical system of UG would be unrecognizable. The alternations of the functions should be triggered by minimal variations, otherwise function diversity would not be cross-linguistic. The Mandarin particle *dou*, with a long history for at least 1800 years (Gu 2015), is an excellent case to study the development of the logical system in UG.

The rest of this paper is organized as follows. Section 2 describes the three basic uses of *dou*, including the quantifier-distributor use, the FCI-licenser use, and the scalar additive operator use. Section 3 discusses the advantages and problems of two representative approaches to the semantics of *dou*, including the distributor approach (Lin 1998) and the maximizer approach (Giannakidou and Cheng 2006; Ming Xiang 2008). Section 4 starts with Alternative Semantics and the meaning of the canonical exhaustifier *only*. Then it outlines a preliminary treatment for the semantics of *dou* in parallel to that of *only*. Section 5 derives the three basic uses of *dou* and explains the relevant semantic effects. Section 6 discusses the alternations of the functions of *dou*. Appendix 1 reviews a competing approach suggested by reviewers, which contributes the derivation of FC to recursive exhaustifications. Appendix 2 reviews the analyses by Liao (2011) and Liu (2016b,c, 2018), which also implement Alternative Semantics and exhaustification.

## 2. Describing the uses of *dou*

### 2.1. Quantifier-distributor

In a basic declarative sentence, *dou* is associated with a preceding nominal expression and universally distributes over the subparts of the denotation of its associate, as exemplified in (1). This use of *dou* is similar to the post-nominal use of the English particle *all*. Here and throughout this paper, the associate of *dou* is enclosed in “[•]”.

- (1) a. [Tamen] **dou** dao -le.  
       they     DOU arrive -ASP  
       ‘They all arrived.’
- b. [Tamen] **dou** ba naxie wenti da dui -le.  
       they     DOU BA those question answer correct -ASP  
       ‘They all correctly answered these questions.’
- c. Tamen ba [naxie wenti] **dou** da dui -le.  
       they    BA those question DOU answer correct -ASP  
       ‘They correctly answered all of these questions.’

Under the quantifier-distributor use, *dou* brings up three semantic consequences in addition to universal quantification, namely, a “maximality requirement,” a “distributivity requirement,” and a “plurality requirement.” Names of these requirements are quoted because they are used in a descriptive manner. Later, I will argue that both of the latter two requirements are illusions. The “maximality requirement” means that the presence of *dou* forces the predicate denoted by the

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<sup>1</sup>There is a rich literature on the semantics of Japanese particles *ka* and *mo*. Representative works in contemporary semantics include: Kratzer and Shimoyama (2002); Mitrović (2014); Slade (2011); Szabolcsi (2010, 2015); Mitrovic and Sauerland (2014), among others.

remnant VP to be applied to the maximal element in the extension of *dou*'s associate (Ming Xiang 2008). For instance, in a discourse that a large group of children, with one or two exceptions, went to the park, the sentence in (2) is acceptable only when *dou* is absent.

- (2) [Haizimen] (#**dou**) qu -le gongyuan.  
 children DOU go -PERF park  
 'The children (#all) went to the park.'

The “distributivity requirement” says that if a sentence admits both collective and (atomic or non-atomic) distributive readings, then adding *dou* to this sentence blocks the collective reading (Lin 1998). For instance, the presence of *dou* in (3) is infelicitous if the considered individuals all together participated in only one house-buying event.

- (3) (Scenario: *The considered individuals all together bought only one house.*)  
 [Tamen] (#**dou**) mai -le fangzi.  
 they DOU buy -PERF house  
 'They (#all) bought house(s).'

The “plurality requirement” says that the associate of *dou*, overt or covert, must be non-atomic. If the preajacent clause of *dou* does not contain an overt non-atomic nominal item, *dou* has to be associated with a covert non-atomic item. For example, in (4), since the overt part of the preajacent clause has no non-atomic item, *dou* is associated with a covert item such as *mei-ci* ‘every time.’<sup>2</sup>

- (4) Yuehan [(mei-ci)] **dou** qu de Beijing.  
 John every-time DOU go DE Beijing  
 'Every time, the place that John went to was Beijing.'

## 2.2. FCI-licenser

As a well-known fact, in Mandarin, pre-verbal *wh*-expressions and *renhe* ‘any’-expressions can function as universal ( $\forall$ -)FCIs when preceding the particle *dou*, as exemplified in (5).

- (5) [Shui/ Na-ge-ren/ Renhe-ren] \*(**dou**) keyi jiao jichu hanyu.  
 who/ which-CL-person/ any-person DOU can teach introductory Chinese  
 'Anyone can teach Intro Chinese.'

More interestingly, in Yimei Xiang (2016b), I observe that associating *dou* with a pre-verbal disjunction also evokes a  $\forall$ -FC inference, as shown in (6a). Here, while the preajacent clause is a disjunction, associating the pre-verbal disjunction with *dou* yields a conjunctive inference.<sup>3</sup>

<sup>2</sup>One might find it appealing to interpret *dou* in (4) as ‘only’ and associate it with *Beijing*, paraphrasing the sentence as ‘for all the times, John only went to Beijing.’ However, the following example excludes this possibility: the covert *mei-ci* ‘every time’ appears under the predicate *xiang* ‘want’, forcing *dou* to appear within the embedded clause.

- (i) Wo (\***dou**) xiang [(mei-ci)] (**dou**) qu Beijing.  
 I DOU want every-time DOU go Beijing.  
 Intended: ‘I want it to be the case that I go to Beijing every time.’

<sup>3</sup>My own intuition doesn’t accept a  $\forall$ -FC reading for the without-*dou* sentence (6a). But, in an informal survey,

- (6) a. [Yuehan huozhe Mali] keyi jiao jichu hanyu.  
 John or Mary can teach introductory Chinese  
 ‘Either John or Mary can teach Intro Chinese.’
- b. [Yuehan huozhe Mali] **dou** keyi jiao jichu hanyu.  
 John or Mary **dou** can teach introductory Chinese  
 Intended: ‘Both John and Mary can teach Intro Chinese.’

### 2.3. Scalar marker

There are two types of structures where *dou* functions as a scalar additive operator: one is the [*lian* Foc *dou* ...] construction where *dou* is associated with *lian*+Foc, and the other is where *dou* is associated with an in-situ focused scalar item.

First, the [*lian* Foc *dou* ...] construction evokes an *even*-like inference, namely, it implies that the preajacent proposition is less likely than at least some of its contextually relevant alternatives, as exemplified in (7).<sup>4</sup> In the [*lian* Foc *dou* ...] construction, the presence of *lian* is optional, but the associate of *dou* must be stressed.<sup>5</sup>

- (7) (Lian) [DUIZHANG]<sub>F</sub> **dou** chi dao -le.  
 LIAN team-leader DOU late arrive -ASP  
 ‘Even [the team leader]<sub>F</sub> arrived late.’  
 ↗ *The team leader is less likely to arrive late (than a regular team member).*

In particular, an indefinite phrase of the form “one-CL-NP” can be licensed as a minimizer at the focal position of a [*lian* Foc *dou* NEG ...] construction, as shown in (8a). Interestingly, as C.-T. James

judgments from 52 Mandarin native speakers were divergent: 22 speakers accepted only the simple disjunction reading, 24 accepted only the FC reading, and the rest 6 accepted both readings but their preferences were divergent. Crucially, 4 out of the 6 speakers who accepted both readings reported that they got the FC reading if unconsciously inserting a silent *dou* into the sentence, and that they got the simple disjunction reading if consciously avoiding doing so. Hence, there seems to be two types of speakers: “disjunction speakers” and “FC speakers”. FC speakers read sentences like (6a) with a covert *dou*. While disjunction speakers resist a covert *dou*, due to probably the economy principle that a language-specific operator shall not be used covertly if it can be used overtly (Chierchia 1998).

<sup>4</sup>↗ *p* means that the Mandarin sentence implies the inference *p*. Here and throughout the paper, stressed items are capitalized, and focused items are marked with a subscript ‘<sub>F</sub>’.

<sup>5</sup>In many cases, a non-subject associate of *dou* can also be left in-situ, as exemplified in the following:

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|--|--|
| <p>(i) a. Ta (lian) [NANJI]<sub>F</sub> <b>dou</b> qu -guo -le.<br/>         he LIAN Antarctica DOU go -EXP -ASP</p> <p>b. Ta <b>dou</b> qu -guo [NANJI]<sub>F</sub> -le.<br/>         he DOU go -EXP Antarctica -ASP<br/>         ‘He even has been to Antarctica.’</p> | <p>(ii) a. Ta (lian) [XIAOXUE]<sub>F</sub> <b>dou</b> mei shang -guo.<br/>         he LIAN primary-school DOU NEG go -EXP</p> <p>b. Ta <b>dou</b> mei shang -guo [XIAOXUE]<sub>F</sub>.<br/>         he DOU NEG go -EXP primary-school<br/>         ‘He hasn’t even been to primary school.’</p> |
|--|--|

But, there are still quite a few exceptions, which seem to be conditioned by the aspectual class of the sentence: to place the focused associate of *dou* in the in-situ position, the preajacent of *dou* has to express an accomplishment. Since the aspectual system of Mandarin is very complex, I will not dive into this puzzle in this paper.

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| <p>(iii) a. Ta (lian) [ZHUXI]<sub>F</sub> <b>dou</b> renshi.<br/>         he LIAN chair DOU know<br/>         ‘He even knows the chair.’</p> <p>b. *Ta <b>dou</b> renshi [ZHUXI]<sub>F</sub>.<br/>         he DOU know chair</p> | <p>(iv) a. Ta (lian) [NANJI]<sub>F</sub> <b>dou</b> qu -guo (-le).<br/>         he LIAN Antarctica DOU go -EXP -ASP<br/>         ‘He even has been to Antarctica.’</p> <p>b. Ta <b>dou</b> qu -guo [NANJI]<sub>F</sub> *(-le).<br/>         he DOU go -EXP Antarctica -ASP</p> |
|--|--|

Huang (pers. comm.) points out, the post-*dou* negation is sometimes optional, as seen in (8b). In the presence of negation, (8b) means that John doesn't want any money; in the absence of negation, (8b) means that John is very greedy and wants to take any money regardless of how little amount that is.

- (8) a. Yuehan (lian) [YI<sub>F</sub>-ge ren] \*(**dou**) \*(mei) qing.  
 John LIAN one-CL person DOU NEG invite  
 'John didn't invite even one person.'
- b. Yuehan (lian) [YI<sub>F</sub>-fen qian] \*(**dou**) (mei) yao.  
 John LIAN one-cent money DOU NEG request  
 With negation: 'John doesn't want even one cent.'  
 Without negation: 'Even if it is just one cent, John wants it.'

Second, *dou* can also be associated with an in-situ scalar item. In this case, the presence of *dou* implies that its preadjacent proposition ranks relatively high with respect to the contextually relevant measurement. For example, in (9a), *dou* is associated with the numeral phrase *WU-dian* 'five o'clock', and the alternatives are ranked in chronological order. When *dou* takes this use, its associate can stay in-situ but must be focus-marked with stress.<sup>6</sup>

- (9) a. **Dou** [WU<sub>F</sub>-dian] -le.                      b. Ta **dou** yijing lai -guo zher [LIANG<sub>F</sub>-ci] -le.  
 DOU five-o'clock -ASP                      he DOU already come -EXP here two-time -ASP.  
 'It is five o'clock.'                      'He has already been here twice.'  
 ~> *It's too late.*                      ~> *Being here twice is quite a lot (for him).*

## 2.4. Disambiguation

If a sentence has multiple items that are eligible to be associated with *dou*, the function of *dou* and the association relation can be disambiguated by stress. Compare the following three sentences with different prosody forms:

- (10) a. [Tamen] **DOU/dou** lai -guo liang-ci -le.  
 they DOU/DOU come -EXP two-time -ASP  
 'They ALL have been here twice.'
- b. (Lian) [TAMEN]<sub>F</sub> **dou** lai -guo liang-ci -le.  
 LIAN they DOU come -EXP two-time -ASP  
 'Even THEY have been here twice.'  
 ~> *Compared with some other people, they are less likely to come here twice.*

<sup>6</sup>Note that the scalar additive operator use of *dou* in (9) is different from the non-scalar use in the following sentences, where *dou* is associated with the main verb, which is clearly non-scalar.

- (i) a. Yuehan **dou** [LAI]<sub>F</sub> -guo zher yi-ci -le.                      b. Yu **dou** [TING]<sub>F</sub> -le.  
 John DOU come -EXP here one-CL -ASP                      rain DOU stop -ASP  
 'John has been here once.'                      'The rain has stopped.'

Intuitively, here *dou* suggests a contrast between the status where a change has taken place (such as the status where John has been here, or it starts raining) and the status where this changed hasn't taken place (such as the status where John hasn't been here, or the rain hasn't started yet). So far, I don't have a full story on this use of *dou*.

- c. Tamen **dou** lai -guo [LIANG<sub>F</sub>-ci] -le.  
 they DOU come -EXP two-time -ASP  
 ‘They’ve even been here TWICE.’  
 ↪ *Being here twice is a lot for them.*

In (10a), where the preajcent of *dou* has no stressed item, *dou* functions as a quantifier-distributor and is associated with the preceding plural term *tamen* ‘they’. While in (10b-c), *dou* functions as a scalar additive operator and is associated with the stressed item.

### 3. Previous studies

There are numerous studies on the syntax and semantics of *dou*. Earlier approaches treat *dou* as an adverb with universal quantification power (Ma 1983; Lee 1986; Cheng 1995; Pan 2006; Jiang 1998; among many others). Huang (1996) and Yuan (2005) treat *dou* as a sum operator operating on the event variable. Portner (2002) analyzes the scalar additive operator use of *dou* in a way similar to the inherent scalar semantics of the English focus sensitive particle *even*. Liao (2011) and Liu (2016b,c, 2018) also define *dou* as *even*, and derive the distributor use of *dou* based on a universal scalar presupposition. Hole (2004) treats *dou* as a universal quantifier over the domain of alternatives. This section will review two representative views on the semantics of *dou*, including the distributor approach by Lin (1998) and the maximizer approach by Giannakidou and Cheng (2006) and Ming Xiang (2008). Reviews on Liao (2011) and Liu (2016b,c, 2018) are postponed to Appendix II since they involve technicalities to be introduced in later sections.

#### 3.1. The distributor approach

Lin (1998) provides the first extensive treatment of the semantics of *dou*. He treats *dou* as an overt counterpart of the generalized distributor PART in the sense of Schwarzschild (1996), as defined in (11), where  $x$  stands for the associate of *dou* and  $P$  for the predicate that *dou* combines with.

- (11) **Semantics of *dou*** (Lin 1998)  
 “ $x$  *dou*  $P$ ” is true iff  $\text{PART}_C(P, x) = 1$ ,  
 iff  $\forall y \in C[y \leq x \rightarrow P(y)]$  where  $C$  is a cover of  $x$ .

The PART-operator distributes over the cover of the associated item. A cover of an entity  $X$  is a set of atomic or non-atomic subparts of  $X$ , as defined in (12). The value of a cover is determined by both linguistic and non-linguistic factors.

- (12)  $C$  is a **cover** of  $X$  (formalized as ‘ $\text{Cov}(C, X) = 1$ ’) iff  
 a.  $C$  is a set of subparts of  $X$ ;  
 b. every subpart of  $X$  belongs to some member in  $C$ .

When a cover consists of only atomic elements, PART distributes down to atoms, yielding an atomic distributive reading. When a cover is singleton, distributivity is trivial, and applying PART returns a collective reading. In other cases, applying PART gives rise to a non-atomic distributive reading. For example, if the cover of  $a \oplus b \oplus c$  is  $\{a \oplus b, c\}$ , ‘*abc dou* bought houses’ means that *ab* together bought a house and *c* alone bought a house.



the maximal plural element in this cover, and (ii) it presupposes the existence of this maximal plural element. Since their original papers don't have a formal definition, I schematize this idea as follows:

- (16) **Semantics of *dou*** (based on Giannakidou and Cheng 2006 and Ming Xiang 2008)  
 Let  $Cov(C, x) = 1$ , then  $\llbracket dou \rrbracket(x) = |C| > 1 \wedge \exists y \in C[\neg \text{ATOM}(y) \wedge \forall z \in C[z \leq y]]$ .  
 $\iota y \in C[\neg \text{ATOM}(y) \wedge \forall z \in C[z \leq y]]$   
 ( $\llbracket dou \rrbracket(x)$  is defined only if the cover of  $x$  is non-singleton and has a unique non-atomic maximal element; when defined, the reference of  $\llbracket dou \rrbracket(x)$  is this maximal element.)

The maximizer approach of *dou* is similar to the standard treatment of the definite determiner *the* (Sharvy 1980, Link 1983): *the* picks out the unique maximal element in the extension of its NP-complement and presupposes the existence of this maximal element.

- (17)  $\llbracket the \rrbracket(P_{\langle \alpha, t \rangle}) = \exists x_\alpha[x \in P \wedge \forall y \in P[y \leq x]]$ .  $\iota x_\alpha[x \in P \wedge \forall y \in P[y \leq x]]$   
 ( $\llbracket the \rrbracket(P_{\langle \alpha, t \rangle})$  is defined only if there is a unique maximal object  $x$  such that  $P(x)$  is true; when defined, the reference of  $\llbracket the \rrbracket(P_{\langle \alpha, t \rangle})$  is this maximal element.)

On the upside, the maximizer approach predicts the maximality requirement, and it can extend to the scalar use of *dou* (see Ming Xiang 2008). But, this approach is problematic in two respects. First, it predicts no distributivity effect at all. Under this approach, a *dou*-sentence “[ $x$ ] **dou** did  $f$ ” only asserts that the maximal element in the cover of  $x$  did  $f$ , not that each element in the cover of  $x$  did  $f$ . For instance in (14), if the cover of *tamen* ‘they’ is  $\{a \oplus b, a \oplus b \oplus c\}$ , then predicted assertion would be ‘ $a \oplus b \oplus c$  bought houses,’ which says nothing as to whether  $a \oplus b$  bought houses. Second, the plurality requirement comes as a stipulation on the presupposition of *dou*: *dou* presupposes that the selected maximal element is non-atomic. It is unclear why this is so, because the definite article *the* does not trigger such a plural presupposition. Moreover, as we will see in section 5.1.2, the so-called “plurality requirement” is illusive. This plural presupposition is neither sufficient nor necessary for accounting for the relevant facts.

#### 4. Defining *dou* as a special exhaustifier

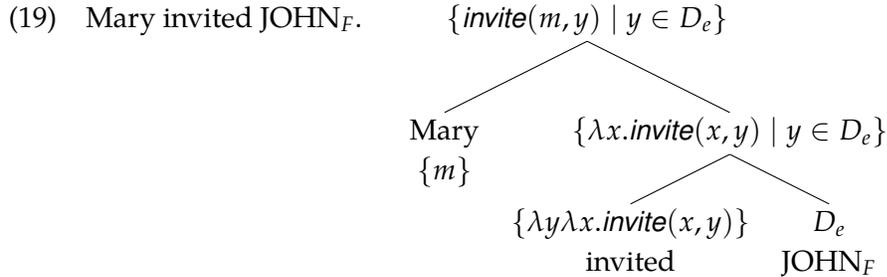
This section will start with Alternative Semantics and the meaning of the canonical F-sensitive exhaustifier *only*, and then will define the particle *dou* as a special exhaustifier in parallel to *only*.

##### 4.1. Alternative Semantics

Rooth (1985) assumes that a meaningful linguistic expression  $\alpha$  is associated with a set of focus (F-)alternatives ‘F-ALT( $\alpha$ )’ (also called the focus value of  $\alpha$  and written as ‘ $\llbracket \alpha \rrbracket_F$ ’). F-alternatives of a simple expression are derived by replacing the focused item with meanings of the same semantic type, as in (18a). The F-alternative set grows point-wise (Hamblin 1973, Rooth 1992), as in (18b).

- (18) **F-alternatives**
- a. For any lexical entry  $\alpha$ ,  $\text{F-ALT}(\alpha) = \begin{cases} D_{\text{type}(\llbracket \alpha \rrbracket)} & \text{if } \alpha \text{ is focused} \\ \{\llbracket \alpha \rrbracket\} & \text{otherwise} \end{cases}$
  - b.  $\text{F-ALT}(\beta(\alpha)) = \{b(a) \mid b \in \text{F-ALT}(\beta), a \in \text{F-ALT}(\alpha)\}$

The following tree diagram illustrates the composition of F-alternatives. This structure is annotated with the set of F-alternatives at every node.



Focus placement itself doesn't affect truth conditions. For example, in responding to the question in (20), stressing *Mary* makes the answer infelicitous but not false. However, when associated with the exclusive particle *only*, focus placement can affect the truth conditions, as seen in (21).

- (20) Who did Mary invite?  
 a. Mary invited JOHN<sub>F</sub>.  
 b. # MARY<sub>F</sub> invited John.
- (21) a. John *only* introduced BILL<sub>F</sub> to Sue.  $\rightsquigarrow$  John didn't introduce anyone to Sue except Bill.  
 b. John *only* introduced Bill to SUE<sub>F</sub>.  $\rightsquigarrow$  John didn't introduce Bill to anyone except Sue.

We call *only* a F-sensitive operator (Jackendoff 1972). Prototypical F-sensitive operators also include exclusive particles such as *merely*, *just*, *exclusively*, and additive particles such as *also*, *even*, *additionally*, *too*. Rooth (1992, 1996) captures the F-sensitivity effect of *only* through a condition that constrains the domain of *only*: for a sentence of the form "*only*<sub>C</sub>(S)" where C is a context-dependent domain variable and S is the prejacent clause,  $\llbracket only_C(S) \rrbracket$  is defined only if  $C \subseteq F-ALT(S)$ .<sup>9</sup> More generally:

(22) **Focus Condition**

For any F-sensitive operator  $\Theta$  quantifying over a domain C and combining with a focus-containing expression  $\delta$ ,  $\llbracket \Theta_C(\delta) \rrbracket$  is defined only if  $C \subseteq F-ALT(\delta)$ .

In addition to F-alternatives, subsequent works of Alternative Semantics discuss another two types of alternatives, namely, scalar ( $\sigma$ -)alternatives of scalar items (Sauerland 2004) and domain (D-)alternatives of disjunctions or quantifiers (Kratzer and Shimoyama 2002; Sauerland 2004; Katzir 2007). Following Rooth's idea that F-alternatives are activated by a grammatical feature [+F], Chierchia (2006, 2013) assumes that  $\sigma$ - and D-alternatives are activated by the  $[\sigma]$  and [+D] feature, respectively.  $\sigma$ -alternatives are derived by replacing the scalar item with meanings belonging to

<sup>9</sup>Strictly speaking, F-sensitive operators cannot access F-alternatives directly. Instead, Rooth (1992) assumes that *only* makes reference to F-alternatives indirectly through a focus interpretation operator, written as ' $\sim$ '. As illustrated in (i), the  $\sim$ -operator first combines with a contextually determined F-domain variable C and then with the prejacent of *only*, presupposing that C denotes a subset of the F-alternative set F-ALT(S). Further, Rooth (1992, 1996) assumes that *only* directly combines with a quantification domain variable, and that this quantification domain variable is co-indexed with the F-domain variable. In consequence, the quantification domain of *only* is restricted to a set of contextually relevant F-alternatives of the prejacent sentence.

(i)  $only(C_1) [\sim C_1 [S \dots X_F \dots]]$   $\llbracket \sim C_1(S) \rrbracket = \llbracket S \rrbracket$ , defined only if  $\llbracket C_1 \rrbracket \subseteq F-ALT(S)$

the same scale, as in (23b). D-alternatives of a disjunctive include the disjunctive itself (which is interpreted as *join*) and functions to its disjuncts, as in (24). As for quantifiers, Chierchia (2013: pp. 138) assumes that a quantificational determiner carries a syntactic domain variable  $D$ , interpreted via an assignment function as  $g(D)$ , and that the D-alternatives are derived by assigning this variable a value that is a subset of  $g(D)$ , as in (25). The same as F-alternatives,  $\sigma$ -alternatives and D-alternatives grow point-wise.

(23) For any basic expression  $\alpha$ :

$$\begin{aligned} \text{a. } \text{F-ALT}(\alpha) &= \begin{cases} D_{\text{type}(\llbracket \alpha \rrbracket)} & \text{if } \alpha \text{ carries a } [+F] \text{ feature} \\ \{\llbracket \alpha \rrbracket\} & \text{otherwise} \end{cases} \\ \text{b. } \sigma\text{-ALT}(\alpha) &= \begin{cases} \{\llbracket \alpha_1 \rrbracket, \dots, \llbracket \alpha_n \rrbracket\} & \text{if } \alpha \text{ carries a } [\sigma] \text{ feature and } \llbracket \alpha \rrbracket \text{ is part of a scale } \langle \llbracket \alpha_1 \rrbracket, \dots, \llbracket \alpha_n \rrbracket \rangle \\ \{\llbracket \alpha \rrbracket\} & \text{otherwise} \end{cases} \end{aligned}$$

(24) For the disjunctive connective *or*:

$$\begin{aligned} \text{a. } \text{D-ALT}(\text{or}_{[+D]}) &= \{\lambda b \lambda a. a \sqcup b, \lambda b \lambda a. a, \lambda b \lambda a. b\} \\ \text{b. } \text{D-ALT}(\text{or}_{[-D]}) &= \{\lambda b \lambda a. a \sqcup b\} \end{aligned}$$

(25) If  $\alpha_D$  is a quantifier with a syntactic domain variable  $D$ :

$$\text{D-ALT}(\alpha_D) = \begin{cases} \{\llbracket \alpha_D \rrbracket^{g[D \rightarrow D']} \mid D' \subseteq g(D)\} & \text{if } \alpha \text{ carries a } [+D] \text{ feature} \\ \{\llbracket \alpha_D \rrbracket^g\} & \text{otherwise} \end{cases}$$

For illustration, the following lists the features and activated alternatives of a proper name disjunction. The plain value of this disjunction is interpreted as the join of two Montagovian individuals.<sup>10</sup> The  $[+F]$  feature is assigned to the entire disjunction, while the  $[+\sigma]$  and  $[+D]$  features are assigned to the disjunctive *or*.

$$\begin{aligned} (26) \quad \text{a. } \llbracket \text{Andy or Billy} \rrbracket &= a^\uparrow \sqcup b^\uparrow = \lambda P. P(a) \vee P(b) \\ \text{b. } \text{F-ALT}(\llbracket \text{Andy or Billy} \rrbracket_{[+F]}) &= D_{\langle et, t \rangle} \end{aligned}$$

<sup>10</sup>Disjunctive is interpreted as the join operator ' $\sqcup$ ', which must be applied to meanings of the same conjoinable type. The conjunctive *and* is treated as meet ' $\sqcap$ ', defined analogously.

(i) **Join** (Partee and Rooth 1983, Groenendijk and Stokhof 1989)

$$A \sqcup B =_{\text{df}} \begin{cases} A \vee B & \text{if } A \text{ and } B \text{ are of type } t \\ \lambda x_\tau [A(x) \sqcup B(x)] & \text{if } A \text{ and } B \text{ of a relational conjoinable type } \langle \tau, \sigma \rangle \\ \text{undefined} & \text{otherwise} \end{cases}$$

Since entities are not of a conjoinable type, to be conjoined with join, they have to be first type-shifted into generalized quantifiers of a conjoinable type  $\langle et, t \rangle$  via Montague-lift.

(ii) **Montague lift**

For any meaning  $\alpha$  of type  $\tau$ , the Montague-lifted meaning is of type  $\langle \langle \tau, t \rangle, t \rangle$  as follows:  $\alpha^\uparrow = \lambda m_{\langle \tau, t \rangle}. m(\alpha)$

A step-by-step computation of (26a) is as follows:

$$\begin{aligned} \text{(iii) } \llbracket \text{Andy or Billy} \rrbracket &= a^\uparrow \sqcup b^\uparrow \\ &= (\lambda P'. P'(a)) \sqcap (\lambda P'. P'(b)) \\ &= \lambda P [(\lambda P'. P'(a))(P) \sqcap (\lambda P'. P'(b))(P)] \\ &= \lambda P. P(a) \sqcup P(b) \\ &= \lambda P. P(a) \vee P(b) \end{aligned}$$

- c.  $\sigma\text{-ALT}([\text{Andy or}_{[+\sigma, +D]} \text{Billy}]) = \{a^\uparrow \sqcup b^\uparrow, a^\uparrow \sqcap b^\uparrow\} = \{\lambda P.P(a) \vee P(b), \lambda P.P(a) \wedge P(b)\}$   
d.  $D\text{-ALT}([\text{Andy or}_{[+\sigma, +D]} \text{Billy}]) = \{a^\uparrow \sqcup b^\uparrow, a^\uparrow, b^\uparrow\} = \{\lambda P.P(a) \vee P(b), \lambda P.P(a), \lambda P.P(b)\}$

I extend Focus Condition to a more general condition as follows:

(27) **Domain restriction condition**

For any operator  $\Theta$  quantifying over a domain  $C$  and combining with an expression  $\delta$ , if  $\Theta$  agrees with an alternative-activating feature  $[+x]$ ,  $\llbracket \Theta_C(\delta) \rrbracket$  is defined only if  $C \subseteq x\text{-ALT}(\delta)$ .

## 4.2. Defining *only*

It is standardly assumed that *only* presupposes the truth of its prejacent proposition and asserts an exhaustivity inference (Horn 1969), as exemplified in (28).

- (28) Mary *only* invited JOHN<sub>F</sub>.  
a.  $\rightsquigarrow$  *Mary invited John.* Prejacent presupposition  
b.  $\rightsquigarrow$  *Mary didn't invite anyone other than John.* Exhaustivity inference

The exhaustivity inference is derived by negating all the contextually relevant F-alternatives of the prejacent clause that are excludable, as formalized in (29). Standardly, an alternative is excludable iff it is not entailed by the prejacent, as in (30).

- (29) **The meaning of *only*** (To be revised in (32))  
 $\llbracket \text{only}_C \rrbracket = \lambda p \lambda w : p(w) = 1. \forall q \in \text{EXCL}(p, C) [p \not\subseteq q \rightarrow q(w) = 0]$   
(30) **Excludable (excl-)alternatives** (Standard)  
 $\text{EXCL}(p, C) = \{q \mid p \not\subseteq q \wedge q \in C\}$

Note that the definition of F-alternatives in (18) doesn't require F-alternatives to be contextually relevant, while the exhaustivity inference of *only* is only concerned with contextually relevant meanings. Hence, alternatives negated by *only* are chosen out of the domain  $C$ , a set of contextually relevant F-alternatives, not out of the full set of F-alternatives.

In addition to the above inferences, I argue that *only* also triggers a non-vacuity presupposition, which requires the existence of an excludable (excl-)alternative. Consider (31) for illustration:

- (31) Which of John and Mary will you invite?  
a. Only JOHN<sub>F</sub>, (not Mary / not both).  
b. # Only BOTH<sub>F</sub>.  
c. BOTH<sub>F</sub>.

The *which*-question restricts the domain of *only* to the following set:  $C = \{\phi_j, \phi_m, \phi_{j \oplus m}\}$  where  $\phi_x = I \text{ will invite } x$ . The response in (31b) is infelicitous because the propositional argument of *only*, namely  $\phi_{j \oplus m}$ , is the strongest proposition in  $C$  and has no excl-alternative in  $C$ . This non-vacuity presupposition comes from a general economy condition that an overt operator cannot be applied vacuously (Martin Hackl pers. comm.; compare Al Khatib 2013). In comparison, the bare stressed

*BOTH* in (31c) is felicitous. Following the grammatical view, we can assume that the bare *BOTH* is associated with a covert exhaustifier and that covert exhaustifiers are not subject to non-vacuity.

To sum up, I define the meaning of *only* as follows: *only* presupposes the truth of its prejacent proposition and the existence of an excl-alternative in its quantification domain; when the presuppositions are satisfied, it negates all the excl-alternatives of its prejacent clause.<sup>11</sup>

(32) **The meaning of *only*** (Final)

$$\llbracket \text{only}_C \rrbracket = \lambda p \lambda w : \underbrace{\exists q \in \text{EXCL}(p, C)}_{\text{non-vacuity}} \wedge \underbrace{p(w) = 1}_{\text{prejacent}} \cdot \underbrace{\forall q \in \text{EXCL}(p, C)[q(w) = 0]}_{\text{exhaustivity}}$$

- a. *Non-vacuity presupposition*: The prejacent has at least one excl-alternative.
- b. *Prejacent presupposition*: The prejacent is true.
- c. *Exhaustivity assertion*: All the excl-alternatives are false.

### 4.3. Defining *dou* in analogous to *only*

I define the Mandarin particle *dou* as a special exhaustifier, in analogous to the canonical exhaustifier *only*. The same as *only*, as an overt functional particles, *dou* is subject to non-vacuity and presupposes the existence of an alternative that it operates on.

(33) **The meaning of *dou***

$$\llbracket \text{dou}_C \rrbracket = \lambda p \lambda w : \underbrace{\exists q \in \text{SUB}(p, C)}_{\text{non-vacuity}} \cdot \underbrace{p(w) = 1}_{\text{prejacent}} \wedge \underbrace{\forall q \in \text{SUB}(p, C)[O_C(q)(w) = 0]}_{\text{anti-exhaustivity}}$$

- a. *Non-vacuity presupposition*: The prejacent has at least one sub-alternative.
- b. *Prejacent assertion*: The prejacent is true.
- c. *Anti-exhaustification assertion*: The exhaustification of each sub-alternative is false.

However, the semantics of *dou* and *only* have two contrasts.

**I. Excl-alternatives versus sub-alternatives** While *only* operates on excl-alternatives, *dou* operates on sub-alternatives, which are complementary to excl-alternatives, as defined in (34).

(34) **Sub-alternatives**

$$\text{SUB}(p, C) = (C - \text{EXCL}(p, C)) - \{p\}$$

(Alternatives that are non-excludable and distinct from the prejacent)

If excl-alternatives are defined standardly as non-entailed alternatives, as in (30), sub-alternatives are simply alternatives asymmetrically entailed by the prejacent, as in (35).

(35) **Sub-alternatives as weaker alternatives** (By standard excludability)

$$\text{SUB}(p, C) = \{q \mid p \subset q, q \in C\}$$

<sup>11</sup>For simplicity, this paper treats all F-sensitive operators propositional. A cross-categorical semantics of *only* is given in (i), where *f* and *P* stand for the left argument (i.e., restrictor) and the right argument (i.e., scope), respectively. By Focus Condition, the quantification domain *C* is a set of F-alternatives of the left argument.

(i) **Cross-categorical semantics of *only***

$$\llbracket \text{only}_C \rrbracket = \lambda f_\alpha \lambda P_{(\alpha, st)} \lambda w_s : \underbrace{P(f)(w) = 1}_{\text{prejacent pres.}} \wedge \underbrace{\exists f' \in C[P(f) \not\subseteq P(f')]}_{\text{non-vacuity pres.}} \cdot \underbrace{\forall f' \in C[P(f) \not\subseteq P(f') \rightarrow P(f)(w) = 0]}_{\text{exhaustivity assertion}}$$

However, as to be seen in section 5, what counts an excl-alternative is subject to variations, depending on the quantification domain of the F-sensitive operator (namely, whether this domain consists of F-,  $\sigma$ -, or D-alternatives of the prejacent) and the measurement for ordering alternatives (such as logical strength, likelihood, and various contextually determined measurements). Consequently, what counts a sub-alternative is also subject to variations, causing function alternations of *dou*.

**II: Exhaustivity versus anti-exhaustivity** While *only* asserts an exhaustivity inference, *dou* asserts an “anti-exhaustivity” inference, derived by negating the exhaustification of each sub-alternative. Hence, we say that *dou* has a “pre-exhaustification” effect (*a la* Chierchia 2013). In a basic case, the pre-exhaustification effect is realized by applying an *O*-operator (also written as ‘ $\text{ExH}$ ’) to each sub-alternative.<sup>12</sup> The *O*-operator is a covert counterpart of the exclusive particle *only*, coined by the grammatical view of scalar implicatures (Fox 2007, Chierchia et al. 2012, Fox and Spector 2018, among others). As defined in (36), this *O*-operator affirms the prejacent and negates all the excl-alternatives of the prejacent.<sup>13</sup>

(36) **The *O*-operator** (Chierchia et al. 2012)

$$O_C = \lambda p \lambda w : p(w) = 1 \wedge \forall q \in \text{EXCL}(p, C)[q(w) = 0]$$

(The prejacent is true, while all the excl-alternatives are false.)

If excludability is defined standardly as in (30), the prejacent is excludable relative to its sub-alternatives, and the anti-exhaustivity inference collapses under the prejacent inference (i.e., the anti-exhaustivity inference is true whenever the prejacent is true). [Proof: Whenever *p* is true, any alternative of *p* that is weaker than *p* has a true excl-alternative *r*, where  $r = p$ . End of proof.] Hence, the default meaning of *dou* is vacuous in assertion. However, as to be seen in section 5.2, the assertion of *dou* can be non-vacuous under other definitions of excludability.

The following illustrates how the proposed definition of *dou* derives the quantifier-distributor use. In (37), the prejacent and the domain of *dou* are schematized in (37b) and (37c), respectively. In the domain, the two alternatives in (37d) are asymmetrically entailed by the prejacent, which are therefore sub-alternatives of the prejacent. Applying *dou* affirms the prejacent and negates the exhaustification of each sub-alternative, yielding the inference in (37e): John and Mary arrived, not only John arrived, and not only Mary arrived. The anti-exhaustification inference given by the *not only*-clauses is entailed by the prejacent and thus doesn’t affect the truth conditions.<sup>14</sup>

<sup>12</sup>When *dou* is used as a scalar operator, the pre-exhaustification effect is realized by applying a scalar exhaustifier ( $\approx$  *just*) to each sub-alternative. This change is a logical consequence of redefining excl- and sub- alternatives based on likelihood. See section 5.3.

<sup>13</sup>Note that the *O*-operator is defined based on excludability, and that excl-alternatives are complementary to sub-alternatives. Hence, the semantics of *dou* purely depends what counts a sub-alternative, as computed in the following:

(i) **Defining *dou* based on sub-alternatives**

- a.  $O_C = \lambda q \lambda w : q(w) = 1 \wedge \forall r \in \text{EXCL}(q, C)[r(w) = 0]$   
 $= \lambda q \lambda w : q(w) = 1 \wedge \forall r \in ((C - \text{SUB}(q, C)) - \{q\})[r(w) = 0]$  By (34)
- b.  $\llbracket \text{dou}_C \rrbracket = \lambda p \lambda w : \exists q \in \text{SUB}(p, C). p(w) = 1 \wedge \forall q \in \text{SUB}(p, C)[O_C(q)(w) = 0]$   
 $= \lambda p \lambda w : \exists q \in \text{SUB}(p, C). p(w) = 1 \wedge$   
 $\forall q \in \text{SUB}(p, C)[q(w) = 0 \vee \neg \forall r \in ((C - \text{SUB}(q, C)) - \{q\})[r(w) = 0]]$  By (i-a)

This paper uses the more intuitive definition of *dou* in (33). But keep in mind that the meaning alternation of *dou* is purely realized by the meaning variation of sub-alternatives.

<sup>14</sup>One might wonder why *dou* is used even though it does not change the truth conditions. Such uses are observed

- (37) [John and Mary] **dou** arrived.
- a. LF:  $\text{dou}_C [S [John and Mary]_F \text{ arrived}]$
  - b.  $\llbracket S \rrbracket = \text{arrive}(j \oplus m)$
  - c.  $C = \{\text{arrive}(x) \mid x_e \text{ is a relevant individual}\}$
  - d.  $\text{SUB}(\llbracket S \rrbracket, C) = \{\text{arrive}(j), \text{arrive}(m)\}$
  - e.  $\llbracket \text{dou}_C(S) \rrbracket = \text{arrive}(j \oplus m) \wedge \neg O[\text{arrive}(j)] \wedge \neg O[\text{arrive}(m)] = \text{arrive}(j \oplus m)$

In contrast, in (38), it's ungrammatical to associate *dou* with an atomic proper name *John* (unless *John* is stressed): regardless of the context, the preajcent clause has no sub-alternative, failing to satisfy the non-vacuity presupposition of *dou*.

- (38) [John] (\***dou**) arrived.

## 5. Deriving the uses of *dou*

### 5.1. Deriving the quantifier-distributor use

Recall that, when used as a quantifier-distributor, *dou* has no effect on the truth-conditions of an assertion but evokes three requirements: (i) the “maximality requirement,” namely, that *dou* forces maximality with respect to the domain denoted by the associated item; (ii) the “distributivity requirement,” namely, that the preajcent sentence cannot take a collective reading; (iii) the “plurality requirement,” namely, that the item associated with *dou* must take a non-atomic interpretation. This section will focus on the latter two requirements. (See footnote 14 for a rough idea regarding to the “maximality requirement”.) I will argue that these two requirements are both illusions. Moreover, I will show that all the facts that are thought to result from these two requirements actually result from the non-vacuity presupposition of *dou*.

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cross-linguistically. For instance, in (i), the distributor *both* adds nothing to the truth conditions.

- (i) John and Mary *both* arrived.

One possibility, raised by the audience at LAGB 2015, is that *dou* and *both* are used as contrast focus in comparison with non-maximizers like *only part of* or *only one of*. If this is the case, the question under discussion for (37) and (i) would be ‘is it the case that John and Mary both arrived or that only one of them arrived?’ This idea is supported by the distribution of stress discussed in section 2.4: when *dou* functions as a quantifier-distributor, stress can only be assigned to the particle *dou*, not to the associate of *dou*. Moreover, this idea also explains the maximality requirement of *dou* under the quantifier-distributor use. Let me sketch out this idea informally: the assertion of the *dou*-sentence (ii) (repeated from (2)) is identical to the inference in (iiia), which is tolerant of non-maximality; but (ii) also implicates the anti-non-maximality inference (iiib), giving rise to a maximality requirement.

- (ii) (Context: *The children, with only one or two exceptions, went to the park.*)  
 [Haizimen] (#**dou**) qu -le gongyuan.  
 children DOU GO -PERF park  
 ‘The children (#all) went to the park.’
- (iii) a. The children went to the park.  
 b. Not [only part of the children went to the park.]

### 5.1.1. Explaining the “distributivity requirement”

To generate sub-alternatives and satisfy the non-vacuity presupposition of *dou*, the prejacent of *dou* needs to be strictly stronger than some of its alternatives. In case that the associate of *dou* is an entity (of type  $e$ ), this requirement is satisfied only when the predicate denoted by the remnant VP is (atomically or non-atomically) distributive or divisive.

Consider (39) for illustration. For simplicity, I follow the well-known cover-based treatment of generalized distributivity by Schwarzschild (1996), ignoring its problems in generating alternatives.<sup>15</sup> To disambiguate, this section uses  $C$  for the cover variable and  $C_{F-ALT}$  for the set of contextually relevant F-alternatives that *dou* quantifies over. The prejacent clause of *dou* is interpreted as in (39a), where a generalized distributor  $PART$  distributes over the contextually determined cover of  $a \oplus b \oplus c$ . Alternatives of the prejacent clause are derived by replacing  $a \oplus b \oplus c$  with a contextually relevant individual, as in (39b). Sub-alternatives are (roughly) the ones formed based on the sum of a proper subset of  $C$ , as in (39c).<sup>16</sup>

- (39) **Dou** <sub>$C_{F-ALT}$</sub>  [ $S$   $a \oplus b \oplus c$  bought houses]
- $\llbracket S \rrbracket = PART_C(f, a \oplus b \oplus c)$
  - $C_{F-ALT} = \{PART_C(f, X) \mid X_e \text{ is relevant}\}$
  - $SUB(\llbracket S \rrbracket, C_{F-ALT}) = \{PART_C(f, X) \mid X_e \text{ is relevant and } \exists C' \subset C [X = \bigoplus C']\}$

The quantification domain of *dou* is illustrated in the following. For simplicity, I ignore alternatives based on individuals that are not parts of  $a \oplus b \oplus c$ . Shading marks sub-alternatives, box encloses the prejacent proposition, and arrows indicate entailment relations. If  $C$  is non-singleton, the prejacent clause of *dou* takes an atomic or non-atomic distributive reading and does have some weaker/sub-alternatives, which therefore satisfies the non-vacuity presupposition of *dou*. In contrast, if the prejacent clause takes a collective/single-cover reading, it does not have a weaker/sub-alternative, making *dou* undefined.

(39 cont.) Quantification domain of *dou*:

✓ **Atomic distributive:** If  $C = \{a, b, c\}$ , then ...

<sup>15</sup>In the alternatives, the value of  $C$  always equals the contextually determined cover of the associated item in the prejacent (viz. the cover of  $a \oplus b \oplus c$ ), and  $PART$  only distributes over  $C$ . (See Liao 2011: chap. 4.) For example, if  $C = \{a, b, c\}$ , the alternative  $PART_C(f, d)$  is vacuously a tautology (it is true iff  $f$  holds for every subpart of  $d$  that is in  $\{a, b, c\}$ ), and the alternative  $PART_C(f, a \oplus b \oplus c \oplus d)$  is logically equivalent to  $PART_C(f, a \oplus b \oplus c)$ . These consequences are harmless for now. But problems arise if we want to characterize an operator that operates on excl-alternatives. For example, to derive the exhaustification inference of (i), the alternative ‘ $d$  bought houses’ shall not be a tautology.

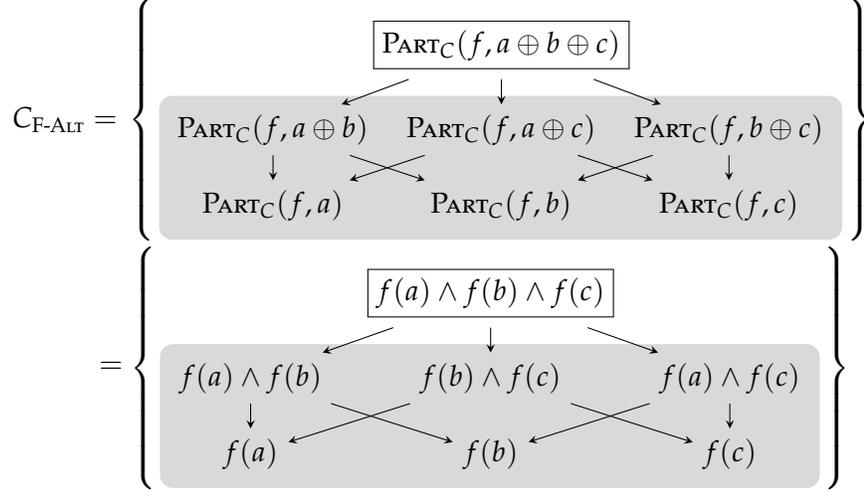
- (i) Only  $abc_F$  bought houses.  $\rightsquigarrow d$  didn’t buy houses.

See a solution in Liu (2016c) based on Link-Landman’s approach of encoding distributivity/collectivity distinction. Details regarding to Liu’s formal implementations are omitted due to the scope of this paper.

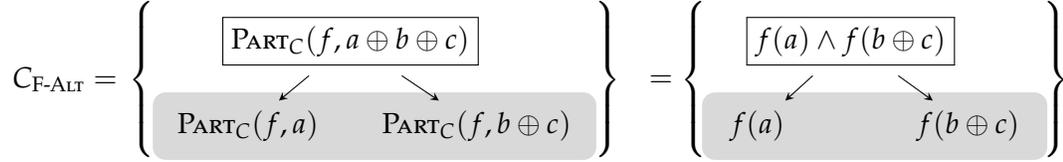
<sup>16</sup>Under the cover-based account of distributivity, it doesn’t matter if  $X$  contains parts that are not members of  $C$ , as seen in footnote 15. Thus, more accurately, sub-alternatives shall be formulated as follows:

- (i)  $SUB(\llbracket S \rrbracket, C_{F-ALT}) = \{PART_C(f, X) \mid X_e \text{ is contextually relevant and } \{y \mid y \leq X \wedge C(y)\} \subset C\}$

An alternative is a sub-alternative iff it is based on a contextually relevant individual  $X$  such that  $X$  has subparts not in  $C$ .



✓ **Non-atomic distributive:** If  $C = \{a, b \oplus c\}$ , then ...



× **Collective:** If  $C = \{a \oplus b \oplus c\}$ , then  $C_{F-ALT} = \{f(a \oplus b \oplus c)\}$  and  $\text{SUB}(\llbracket S \rrbracket, C_{F-ALT}) = \emptyset$

In conclusion, the particle *dou* itself is not a distributor, but in certain cases, its non-vacuity presupposition forces the application of a distributor, or the application of any operation that makes the preadjacent clause distributive. We can now easily explain why *dou* can be associated with the distributive expression NP-gezi ‘NP-each’. The presence of the distributor *gezi* ‘each’ is not redundant; instead, it is required for satisfying the non-vacuity presupposition of *dou*. If *gezi* is not overtly used, there would still be a covert distributor present in the LF.

- (40) [Tamen gezi] **dou** you yixie youdian.  
 They each **DOU** have some advantage  
 ‘They each **dou** has some advantages.’

This account also explains why *dou* can occur in some collective sentences: *dou* can combine with a collective predicate as long as this collective predicate is divisive.

- (41) A predicate  $P$  is **divisive** iff  $\forall x[P(x) \rightarrow \forall y \leq x[y \in \text{Dom}(P) \rightarrow P(y)]]$   
 (Whenever  $P$  holds of something  $x$ , it also holds of every subpart of  $x$  defined for  $P$ .)

For instance, *dou* is compatible with divisive collective predicates such as *shi pengyou* ‘be friends’, *jihe* ‘gather’, and *jianmian* ‘meet’, as seen in (42a-c). Consider (42a) for a concrete example. Let *tamen* ‘they’ denote the sum of three individuals  $abc$ . The set of sub-alternatives is  $\{ab \text{ are friends}, bc \text{ are friends}, ac \text{ are friends}\}$ . Applying *dou* yields inference that  $abc$  are friends, not only  $ab$  are friends, not only  $bc$  are friends, and not only  $ac$  are friends. In comparison, *dou* cannot be applied to a collective statement if the predicate is not divisive, as shown in (42d).

- (42) a. [Tamen] (**dou**) shi pengyou.  
 they DOU be friends  
 ‘They are (all) friends.’
- b. [Tamen] (**dou**) zai dating jihe -le.  
 they DOU at hallway gather -ASP  
 ‘They (all) gathered in the hallway.’
- c. [Tamen] (**dou**) jian-guo-mian -le.  
 they DOU see-EXP-face -ASP  
 ‘They (all) have met.’
- d. [Tamen] (**\*dou**) zucheng -le zhe-ge weiyuanhui.  
 they DOU form -ASP this-CL committee  
 ‘They (\*all) formed this committee.’

### 5.1.2. Explaining the “plurality requirement”

The “plurality requirement” says that the associate of *dou* has to take a non-atomic interpretation. I argue that this requirement is also illusive, and that the related facts all result from the non-vacuity presupposition of *dou*.

On the one hand, the plurality requirement is unnecessary: *dou* can be associated with an atomic item as long as the predicate denoted by the remnant VP is divisive. For instance, in (43a), *dou*’s associate *na-ge pingguo* ‘that apple’ is atomic. With a divisive predicate  $\lambda x. John\ ate\ x$ , the preajcent clause of *dou* does have some sub-alternatives formed based proper subparts of *that apple*, as schematized in (44a), which supports the non-vacuity presupposition of *dou*. In contrast, in (43b), the predicate  $\lambda x. John\ ate\ half\ of\ x$  is not divisive, and hence the preajcent of *dou* has no sub-alternative, as shown in (44b), which makes the presence of *dou* deviant.

- (43) a. Yuehan ba [na-ge pingguo] (**dou**) chi -le.  
 John BA that-CL apple DOU eat -PERF  
 ‘John ate that apple.’
- b. Yuehan ba [na-ge pingguo] (**\*dou**) chi -le yi-ban.  
 John BA that-CL apple DOU eat -PERF one-half  
 Intended: ‘John ate half of that apple.’
- (44) a.  $John\ ate\ that\ apple \Rightarrow John\ ate\ x\ (x < that\ apple)$   
 $SUB (John\ ate\ that\ apple) = \{John\ ate\ x \mid x < that\ apple\}$
- b.  $John\ ate\ half\ of\ that\ apple \not\Rightarrow John\ ate\ half\ of\ x\ (x < that\ apple)$   
 $SUB (John\ ate\ half\ of\ that\ apple) = \emptyset$

On the other hand, the plurality requirement is insufficient. When applied to a statement with a divisive collective predicate, *dou* requires its associate to denote a group consisting of at least three distinct individuals, as exemplified in (45).

- (45) [Tamen -sa/\*-lia] **dou** shi pengyou.  
 they -three/-two DOU be friends  
 ‘They three/\*two are all friends.’

The non-vacuity presupposition of *dou* also accounts for this fact. The proper subparts of a dual-individual (e.g.,  $a \oplus b$ ) are atomic individuals, which however are undefined for the collective predicate *be friends*. Hence in (45), if the associate of *dou* denotes a dual-individual, the preajacent clause of *dou* has no sub-alternative, which yields a presupposition failure.

## 5.2. Deriving the FCI-licenser use

The particle *dou* can license the FCI use of pre-verbal polarity items, *wh*-items, and disjunctions. In this section, I show that the assertion of *dou* turns a disjunctive/existential inference into a conjunctive/universal inference. I will also explain why the licensing of a pre-verbal FCI requires the presence of *dou* and why the licensing of a pre-verbal FC-disjunction is subject to modal obviation.

### 5.2.1. Predicting $\forall$ -FC inferences

As seen in section 4.1, a disjunction or existential quantifier that carries a [+D] feature is associated with a set of D-alternatives. Thus in the disjunctive sentence (6b), paraphrased in English in (46), the quantification domain of *dou* consists of the D-alternatives of its disjunctive preajacent, as in (46c). Sub-alternatives of the preajacent are the disjuncts, as in (46d). Applying *dou* affirms the preajacent and negates the exhaustification of each disjunct, yielding a  $\forall$ -FC inference, as in (46e). In a word, *dou* turns a disjunction into a conjunction. Crucially, contrary to the derivation of the quantifier-distributor use, here *dou* does change the truth condition, because the preajacent disjunctive does not entail the anti-exhaustification inference.

(46) [John or Mary] **dou** can teach Intro Chinese.

- a. LF: **dou**<sub>C</sub> [<sub>S</sub> [John or<sub>[+D]</sub> Mary] can teach Intro Chinese] (To be revised in (63))
- b.  $\llbracket S \rrbracket = \diamond\phi_j \vee \diamond\phi_m$   $\phi_x = x \text{ teach Intro Chinese}$
- c.  $C = \text{D-ALT}(S) = \{\diamond\phi_j, \diamond\phi_m, \diamond\phi_j \vee \diamond\phi_m\}$
- d.  $\text{SUB}(\llbracket S \rrbracket, C) = \{\diamond\phi_j, \diamond\phi_m\}$
- e.  $\llbracket \text{dou}_C(S) \rrbracket = [\diamond\phi_j \vee \diamond\phi_m] \wedge \neg O_C \diamond\phi_j \wedge \neg O_C \diamond\phi_m$   
 $= [\diamond\phi_j \vee \diamond\phi_m] \wedge [\diamond\phi_j \rightarrow \diamond\phi_m] \wedge [\diamond\phi_m \rightarrow \diamond\phi_j]$   
 $= [\diamond\phi_j \vee \diamond\phi_m] \wedge [\diamond\phi_j \leftrightarrow \diamond\phi_m]$   
 $= \diamond\phi_j \wedge \diamond\phi_m$

This analysis extends to other pre-verbal FCIs. As assumed by Chierchia and Liao (2015), Mandarin *renhe* ‘any’-phrases and non-interrogative *wh*-phrases are  $\exists$ -quantifiers with a [+D] feature.

Now, a problem arises as to why disjuncts count as sub-alternatives of disjunctions. In (35) in section 4.3, sub-alternatives are weaker alternatives by the regular definition of excludability. But, in (46), the disjuncts are stronger than the disjunction, why are they sub-alternatives? This problem can be solved by a minimal change from “(non-)excludability” to “(non-)innocent excludability,” a notion coined by Fox (2007) for deriving FC inferences via exhaustifications. As schematized in (47a), an alternative is innocently (I-)excludable iff it is included in every maximal set of alternatives *A* such that affirming the preajacent is consistent with negating all the alternatives in *A*.<sup>17</sup> In (46),

<sup>17</sup>Another commonly seen definition of I-excl-alternatives is as in (i), which is however inadequate. For example,

the disjuncts are not I-excludable relative to the disjunction: affirming the disjunction and negating both of its disjuncts yield a contradiction (formally,  $\{\diamond\phi_j, \diamond\phi_m\}^\top \cup \{\neg\phi_j \vee \neg\phi_m\}$  is inconsistent, because  $[\diamond\phi_j \vee \diamond\phi_m] \wedge \neg\phi_j \wedge \neg\phi_m = \perp$ ). Hence, by the definition in (47b) based on innocent excludability, disjuncts of a disjunction are indeed sub-alternatives of this disjunction.

(47) a. **Innocently (I)-excl-alternatives** (Fox 2007)

$\text{IEXCL}(p, C) = \bigcap \{A \mid A \text{ is a maximal subset of } C \text{ s.t. } \{\neg q \mid q \in A\} \cup \{p\} \text{ is consistent}\}$   
 (The intersection of the maximal sets of alternatives of  $p$  in  $C$  such that the exclusion of each such maximal set is consistent with  $p$ .)

b. **Sub-alternatives** (Based on innocent excludability)

$\text{SUB}(p, C) = (C - \text{IEXCL}(p, C)) - \{p\}$   
 (The set of alternatives excluding the I-excl-alternatives and the prejacent itself)

Weaker alternatives are clearly not I-excludable: affirming a prejacent and negating a weaker alternative yield a contradiction. Hence, in cases where the associate of *dou* has no D-alternative, the innocent excludability-based definition of sub-alternatives in (47b) and the regular excludability-based definition in (35) predict the same set of sub-alternatives.

The following is an interim summary for the semantics of *dou*:

(48) **Semantics of *dou*** (Interim)

$\llbracket \text{dou}_C \rrbracket = \lambda p \lambda w : \exists q \in \text{SUB}(p, C). p(w) = 1 \wedge \forall q \in \text{SUB}(p, C) [O_C(q)(w) = 0]$

where  $\text{SUB}(p, C)$  is defined as in a or b:

a. Def strong (Based on regular excludability)

$\text{SUB}(p, C) = (C - \text{EXCL}(p, C)) - \{p\}$

b. Def weak (Based on innocent excludability)

$\text{SUB}(p, C) = (C - \text{IEXCL}(p, C)) - \{p\}$

Compare the two definitions of sub-alternatives: Def strong is only compatible with the quantifier-distributor use of *dou*, while Def weak also extends to the FCI-licenser use. As such, there are two ways to view the semantics of sub-alternatives.

(i) *The unifying view.* Sub-alternatives are uniformly defined based on innocent excludability. Def strong is just a special case where the I-excl-alternatives are excludable.

(ii) *The weakening view.* Sub-alternatives are primarily defined based on regular excludability. Def weak is available only when non-excludability is weakened to non-I-excludability. This weakening operation is licensed only when the associate of *dou* carries a [+D] feature.

The unifying view predicts that the quantifier-distributor use and the FCI-licenser use are both primary, while the weakening view predicts that the FCI-licenser use is derived from the quantifier-distributor use. I argue that the weakening view is more preferable than the uniform view. First,

in sentence “EVERY student came,” where the prejacent is the strongest among the alternatives and thus has no excl-alternative, the condition underlined in (i) is vacuously satisfied; therefore, the definition in (i) predicts that every alternative of  $p$  is I-excludable, which is apparently implausible.

(i)  $\text{IEXCL}(p, C) = \{q \mid q \in C \wedge \neg \exists q' \in \text{EXCL}(p, C) \llbracket [p \wedge \neg q] \rightarrow q' \rrbracket\}$

(The set of alternatives  $q$  such that affirming  $p$  and negating  $q$  does not entail any excl-alternatives)

empirically, the quantifier-distributor use of *dou* emerged as early as the Eastern Han Dynasty (25AC-220AC) (Gu 2015), while the other uses came much later. Second, theoretically, the ‘even’-like use of *dou* can be derived easily by weakening the strong definition of sub-alternatives, but not the weak definition. Hence, it is hard for the uniform view to explain the alternation between the ‘even’-like use and the other two uses. I will return to this point in section 6.

### 5.2.2. Licensing conditions of Mandarin FCIs: Facts

In English, an *any*-phrase is licensed as a  $\forall$ -FCI when it precedes a possibility modal (e.g., *can*), but not licensed when it appears in an episodic sentence or before a necessity modal, as shown in (49). The phenomenon that a possibility modal licenses a pre-verbal  $\forall$ -FCI is called *Modal Obviation*.

- (49) a. Any guest can/\*must come in.  
 b. \* Any guest came in.

It is crucial to differentiate between pre-verbal and post-verbal FCIs. First, appearing pre-verbally or post-verbally, some FCIs yield different truth conditions. Take Mandarin *shenme* ‘what’-NP for example. (50a) is true only if every relevant individual has a possibility of being seen by John; while (50b) allows exceptions. Therefore, we consider pre-verbal *shenme*-NP a  $\forall$ -FCI while post-verbal *shenme*-NP an  $\exists$ -FCI that allows partial variation.<sup>18</sup>

- (50) a. Yuehan shenme-ren **dou** keneng jian-guo  
 John what-person DOU perhaps meet-EXP  
 Intended: ‘Everyone is such that John might have seen him/her.’  
 b. Yuehan keneng jian-guo shenme-ren.  
 John perhaps meet-EXP what-person  
 Intended: ‘Perhaps John has met someone. [I don’t know which.]’

Second, even in cases where a post-verbal FCI yields the same truth conditional meaning as its pre-verbal counterpart, they are subject to different licensing conditions. Compare (49a) with (52-51). A post-verbal *any*-NumP/NP yields a universal inference if embedded under a possibility modal, as in (52a)/(51a). But, in contrast to a pre-verbal *any*-phrase, a post-verbal *any*-NumP can also be licensed in the presence of a necessity modal, as in (51b). A simple *any*-NP cannot appear directly under a necessity modal, but it can occur in a supplementary construction, as in (52b). (Dayal 2004)

- (51) a. John can read any two books.      (52) a. John can read any book.  
 b. John must read any two books.      b. John must read a book, any book.

As for disjunctions, it’s widely known that post-verbal disjunctions can function as FCIs when embedded under a modal. (Alonso Ovalle 2005; Fox 2007; Santorio and Romoli 2017; among others).

- (53) a. You can invite Andy or Billy.  
 $\rightsquigarrow$  You can invite Andy and you can invite Billy.

<sup>18</sup>For discussions on partial variation, see Fălăuș (2009, 2014) on Romanian *vreun*, Alonso-Ovalle and Menéndez-Benito (2010) on Spanish *algún*, and Chierchia (2013) on Italian *un qualche* and *un N qualsiasi*.

- b. You must invite Andy or Billy.  
 ↪ You can invite Andy and you can invite Billy; you must invite one of them.

Mandarin post-verbal disjunctions behave the same as above. But strikingly, Mandarin pre-verbal disjunctions can also function as  $\forall$ -FCIs in the presence of *dou*. Moreover, the licensing of this use is subject to modal obviation. (Xiang 2016b)

- (54) a. [Yuehan huozhe Mali] **dou** keyi/\*bixu jiao jichu hanyu.  
 John or Mary *DOU* can/must teach intro Chinese  
 Intended: 'Both John and Mary can/must teach Intro Chinese.'  
 b. [Yuehan huozhe Mali] (\***dou**) jiao -guo jichu hanyu.  
 John or Mary *DOU* teach -EXP intro Chinese  
 Intended: 'Both Johan and Mary have taught Intro Chinese.'

To license of the  $\forall$ -FCI use of pre-verbal *wh*-items and polarity items (e.g., *renhe* 'any'-NP), *dou* also must be present. But requirements related to modal obviation are quite unclear. For example, Giannakidou and Cheng (2006) claim that the bare *wh*-word *shei* 'who' can be licensed as a  $\forall$ -FCI in an episodic *dou*-sentence like (55a). But, this distributional pattern is very unproductive: the other episodic *dou*-sentence (55b) sounds very odd. Hence, there must be some salvaging effect from the experiential maker *-guo* on FCI-licensing. I leave this puzzle open.

- (55) a. [Shei] **dou** jiao -guo jichu hanyu.      b. ?? [Shei] **dou** jinlai -le.  
 who *DOU* teach -EXP intro Chinese.      who *DOU* enter -ASP.  
 'Everyone has taught Intro Chinese.'      Intended: 'Everyone came in.'

The licensing conditions of pre-verbal *na*-CL-NP 'which-NP' and *renhe*-NP 'any-NP' are even harder to generalize. Giannakidou and Cheng (2006) claim that the  $\forall$ -FCI use of these items are only licensed in a pre-*dou*+ $\diamond$  position. Their judgements are illustrated in (56). Nevertheless, it is difficult to justice the data because judgements on (56) vary greatly among native speakers.

- (56) a. [Na-ge/Renhe -ren] **dou** keyi/??bixu jinlai.  
 which-CL/any -person *DOU* can/must enter  
 Intended: 'Everyone can/must come in.'  
 b. ?? [Na-ge/Renhe -ren] **dou** shou dao -le yaoqing.  
 which-CL/any -person *DOU* get arrive -asp invitation  
 Intended: 'Everyone got an invitation.'

Given the individual variations in grammaticality judgments and the unproductiveness of  $\forall$ -FCIs in sentences without a possibility modal, I neglect the licensing conditions of  $\forall$ -FCI uses of Mandarin *wh*-/any-expressions related to modal obviation. For other recent studies on Mandarin  $\forall$ -FCIs, see Liao (2011), Cheng and Giannakidou (2013), and Chierchia and Liao (2015).

In summary, the licensing of Mandarin  $\forall$ -FCIs is subject to (at least) two conditions. First, to license the  $\forall$ -FCI use of a pre-verbal *wh*/any-expression, *dou* must be present and associated with this *wh*/any-expression. Second, the licensing of the  $\forall$ -FCI use of a pre-verbal disjunction is subject to modal obviation and requires the presence of a post-*dou* possibility modal. The following two sections explain these two conditions. The modal obviation effect in the licensing of the  $\forall$ -FCI use of a preverbal *wh*/any-expression is yet unclear and will not be discussed.

### 5.2.3. The role of *dou* in licensing FCIs

This section explains why in Mandarin the presence of *dou* is mandatory in a declarative containing a pre-verbal *wh/any*-expression. Following Chierchia and Liao (2015), I assume that the sub/D-alternatives associated with a Mandarin *wh/any*-expression are obligatorily activated when this expression takes a non-interrogative use, and that these sub/D-alternatives must be used up via employing a c-commanding exhaustifier. Hence, if the particle *dou* is absent, these sub/D-alternatives would be have to used by a basic *O*-exhaustifier, as in (57b). As to be shown in the following, the application of a basic *O*-exhaustifier has an undesired semantic consequence.

(57) [Shei] \*(**dou**) can teach Intro Chinese.

- |                                       |  |
|---------------------------------------|--|
| a. The LF in presence of <i>dou</i> : | <b>dou</b> <sub>C</sub> [shei <sub>[+D]</sub> can teach Intro Chinese] |
| b. The LF in absence of <i>dou</i> :  | <i>O</i> <sub>C</sub> [shei <sub>[+D]</sub> can teach Intro Chinese]   |

Compare the computation in (58) with (46). In (46) where *dou* is present, applying *dou* to a disjunction returns a conjunction, yielding a FC inference. While in (58), applying a basic *O*-exhaustifier to a disjunction affirms this disjunction and negates both of its disjuncts, yielding a contradiction and making the *wh*-declarative ungrammatical.

(58) Consider only two relevant individuals *a* and *b*:

- |  |                                    |
|--|------------------------------------|
| a. $\llbracket S \rrbracket = \diamond\phi_a \vee \diamond\phi_b$  | S = ‘shei can teach Intro Chinese’ |
| b. $C = D\text{-ALT}(S) = \{\diamond\phi_a, \diamond\phi_b, \diamond\phi_a \vee \diamond\phi_b\}$                                    |                                    |
| c. $\text{EXCL}(\llbracket S \rrbracket, C) = \text{SUB}(\llbracket S \rrbracket, C) = \{\diamond\phi_a, \diamond\phi_b\}$           |                                    |
| d. $\llbracket O_C(S) \rrbracket = [\diamond\phi_a \vee \diamond\phi_b] \wedge \neg\diamond\phi_a \wedge \neg\diamond\phi_b = \perp$ |                                    |

The case of disjunctions is different. Unlike those of *wh/any*-items, the sub-alternatives of disjunctions are not mandatorily activated (Chierchia 2006, 2013). Hence, in absence of *dou*, a sentence with a pre-verbal disjunction takes a simple (inclusive or exclusive) disjunctive interpretation.

The explanation above faces the following challenge: why it is that the sub-alternatives of a *wh*-declarative cannot be used by a covert pre-exhaustification exhaustifier, such as the *O*<sub>DOU</sub>-operator proposed by Xiang (2016c) and Xiang (2016a: chap. 2) for interpreting mention-some questions? A covert *O*<sub>DOU</sub>-operator cannot be placed here due to a fundamental principle for the architecture of human languages, roughly, “Language-particular choices win over universal tendencies” or “Don’t do covertly what you can do overtly.” (Chierchia 1998) We consider an exhaustification over the sub-alternatives of a polarity item as a grammatical operation. Given that *dou* must be associated with a preceding item in most declaratives, we predict the following distributional pattern of overt and covert *dou*, illustrated by the polarity item *renhe* ‘any’:<sup>19</sup>

<sup>19</sup>No matter whether the FCI takes scope above or below the possibility modal, applying **dou**/*O*<sub>DOU</sub> yields the same truth conditions.

- |  |                     |
|--|---------------------|
| (i) a. <b>dou</b> ( $\diamond p \vee \diamond q$ ) = $(\diamond p \vee \diamond q) \wedge \neg O \diamond p \wedge \neg O \diamond q = \diamond p \wedge \diamond q$ | For pre-verbal FCI  |
| b. <i>O</i> <sub>DOU</sub> ( $\diamond(p \vee q)$ ) = $\diamond(p \vee q) \wedge \neg O \diamond p \wedge \neg O \diamond q = \diamond p \wedge \diamond q$          | For post-verbal FCI |

- (59) a. Ni [renhe-ren] \*(**dou**) keyi jian.  
 You any-person DOU can meet.  
 ‘Anyone is such that you can meet.’  
 a’. <sup>ok</sup>dou/\*O<sub>DOU</sub> [anyone<sub>i</sub> you can meet t<sub>i</sub>]
- b. Ni (**\*dou**) keyi jian [renhe-ren].  
 You DOU can meet any-person  
 ‘You can meet anyone.’  
 b’. \*dou/<sup>ok</sup>O<sub>DOU</sub> [you can meet anyone]

If a *renhe*-phrase appears in or can be overtly raised to a pre-verbal position, the sub-alternatives of a *renhe*-sentence can be exhausted by the overt particle *dou*, which therefore blocks the use of a covert  $O_{DOU}$ -operator, as seen in (59a). In contrast, when an exhaustification operation cannot be done by *dou* due to other syntactic constraints (such as that *dou* in general cannot be associated with an item appearing on its right side), a covert pre-exhaustification exhaustifier would be feasible, as seen in (59b). In one word, since *dou* is Mandarin-particular, the covert  $O_{DOU}$  cannot be used whenever the overt *dou* can be used.

#### 5.2.4. Modal obviation of licensing pre-verbal FC-disjunctions

In the realm of exhaustification theories, representative explanations of modal obviation include Dayal (2009), Menéndez-Benito (2010), Chierchia (2013), and Dayal (2013). I will first show that the former three analyses do not extend to disjunctions, because they involve assumptions incompatible with the semantics of disjunctions. Next, I will introduce the Variability Constraint (Dayal 2013) and adapt it to a compositional analysis.

Dayal (2009) proposes a *Fluctuation Constraint*: in an *any*-sentence of the form [*any* NP VP], the intersection of the restriction (i.e., NP) and the scope (i.e., VP) which verifies the sentence should not be constant across the accessible worlds. This analysis defines pre-verbal *any* a  $\forall$ -quantifier and thus does not extend to disjunctions. Menéndez-Benito (2010) uses local exhaustification to explain the modal obviation effect in licensing Spanish *cualquiera*. Her analysis is also incompatible with the lexical meaning of the disjunctive; she defines a FCI as a simple predicate and derives the FC inference via a propositional  $\forall$ -quantifier. I will not dive into the details of these two analyses. See Chierchia (2013: section 6.6) for review.

Chierchia (2013) defines *any*-phrases uniformly as  $\exists$ -indefinites and derives  $\forall$ -FC inferences via an exhaustification mechanism similar to (46). His explanation of the modal obviation effect is two fold. First, he assumes that an *any*-phrase evokes a scalar implicature (SI). The episodic sentence (60) is ungrammatical because its SI contradicts the  $\forall$ -FC inference.

- (60) \* Anyone came.
- a.  $\rightsquigarrow$  *Everyone came.* FC inference
- b.  $\rightsquigarrow$  *Not everyone came.* Scalar implicature

For modalized sentences, Chierchia (2013) assumes that FC and SI are assessed with respect to different modal bases  $M_{FC}$  and  $M_{SI}$ . In the presence of a possibility modal, the contradiction between FC and SI can be rescued if  $M_{SI} \subset M_{FC}$ .<sup>20</sup> This analysis also doesn’t extend to  $\forall$ -FC disjunctions. It relies on the interactions between FC inferences and SIs and has to assume that SIs are mandatory,

<sup>20</sup> For illustration, let the domain of *anyone* be  $\{a, b\}$ , the inferences would be as in (i) and (ii). If  $M_{FC} = \{w_1, w_2, w_3\}$  and  $M_{SI} = \{w_1, w_2\}$ , the two inferences in (i) are not contradictory — both inferences are true if  $\phi_a$  is true only in  $w_1$  and  $\phi_b$  is true only in  $w_3$ . In contrast, the two inferences with necessity modals in (ii) are contradictory regardless of the modal containment relation. Hence, possibility modals can obviate the ungrammaticality but necessity modals cannot.

but SIs of disjunctions are optional.<sup>21</sup> As seen in (61a), a disjunctive episodic sentence doesn't not trigger a SI if embedded in the antecedent of a conditional. Despite of the absence of SIs, in (61b), associating *dou* with the contained pre-verbal disjunction makes the sentence ungrammatical. In conclusion, the failure of licensing a pre-verbal FC-disjunction has nothing to do with SIs.

- (61) a. Ruguo Yuehan huozhe Mali jiao-le jichu hanyu, wo jiu bu-danxin.  
 If John or Mary teach-PERF Intro Chinese, I then not-worry  
 'If John or Mary (~~but not both~~) has taught Intro Chinese, I won't be worried'
- b. \*Ruguo [Yuehan huozhe Mali] dou jiao-le jichu hanyu, wo jiu bu-danxin.  
 If John or Mary DOU teach-PERF Intro Chinese, I then not-worry

Compared with the aforementioned three accounts, Dayal (2013) has the greatest potential to extend to Mandarin pre-verbal FC-disjunctions. This account assumes FCI to be lexically existential and doesn't require SIs, which is compatible with the semantics of disjunctions. For modal obviation, Dayal (2013) proposes a *Viability Constraint*: every exhaustified alternative is true with respect to a modal base made up of a subset of the accessible worlds. This constraint is unsatisfied in episodic sentences immediately since there is no modal base to start with. For modalized *any*-sentences, with two relevant individuals *ab* and a modal base *M*, their FC inferences and viability conditions are schematized as in (62). The two conditions for the  $\diamond$ -sentence (62a) are consistent. For example, both formulas are true if  $M = \{w_1, w_2, w_3\}$  and  $f = \{\langle w_1, \{a\} \rangle, \langle w_2, \{b\} \rangle, \langle w_3, \{a, b\} \rangle\}$ . (The pair  $\langle w_1, \{a\} \rangle$  is read as 'only *a* comes in  $w_1$ .') In contrast, the two conditions for the  $\square$ -sentence (62b) are contradictory: for any modal *M* such that  $\square_M f(a)$  is true,  $\square_M [f(b) \wedge \neg f(a)]$  is false.

- (62) a. Anyone can come.  
 FC:  $\diamond_M f(a) \wedge \diamond_M f(b)$  (*f* stands for the property *came*)  
 Viability:  $\diamond_M [f(a) \wedge \neg f(b)] \wedge \diamond_M [f(b) \wedge \neg f(a)]$
- b. \*Anyone must come.  
 FC:  $\square_M f(a) \wedge \square_M f(b)$   
 Viability:  $\square_M [f(a) \wedge \neg f(b)] \wedge \square_M [f(b) \wedge \neg f(a)]$

The Viability Constraint yields desired predictions but is syncategorematic and quite *ad hoc*. In what follows, I offer a compositional analysis that reaches similar results. I assume that the disjunctive  $\diamond$ -sentence (54a) has the LF (63). Compared with the LF in (46a), the only new assumption is that the modal verb mandatorily embeds a covert *O*-exhaustifier, which checks off the [+F] feature of the VP-internal trace of the pre-verbal disjunction.<sup>22</sup> Composing this LF yields a FC inference.<sup>23</sup>

- |   |   |
|---|---|
| (i) Consistent if $M_{si} \subset M_{fc}$                           | (ii) Contradictory even if $M_{si} \subset M_{fc}$                |
| a. $\diamond_{M_{fc}} \phi_a \wedge \diamond_{M_{fc}} \phi_b$       | a. $\square_{M_{fc}} \phi_a \wedge \square_{M_{fc}} \phi_b$       |
| b. $\neg[\diamond_{M_{si}} \phi_a \wedge \diamond_{M_{si}} \phi_b]$ | b. $\neg[\square_{M_{si}} \phi_a \wedge \square_{M_{si}} \phi_b]$ |

<sup>21</sup>In Xiang (2016b), I provided another analysis of modal obviation. This analysis also attributes the obviation effect to a syncategorematic treatment of SIs in modalized contexts and problematically requires mandatory SIs.

<sup>22</sup>This assumption was originally proposed by Xiang (2016c,a) for interpreting questions (such as *where can we get gas?*) that are ambiguous between mention-some readings and mention-all readings. Since mention-some questions contain a possibility modal, and their disjunctive answers receive FC interpretations, it is not surprising that this analysis extends to the Modal Obviation effect in the licensing of  $\forall$ -FCIs.

<sup>23</sup>Chierchia (2013: section 6.6.1) argues that locally exhaustified FC inference is too strong. This argument was first

- (63) **dou**<sub>C</sub> [S [John or<sub>[+D]</sub> Mary] λx can [ O<sub>C'</sub> [VP x<sub>[+F]</sub> teach Intro Chinese ]]]
- a.  $C' = \text{F-ALT}(\text{VP}) = \{\phi_x \mid x \in D_e\}$  [ $\phi_x$  stands for  $x$  teach Intro Chinese]
- b.  $\llbracket S \rrbracket = \diamond_{O_{C'}\phi_m} \vee \diamond_{O_{C'}\phi_j}$
- c.  $C = \text{D-ALT}(S) = \{\diamond_{O_{C'}\phi_m} \vee \diamond_{O_{C'}\phi_j}, \diamond_{O_{C'}\phi_m}, \diamond_{O_{C'}\phi_j}\}$
- d.  $\text{dou}_C(\llbracket S \rrbracket) = [\diamond_{O_{C'}\phi_m} \vee \diamond_{O_{C'}\phi_j}] \wedge \neg O_C \diamond_{O_{C'}\phi_m} \wedge \neg O_C \diamond_{O_{C'}\phi_j}$   
 $= \diamond_{O_{C'}\phi_m} \wedge \diamond_{O_{C'}\phi_j}$   
 (John and Mary can each teach Intro Chinese alone.)

In absence of a possibility modal, locally exhausted conjunctive inferences are contradictory. Therefore, the corresponding episodic sentence and  $\square$ -sentence are ungrammatical.

- (64) a. \* **dou**<sub>C</sub> [S [John or<sub>[+D]</sub> Mary] λx [ O<sub>C'</sub> [VP x<sub>[+F]</sub> teach Intro Chinese ]]]  
 $\text{dou}_C(\llbracket S \rrbracket) = O_{C'}\phi_j \wedge O_{C'}\phi_m = \perp$
- b. \* **dou**<sub>C</sub> [S [John or<sub>[+D]</sub> Mary] λx must [ O<sub>C'</sub> [VP x<sub>[+F]</sub> teach Intro Chinese ]]]  
 $\text{dou}_C(\llbracket S \rrbracket) = \square_{O_{C'}\phi_j} \wedge \square_{O_{C'}\phi_m} = \perp$

### 5.3. Deriving the scalar operator use

There are two cases where *dou* functions as a scalar operator. One is in a [*lian ...dou*] construction, where *dou* is associated with the preceding *lian*-Foc and evokes an *even*-like inference. The other case is where *dou* is associated with an in-situ scalar item. This section starts with the semantics of English *even* (section 5.3.1), and then derives the *even*-like reading of *dou* in the [*lian Foc dou ...*] construction based on the proposed semantics of *dou* (section 5.3.2). Section 5.3.3 explains the minimizer-licensing effect of the [*lian Min dou ...*] construction. Section 5.3.4 extends to cases where *dou* is associated with an in-situ scalar item.

#### 5.3.1. The semantics of *even*

The English particle *even* is sensitive to focus. As seen in (65), associating *even* with different focus yields different scalar comparative inferences.

- (65) a. Mary **even** introduced BILL<sub>F</sub> to Sue.  
 $\rightsquigarrow$  Compared with Mary introducing (some of) the others to Sue, it is unlikely/surprising that she introduced Bill to Sue.

made against the local exhaustification approach by Menéndez-Benito (2010) but also extends to Dayal (2013) and the presented analysis. For example, composed with a local exhaustifier, the first clause of (i) means  $\diamond O\phi_a \wedge \diamond O\phi_b \wedge \diamond O\phi_c$  ( $\phi_x$  stands for 'you invite  $x$ '), which requires the possibility of inviting exactly one person and contradicts the second clause. This problem extends to other pre-verbal  $\forall$ -FCIs.

- (i) [Andi Bili huo Xindi] ni dou keyi qing, dan ni bixu qing qizhong zhishao liang-ge-ren.  
 Andy Billy or Cindy you dou can invite, but you must invite among at-least two-CL-person  
 'You can invite Andy, Billy, or Cindy. But you must invite at least two of them.'

Anna Szabolcsi (pers. comm.) points out a related challenge. Consider the following sentence:

- (ii) Any student can sit next to another student.

The relation *sit next to* is symmetric: student  $x$  sits next to student  $y$  iff  $y$  sits next to  $x$ . As such, any exhausted sentence of the form ' $O$  [ $x_F$  sits next to a student]' is a contradiction. I leave this issue open.

- b. Mary **even** introduced Bill to SUE<sub>F</sub>.  
 ~→ Compared with Mary introducing Bill to (some of) the others, it is unlikely/surprising that she introduced Bill to Sue.

Due to the Focus Condition, the domain of *even* is a subset of F-alternatives of the prejacent clause:  $\llbracket \text{even}_C(S) \rrbracket$  is defined only if  $C \subseteq \text{F-ALT}(S)$ . However, unlike the case of *only*, excludability for the scalar exclusive particle *even* is defined based on likelihood, not logical strength.

*Even* is standardly defined as a F-sensitive operator with a vacuous assertion and a scalar presupposition. But there are dissenting views on the quantificational force of the scalar presupposition. Karttunen and Peters (1979) assumes that this presupposition is **universal**: it requires that the propositional argument of *even* is the less likely than **all** of its contextually relevant F-alternatives.

(66) **Semantics of *even*** (Karttunen and Peters 1979)

$$\llbracket \text{even}_C \rrbracket = \lambda p \lambda w : \forall q \in C [p \neq q \rightarrow q >_{\text{likely}} p]. p(w) = 1$$

(For any proposition  $p$ :  $\llbracket \text{even} \rrbracket(p)$  is defined only if  $p$  is less likely than *all* of its contextually relevant F-alternatives that are not identical to it; when defined,  $\llbracket \text{even} \rrbracket(p) = p$ .)

In contrast, Bennett (1982) and Kay (1990) argue that a universal scalar presupposition is too strong and thus define an **existential** scalar presupposition: *even* presupposes that its propositional argument is less likely than **at least one** of its contextually relevant F-alternatives.

(67) **Semantics of *even*** (Bennett 1982; Kay 1990)

$$\llbracket \text{even}_C \rrbracket = \lambda p \lambda w : \exists q \in C [q >_{\text{likely}} p]. p(w) = 1$$

(For any proposition  $p$ :  $\llbracket \text{even} \rrbracket(p)$  is defined only if  $p$  is less likely than *at least one* of its contextually relevant F-alternatives; when defined,  $\llbracket \text{even} \rrbracket(p) = p$ .)

As the following sentences show, taken from Kay (1990), *even*-sentences can describe non-extreme cases. For example, (68a) is felicitous although the prejacent “Mary made it to the SEMI-finals<sub>F</sub>” is less extreme than that Mary made it to the finals.

- (68) a. Not only did Mary win her first round match, she **even** made it to the SEMI-finals<sub>F</sub>.  
 b. The administration was so bewildered that they **even** had [lieutenant colonels]<sub>F</sub> making policy decisions.

One way to restore the universal scalar presupposition is to assume that the most extreme case, that Mary made it to the finals, is not included in the alternative set used by *even* (Lahiri 2008; Greenberg 2016, 2019b). Moreover, Greenberg argues against the existential scalar presupposition with examples like (69): *even* cannot be used in a non-extreme case once the extreme case has been made explicitly in the context.

- (69) (Harry, John and Bill participated in the sports competition.) Harry made it to the finals, John won his first round match, and Bill (??**even**) made it to the SEMI-finals<sub>F</sub>.

Contra Greenberg (2016, 2019b), I argue that the oddness of *even* in (69) isn’t due to a failure of satisfying the scalar presupposition of *even*. Instead, it is due to the oddness of not using *even* when the option of using *even* is clearly available in terms of the truthfulness of the related

evaluative inference and the speaker’s linguistic habit of using evaluative particles. More precisely, a conjunction of the form “ $S_1$  and *even*- $S_2$ ” implicates that either (i) *even*- $S_1$  is infelicitous (i.e., that the evaluative scalar presupposition, that  $S_1$  is unlikely, is false,) or at least that (ii) *even*- $S_2$  doesn’t grant the felicity of *even*- $S_1$  (i.e., that  $S_2$  is unlikely doesn’t entail that  $S_1$  is unlikely). In consequence, if *even* is used for a less extreme case, it should also be used for the more extreme case(s). Consider, in contrast to (69), *even* felicitously appears in the *semi-finals*-clause in (70) as it also appears in the *finals*-clause.

- (70) [— Harry, John and Bill participated in the sports competition. I heard that Harry won his first round. How exciting! — Well,] not only that Harry won his first round, John **even** made it to the finals<sub>F</sub>, and Bill also **even** made it to the semi-finals<sub>F</sub>!

The above condition of *even*-clauses can be descriptively generalized as follows:<sup>24</sup>

(71) **Felicity condition of coordinating clauses with evaluatives**

For an evaluative expression  $\delta$ , a coordination with clauses  $\{p, \delta(q)\}$  is felicitous only if the evaluative inference of  $\delta(q)$  doesn’t entail the evaluative inference of  $\delta(p)$ .

Consider the evaluative word *surprising*(ly) for illustration of this condition. As shown in (72a-b), in a conjunction, modifying one conjunct with *surprising*(ly) but not the other implicates that the conjunct without *surprising*(ly) is not/less surprising.

- (72) a. Harry made it to the finals, and Bill (also) made it to the semi-finals.  
 $\not\rightarrow$  *It is not/less surprising that Harry made it to the finals.*  
 b. Harry made it to the finals, and **surprisingly**, Bill (also) made it to the semi-finals.  
 $\rightsquigarrow$  *It is not/less surprising that Harry made it to the finals.*

The condition (71) also extends to exclusive scalar particles like *only* and *just*, which are considered to be pragmatic antonyms of *even* (Klinedinst 2005; Zeevat 2009; Beaver and Clark 2009; Al Khatib 2013; contra Greenberg 2019b). In (73), using *just* triggers an evaluative inference that the speaker

<sup>24</sup>In an earlier version of this paper, I stated the felicity condition as follows:

- (i) For an evaluative expression  $\delta$ , a coordination with clauses  $\{p, \delta(q)\}$  is felicitous only if the evaluative inference of  $\delta(p)$  is false.

Greenberg (2019a) argues that this condition is too strong. In the following example, the utterances by  $B_1$  and  $B_2$  are both felicitous, despite that the evaluative inference of *Bill only wrote 5*, namely that writing 5 papers is not well, is true.

- (ii) A: How many papers did your faculty members write in this period?  
 $B_1$ : Well, John did great. He wrote 8 papers. The rest didn’t do so well: Bill wrote 5, and Susan **only** wrote 4.  
 $B_2$ : Well, John did great. He wrote 8 papers, The rest didn’t do so well: Bill wrote 5, Harry **only** wrote 3 and Susan **only** wrote 4. (Modified from Greenberg (2019a: ex. 26))

The modified felicity condition (71) correctly predicts the felicity of these utterances — that writing 4 papers is not well doesn’t entail that writing 5 papers is not well.

It’s also worthy of mentioning that, as far as vagueness and subjectivity are considered, the seemingly stronger condition (i) actually makes the same prediction as (71). If ‘being well’ vaguely means writing  $n$  or more papers, *only wrote  $m$  papers* presupposes  $m < n'$ , where  $n'$  is a subjectively chosen number that can be equivalent to, or slightly less than, or much less than the actual threshold  $n$ . Hence in (ii), although it is true that writing 5 papers is not well ( $5 < n$ ), *Bill wrote 5* may not be modified by *only* as long as the chosen  $n'$  is not larger than 5. In contrast, if the speaker uses *only* for the clause *Bill wrote 5*, then the chosen  $n'$  must be larger than 5, making *only* mandatory in *Susan wrote 4*. This argumentation also holds for the examples in (73).

considers the said price cheap. It is odd to use *only* for a higher price while not using it for a lower price, as in (73a), compare with (73b).

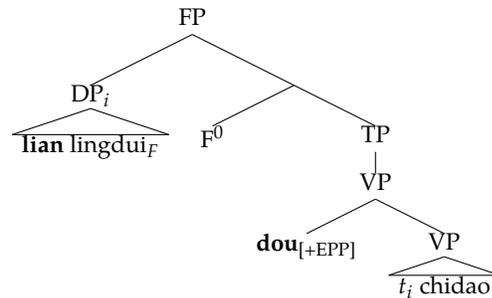
- (73) [— How much are these shoes? — Well, ...]  
 a. ... this pair is \$40, and that pair is (**#only**) \$50.  
 b. ... this pair is **only** \$40, and that pair is (**only**) \$50.

In conclusion, the infelicity of (69) is not due to the failure of the scalar presupposition of *even*. The rest of the paper adopts the view of Bennett (1982) and Kay (1990) and assumes an existential scalar presupposition for *even*.

### 5.3.2. Deriving the *even*-like inference

The [(*lian*) Foc *dou* ...] construction has an *even*-like reading. I assume a toy surface structure as in (74). Details of tense and aspect are ignored. In this structure, *dou* serves as a VP-adjunct, and *lian* is a focus marker which takes the focused or focus-containing phrase as its complement. To check off the [+EPP] feature of *dou*, *lian* together with the focused phrase (or the focus-containing phrase) moves to the spec of FP.

- (74) **Lian** [LINGDUI]<sub>F</sub> **dou** chidao -le.  
 LIAN team-leader DOU late -PERF  
 ‘Even the team leader was late.’



When *dou* is associated with *lian*-FocP, the measurement used for ordering alternatives gets shifted from logical strength to likelihood. This shift brings changes to both the meaning of sub-alternatives as well as the exhaustifier encoded within the lexicon of *dou* used for pre-exhaustification. First, a proposition that is logically weaker is usually more likely to be true,<sup>25</sup> and thus sub-alternatives of the prejacent propositional argument of *dou* are the alternatives that are more likely than this prejacent proposition. Second, the pre-exhaustification effect of *dou* is realized by

<sup>25</sup>This generalization is a lax variant of the *Entailment-Scalarity Principle* (Crnič 2011: 15): for any two propositions  $p$  and  $q$ , if  $p \subseteq q$ , then  $p \leq_{\text{likely}} q$ . Strictly speaking, a proposition logically weaker than  $p$  can have the same probability as  $p$ . By Kolmogorov’s third axiom, the probability of a union of mutually exclusive propositions equals the sum of the probability of the propositions. Formally: for any two propositions  $p_1$  and  $p_2$ , if  $p_1 \cap p_2 = \emptyset$ , then  $\Pr(p_1 \cup p_2) = \Pr(p_1) + \Pr(p_2)$ . Accordingly, we have:

$$\begin{aligned} \text{(i) If } p \subset q, \text{ then } \Pr(q) &= \Pr(p \cup (q - p)) \\ &= \Pr(p) + \Pr(q - p) \\ &\leq \Pr(p) \end{aligned}$$

The above computation shows that a weaker proposition  $q$  and the stronger proposition  $p$  are equally likely if their difference  $q - p$  is assigned with possibility zero, which amounts to saying that  $p$  and  $q$  are contextually equivalent.

- (ii) Two propositions  $p$  and  $q$  are **contextually equivalent** with respect to context  $c$  iff  $\forall w[w \in c \rightarrow p(w) = q(w)]$ .

For the purpose of this paper, we can ignore this special case by strengthening the non-vacuity presupposition as follows: “**dou**<sub>C</sub>(S)” is defined only if S has a sub-alternative in C and that this sub-alternative is not contextually equivalent to S. I thank Benjamin Spector and Manuel Križ for discussions. All errors are mine.

the scalar exhaustifier *just* (not the *O*-exhaustifier).<sup>26</sup> Analogous to the *O*-exhaustifier, *just* affirms the prejacent and states a scalar exhaustivity condition that no true alternative is more likely.

(75) **Sub-alternatives as more likely alternatives (By likelihood)**

$$\text{SUB}(p, C) = \{q \mid q \in C \wedge q >_{\text{likely}} p\}$$

(76)  $\text{JUST}_C(q) = \lambda w : q(w) = 1 \wedge \forall r \in C [r(w) = 1 \rightarrow q \leq_{\text{likely}} r]$

(*q* is true, and *q* is the least likely proposition among its true alternatives in *C*.)

The above two changes adapt the definition of *dou* to (77). Compared with the default lexical entry in (48), the only parameter gets changed is the semantics of sub-alternatives, or more specifically, the measurement of ordering alternatives.

(77) **Semantics of *dou*** (in the [*lian Foc dou ...*] construction)

$$\llbracket \text{dou}_C \rrbracket = \lambda p \lambda w : \exists q \in \text{SUB}(p, C). p(w) = 1 \wedge \forall q \in \text{SUB}(p, C) [\text{JUST}_C(q)(w) = 0]$$

$$\text{where } \text{SUB}(p, C) = \{q \mid q \in C \wedge q >_{\text{likely}} p\}$$

(For any proposition *p*:  $\llbracket \text{dou}_C \rrbracket(p)$  is defined only if *p* has at least one sub-alternative in *C*.)

When defined,  $\llbracket \text{dou}_C \rrbracket(p)$  means '*p*, and for any sub-alternative *q* in *C*, not just *q*.'

The assertion of *dou* can be further simplified. The anti-exhaustification condition provided by the *not just*-clause (underlined in (78)) that 'every alternative that is more likely than *p* is more likely than some true alternative of *p*,' is asymmetrically entailed by the rest asserted part that '*p* is true.' [Proof: Whenever *p* is true, then any alternative of *p* that is more likely than *p* is less likely than some true alternative *r*, where *r* = *p*. End of proof.] Hence, the asserted component of *dou* simply affirms its propositional argument, or equivalently, is vacuous. Finally, we get a *dou* semantically equivalent to *even*: the non-vacuity presupposition of *dou* is equivalent to the existential scalar presupposition of *even*, and the assertion is vacuous.

(78)  $\llbracket \text{dou}_C \rrbracket$

$$= \lambda p \lambda w : \exists q \in \text{SUB}(p, C). p(w) = 1 \wedge \forall q \in \text{SUB}(p, C) [\text{JUST}_C(q)(w) = 0]$$

$$= \lambda p \lambda w : \exists q \in \text{SUB}(p, C). p(w) = 1 \wedge \forall q \in \text{SUB}(p, C) \exists r \in C [r(w) = 1 \wedge q >_{\text{likely}} r]$$

$$= \lambda p \lambda w : \exists q \in C [q >_{\text{likely}} p]. p(w) = 1 \wedge \forall q \in C [q >_{\text{likely}} p \rightarrow \exists r \in C [r(w) = 1 \wedge q >_{\text{likely}} r]]$$

$$= \lambda p \lambda w : \exists q \in C [q >_{\text{likely}} p]. p(w) = 1$$

(For any proposition *p*:  $\llbracket \text{dou}_C \rrbracket(p)$  is defined only if *p* is less likely than at least one of its contextually relevant alternatives; when defined,  $\llbracket \text{dou}_C \rrbracket(p) = p$ .)

$$= \llbracket \text{even}_C \rrbracket$$

Thus, it is plausible to say that the *even*-like interpretation of the [*lian Foc dou ...*] construction comes from the non-vacuity presupposition of *dou* (Portner 2002, Shyu 2004, Paris 1998, Liao 2011,

<sup>26</sup>The *O*-to-*just* change is a logical consequence of defining sub-alternatives as more likely alternatives:

(i)  $O_C(q) = \lambda w : q(w) = 1 \wedge \forall r \in ((C - \text{SUB}(q, C)) - \{q\}) [r(w) = 0]$   
 $= \lambda w : q(w) = 1 \wedge \forall r \in ((C - \{r' \mid r' \in C, r' >_{\text{likely}} q\}) - \{q\}) [r(w) = 0]$   
 $= \lambda w : q(w) = 1 \wedge \forall r \in C [r <_{\text{likely}} q \rightarrow r(w) = 0]$   
 $= \lambda w : q(w) = 1 \wedge \forall r \in C [r(w) = 1 \rightarrow q \leq_{\text{likely}} r]$   
 $= \text{JUST}_C(q)$

Liu 2016c), while that the particle *lian* is simply a focus marker and is present just for syntactic purposes. I define *lian* as follows: it asserts the meaning of its argument, and presupposes that this argument is focused. Following Rooth (1985, 1992, 1996), we say that a focused or focus-containing expression  $\alpha$  has at least one F-alternative distinct from itself.

$$(79) \llbracket \textit{lian}(\alpha) \rrbracket = \llbracket \alpha \rrbracket, \text{ defined only if } \{ \llbracket \alpha \rrbracket \} \subset \text{F-ALT}(\alpha).$$

### 5.3.3. Minimizer-licensing

Minimizers (including also emphatic weak scalar items such as *YI-ge ren* ‘ONE person’) can occur at the focal position in the [*lian* Foc *dou*...] construction. Usually, to license a minimizer, a post-*dou* negation must be present, as exemplified in (80). But, there are also cases where the post-*dou* negation is optional, as seen in (81).

(80) Yuehan (*lian*) [YI-ge ren]<sub>F</sub> **dou** \*(bu) renshi.  
 John LIAN one-CL person DOU NEG know  
 ‘John doesn’t know anyone.’

(81) Yuehan (*lian*) [YI-fen qian]<sub>F</sub> **dou** (bu) yao.  
 John LIAN one-cent money DOU NEG request  
 Without negation: ‘John doesn’t even want one cent.’ (≈ ‘John doesn’t want any money.’)  
 With negation: ‘John wants it even if it is just one cent.’ (≈ ‘John wants any amount of money, however small amount it is.’)

Minimizers must occur under a non-upward-entailing (i.e., downward-entailing or non-monotonic) operator. An operator is upward-entailing if it preserves the entailment pattern of its argument, downward-entailing if it reverses this pattern, and non-monotonic if it does neither. For instance, the entailment from *Li is a syntactician* to *Li is a linguist* is preserved in the modalized sentences (82a) and reversed in the negative sentences (82b). We thus say that *might* is upward-entailing while *not* is downward-entailing. In comparison, neither direction of entailment holds in the bi-conditional sentences (82c), which suggests that *iff* is non-monotonic in its second argument.

(82)	a. <i>Upward-entailing</i>	b. <i>Downward-entailing</i>	c. <i>Non-monotonic</i>
	Li might be a linguist.	Li isn’t a linguist.	I’ll invite Li iff she is a linguist.
	↑	↓	↯   ↰
	Li might be a semanticist.	Li isn’t a syntactician.	I’ll invite Li iff she is a syntactician.

In what follows, I will show that the distributional pattern of Mandarin minimizers in [*lian* MIN *dou*...] constructions mirrors the distributional pattern of English minimizers and emphatic weak scalar items in *even*-sentences. Next, I extend Crnič (2011, 2014)’s analysis of minimizer-licensing in English *even*-sentences to minimizer-licensing in Mandarin [*lian* ... *dou* ...] constructions.

#### I. Minimizer-licensing in *even*-sentences: scalar presupposition + operator movement

In English, a minimizer (such as a canonical minimizer like *lift a finger* or an emphatic weak scalar item like *ONE video*) can appear under the scope of *even* only if the propositional complement of *even* is downward-entailing or non-monotonic with respect to this minimizer (Crnič 2011, 2014). Consider

the distribution of the emphatic weak scalar item *ONE video* in *even*-sentences for illustration. It is licensed only if the *even*-sentence involves a downward-entailing operator such as negation *n't*, as in (83b), or a non-monotonic predicate such as the desire predicate *hope*, as in (83c).

- (83) a. \*John made even ONE video.  
 b. John didn't make even ONE video.  
 c. I **hope** to someday make even ONE video of that quality.

Crnič (2011, 2014) argues that the distribution of minimizers in *even*-sentences is a consequence of the scalar presupposition of *even*. For his analysis, it doesn't matter whether the scalar presupposition is universal or existential. I present his idea with an existential scalar presupposition. Further, Crnič bridges logical strength and likelihood via the following principle (see also footnote 25):

(84) **Entailment and Scalarity** (Crnič 2011: 15)

If  $p \subseteq q$ , then  $p \leq_{\text{likely}} q$ .

(If a proposition  $p$  entails a proposition  $q$ , then  $p$  is at most as likely as  $q$ .)

Conversely, if  $p >_{\text{likely}} q$ , then  $p \not\subseteq q$ . Therefore, to satisfy an existential scalar presupposition, the propositional prejacent of *even* must have an alternative that does not entail the prejacent. This requirement immediately predicts the ungrammaticality of (83a). With a focus-mark on the weak scalar item *ONE*, alternatives in the domain of *even* are formed by replacing *ONE* with other positive integers:  $C = \{ \text{John made } n \text{ videos} \mid n \in \mathbb{N}^+ \}$ . Hence, the existential scalar presupposition of *even* requires the prejacent proposition to be more likely than, and thus not entailed by, at least one of the alternatives in  $C$ . Nevertheless, because the prejacent is entailed by all the alternatives in  $C$ , this requirement cannot be satisfied, leaving the use of *even* infelicitous and the minimizer unlicensed.

- (85) \*John made even ONE video.  
 a.  $\text{Even}_C$  [John made one<sub>F</sub> video ]  
 b. For any  $n$  s.t.  $n > 1$ : John made 1 video  $\supset$  John made  $n$  videos

As for the grammatical cases in (83b-c), Crnič proposes that the LFs of these sentences involve covert movement of *even*. This movement does not leave a trace, but it makes *even* take wide scope. When *even* is associated with a minimizer across a downward-entailing operator (e.g., *not*) as in (86a), the prejacent is logically stronger than all the other alternatives. When *even* is associated with a minimizer across a non-monotonic operator such as the desire predicate *hope* (Heim 1992) as in (87a), the prejacent is logically independent from other alternatives.<sup>27</sup> In both cases, with proper contexts, the prejacent of *even* can be less likely than (at least) some of the alternatives in  $C$ .

- (86) John didn't make even ONE video.  
 a.  $\text{Even}_C$  [<sub>DE</sub> **not** [  $\text{even}_C$  [John made one<sub>F</sub> video ]]]  
 b. For any  $n$  s.t.  $n > 1$ : **not** [John made 1 video]  $\subset$  **not** [John made  $n$  videos]

<sup>27</sup>An anonymous reviewer points out a problem with Crnič's analysis: if the LF of the sentence (87) were as in (87a) where *even* moves covertly to the left edge, the following sentence would be grammatical, contra fact.

(i) #I even hope to someday make ONE video of that quality.

- (87) I **hope** to someday make even ONE video of that quality.
- a. Even<sub>C</sub> [<sub>NM</sub> I **hope** to [ even<sub>C</sub> [someday make one<sub>F</sub> video of that quality]]]
  - b. For any  $n$  s.t.  $n > 1$ : I **hope** to [... make 1 video ...]  $\not\subseteq$  I **hope** to [... make  $n$  videos ...]  
I **hope** to [... make 1 video ...]  $\not\supseteq$  I **hope** to [... make  $n$  videos ...]

## II. Minimizer-licensing in [*lian ... dou*] constructions: scalar presupposition + F-reconstruction

Similar to the minimizer-licensing condition in English *even*-sentences, in Mandarin, the minimizer in a [*lian* MIN *dou*...] construction is licensed iff the preadjacent clause of *dou* is downward-entailing or non-monotonic with respect to this minimizer. Briefly, the post-*dou* negation *bu* in (80) provides a downward-entailing environment, while the desire predicate *yao* ‘want’ in (81) provides a non-monotonic environment.

Since the Mandarin particle *dou* in a [*lian ... dou ...*] construction is semantically identical to English *even*, we can easily extend Crnič’s analysis of minimizer-licensing in English *even*-sentences to minimizer-licensing in Mandarin [*lian ... dou ...*] constructions. Briefly, the minimizer-licensing condition is a logical consequence of the non-vacuity presupposition of *dou*, which requires the propositional argument of *dou* to be less likely than some of the alternatives, and hence not to be weakest proposition among the alternatives. The only difference between my treatment of *dou* and Crnič’s of *even* is the following: while Crnič assumes an operator movement of *even* over a non-upward-entailing operator, I assume that the minimizer undergoes reconstruction and gets interpreted below the non-upward-entailing operator.

In (80), repeated below, the non-vacuity presupposition of *dou* forces the minimizer *YI-ge ren* ‘one person’ to take reconstruction and get interpreted below negation. Hence, without negation or if the minimizer scopes above negation, the propositional argument of *dou* would be logically the weakest among its alternatives, leaving the presupposition of *dou* unsatisfied.

- (88) Yuehan (*lian*) [<sub>YI<sub>F</sub>-ge ren</sub>] **dou** \*(*bu*) *renshi*.  
John LIAN one-CL person DOU NEG know  
‘John doesn’t even know ONE person.’
- a. \* **Dou** [<sub>UE</sub> [**lian** (**one<sub>F</sub> person**)]<sub>i</sub> NOT [John knows  $t_i$  ]] MIN  $\gg$  NEG  
for any  $n > 1$ :  $\exists 1x \neg [know(j, x)] \supset \exists nx \neg [know(j, x)]$
  - b. **Dou** [<sub>DE</sub> NOT [John knows **lian** (**one<sub>F</sub> person**)]] NEG  $\gg$  MIN  
for any  $n > 1$ :  $\neg \exists 1x [know(j, x)] \subset \neg \exists nx [know(j, x)]$

The F-reconstruction analysis is supported by the ungrammaticality of (89): a minimizer cannot be licensed if it cannot be reconstructed to a position below negation (or other non-upward-entailing operator). In (89), the minimizer *YI-ge ren* ‘one person’ serves as the subject, whose surface position and reconstructed position are both higher than negation *bu*, and hence the ungrammaticality of (89) cannot be salvaged by reconstruction.<sup>28</sup>

- (89) \*(*Lian*) [<sub>YI<sub>F</sub>-ge ren</sub>] **dou** *bu renshi* Yuehan.  
LIAN one-CL person DOU NEG know John.  
Intended: ‘No one knows John.’

<sup>28</sup>Mandarin is highly isomorphic. It doesn’t allow scope inversion (for subjects at least). For example:

The optional presence of a post-*dou* negation in (81) can also be accounted for in the same way. The desire predicate *yao* ‘want (to have)’ is a non-monotonic operator (after Heim 1992). Hence, when the minimizer *YI-fen qian* ‘one cent’ takes scope below *yao*, as in (90b), the alternatives of the propositional argument of *dou* are semantically independent from each other. In a context such as that John is unlikely to be interested in a small amount of money, the prejacent *John wants to have one cent* would be less likely than alternatives such as *John wants to have two cents*. Therefore, the non-vacuity presupposition of *dou* can be satisfied even without the presence of post-*dou* negation.

- (90) a. Yuehan (lian) [YI-fen<sub>F</sub> qian] **dou** yao.  
 John LIAN one-cent money DOU want  
 ‘John wants to have even one cent.  
 (Intended: John wants any money, however little money it is.)’  
 b. **Dou** [John<sub>i</sub> wants<sub>NM</sub> [lian one-cent<sub>F</sub>  $\lambda x$  [ $e_i$  has  $x$ ]]]

### 5.3.4. Association with a scalar item

Associating *dou* with an in-situ scalar item implies that the prejacent proposition ranks relatively high with respect to some contextually relevant measurement. A simple way of thought would be to order the alternatives based on the contextually relevant measurement, and to define the sub-alternatives as the ones that rank lower than the prejacent proposition with respect to this measurement.<sup>29</sup>

- (91) **Sub-alternatives as lower ranked alternatives (by contextually relevant measurement)**  
 $SUB(p, C) = \{q \mid q <_{\mu} p, q \in C\}$   
 (The set of contextually relevant alternatives of  $p$  that rank lower than  $p$  w.r.t.  $\mu$ )

In (92), repeated from (9), sub-alternatives are propositions that rank lower than the prejacent in chronological order. The sentence means that it’s 5 o’clock, not just 4 o’clock, not just 3 o’clock, ...

- (92) **Dou** [WU<sub>F</sub>-dian] -le.  
 DOU five-o’clock -ASP  
 ‘It is **dou** [FIVE] o’clock.’  $\rightsquigarrow$  *It’s too late.*  
 a.  $C = \{it's\ n\ o'clock \mid n \in \mathbb{N}, 0 \leq n \leq 24\}$   
 b.  $SUB(it's\ five\ o'clock, C) = \{it's\ 4\ o'clock, it's\ 3\ o'clock, \dots\}$

To satisfy the non-vacuity presupposition of *dou*, the prejacent scalar clause needs to be relatively strong among its  $\sigma$ -alternatives. For example, in (93), *dou* can be associated with ‘twice’ but not with ‘once’.

- 
- (i) a. Mei-ge-ren dou mei lai.  
 every-CL-person DOU NEG come  
 (<sup>OK</sup>EVERY  $\gg$  NEG, #NEG  $\gg$  EVERY)  
 b. You yi-ge-ren mei lai.  
 exist one-CL-person NEG come  
 (<sup>OK</sup>SOME  $\gg$  NEG, #NEG  $\gg$  SOME)

<sup>29</sup>See Greenberg (2018, 2019b) for a refined analysis of English *even* that makes use of general gradability instead of likelihood. Her analysis also extends to the Mandarin particle *dou*.

- (93) Ta **dou** yijing lai -guo zher [LIANG/\*YI<sub>F</sub>-ci] -le.  
 he DOU already come -EXP here two/one-time -ASP.  
 ‘He has already been here twice/\*once.’

## 6. Sorting the parameters

In sum, I have defined *dou* uniformly an exhaustifier that negates pre-exhaustified sub-alternatives, as repeated from (33). This semantics derives the three uses of *dou*. For the distributor use and the scalar marker use, the non-vacuity presupposition is responsible for all the observed semantic effects, while the anti-exhaustivity inference collapses under the prejacent inference. For the FC-licenser use, the non-vacuity presupposition is trivially satisfied, while the prejacent inference together with anti-exhaustivity inference yields the FC inference.

$$(94) \llbracket dou_C \rrbracket = \lambda p \lambda w : \underbrace{\exists q \in \text{SUB}(p, C)}_{\text{non-vacuity}} . \underbrace{p(w) = 1}_{\text{prejacent}} \wedge \underbrace{\forall q \in \text{SUB}(p, C)[O_C(q)(w) = 0]}_{\text{anti-exhaustivity}}$$

I’ve also shown that the function alternations of *dou* comes from the meaning variation of sub-alternatives. Among the four variants for the definition of sub-alternatives summarized in Table 1, the first two are based on logical strength, varying with respect to the type of excludability (regular excludability or innocent excludability), the third is based on likelihood, and the last is based on a contextually determined scale. This section considers only the first three variants.

	Definition of sub-alternatives	Function of <i>dou</i>
Def (a)	Alternatives that are <b>weaker</b> than the prejacent	Distributor
Def (b)	Alternatives that are <b>not I-excludable</b>	∀-FCI-licenser
Def (c)	Alternatives that are <b>more likely</b> than the prejacent	EVEN
Def (d)	Alternatives ranked lower than the prejacent w.r.t. a relevant measurement	Scalar marker

Table 1: Definitions of sub-alternatives and the corresponding functions of *dou*

Here arise two non-trivial questions: how are these variants related, and which variant is primary? I argue that Def (a) is primary, while that Def (b-c) are derived from (a) by two independent semantic weakening operations, as illustrated in Figure 1.

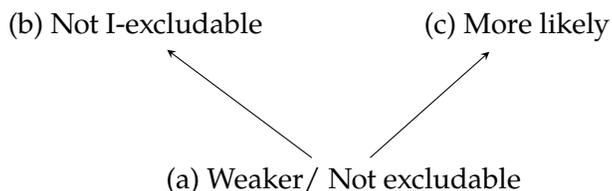


Figure 1: Development path for sub-alternatives

In particular, Def (b) is derived from (a) by weakening unexcludability to un-I-excludability: any non-excludable alternative is also not I-excludable, while not all excludable alternatives are I-excludable.

Def (c) is derived from (a) by shifting from logical strength to likelihood: in general, a weaker alternative is less likely. (See footnote 25.) As seen in section 5.3.3, in consequence, the non-vacuity presupposition gets weakened from requiring the existence of a weaker alternative to requiring the existence of a non-entailing alternative, which can be either weaker or logically independent.

The proposed derivational path for sub-alternatives yields two predictions. First, the distributor use of *dou* is primary, while the other two uses are derived, as illustrated in Figure 2. This prediction is supported by diachronic evidence: the two derived uses emerged much later than the primary use. In particular, the distributor use of *dou* emerged as early as the Eastern Han Dynasty (25-220AC) (Gu 2015), while so far there is no reliable evidence to show that *dou* could function as an *even*-like scalar additive operator or a FCI-licenser before the Ming Dynasty.

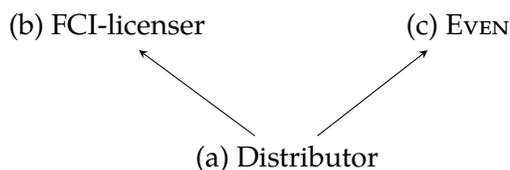


Figure 2: Development path for the uses of *dou*

Second, the likelihood-based semantics of *dou* (i.e., the semantics based on Def (a) of sub-alternatives) is marked and can be less widely used than the logical strength-based semantics (i.e., the semantics based on Def (c) of sub-alternatives). More concretely, the logical strength-based semantics of *dou* is default and should be widely available, while the likelihood-based one is derived and should be marked with further syntactic or prosodic operations.<sup>30</sup> This prediction is supported by the synchronic distribution of *dou* in basic declaratives and in [(*lian*) ... *dou* ...] constructions. The following table summarizes this distribution, broken up into three cases by the logical strength of the prejacent proposition of *dou* relative to its alternatives. The critical case is Case C, where the prejacent of *dou* is neither stronger than any alternative nor is the weakest alternative. In this case, *dou* can be used in a [*lian*...*dou*...] construction but not in a basic declarative. This distribution gap shows that the distributor use of *dou* doesn't come from the likelihood-based semantics, and that the likelihood-based semantics is not the default semantics.

If the prejacent of <i>dou</i> is ...	Can the non-vacuity presupposition of <i>dou</i> be satisfied in ...	
	... basic declaratives?	... [( <i>lian</i> ) ... <i>dou</i> ...] constructions?
A. stronger than some alternative(s)	Yes	Yes
B. the weakest alternative	No	No
C. neither	<b>No</b>	Yes

Table 2: Distribution of *dou* in declaratives and [(*lian*) ... *dou* ...] constructions

In what follows, I go through the three cases one by one. Keep in mind that *dou* presupposes the existence of a weaker alternative under the logical strength-based semantics, and the existence

<sup>30</sup>For the same reason, we expect that the unexcludability-based semantics of *dou* (i.e., the one defined based on definitions (b) of sub-alternatives) is more restrictedly used than the logical strength-based one. For example, the unexcludability-based semantics is licensed only when *dou* is associated with an existential or disjunctive quantifier.



A similar argument can be drawn based on the contrast between (98a-b). Although both sentences are grammatical, the preajacent clause *they bought houses* admits a collective reading in the [(*lian*) Foc *dou* ...] sentence (98b) but not in the basic declarative (98a). When taking a collective reading, the preajacent of *dou* is logically independent from all the alternatives, but it can be more likely than some of its alternatives in proper contexts. The unavailability of collective readings in (98a) again shows that *dou* cannot be interpreted with a likelihood-based semantics when appearing in a basic declarative.

- |      |    |  |    |  |
|------|----|--|----|--|
| (98) | a. | Tamen <b>dou</b> mai-le fangzi.<br>They DOU buy-PERF house<br>'They <b>dou</b> bought houses.'<br>(#collective, $\surd$ /distributive) | b. | (Lian) [TAMEN <sub>F</sub> ] <b>dou</b> mai-le fangzi.<br>LIAN they DOU buy-PERF house.<br>'Even THEY bought houses.'<br>( $\surd$ /collective, $\surd$ /distributive) |
|------|----|--|----|--|

## 7. Conclusions

This paper offered a uniform semantics to capture the seemingly diverse functions of the Mandarin particle *dou*, including the quantifier-distributor use, the  $\forall$ -FCI-licenser use, and the scalar use. I define *dou* as a special exhaustifier that operates on sub-alternatives and has a pre-exhaustification effect: *dou* presupposes the existence of at least one sub-alternative, asserts the truth of the preajacent and the negation of each pre-exhaustified sub-alternative.

The semantics of *dou* exhibits minimal alternations caused by semantic weakenings on the definition of sub-alternatives, giving rise to different uses. By default, sub-alternatives are the alternatives that are weaker than the preajacent, or equivalently, the ones that are not excludable and distinct from the preajacent. Under this definition of sub-alternatives, *dou* obtains its primary use as a distributor. Further, with a weakening from unexcludability to un-I-excludability, *dou* gains its FCI-licenser use. Alternatively, with a weakening from logical strength to likelihood, *dou* becomes semantically equivalent to English *even* and functions as a scalar additive operator. The derivational path for the functions of *dou* is supported by both diachronic and synchronic evidence.

The anti-exhaustivity assertion of *dou* is responsible for the derivation of universal FC inferences. The non-vacuity presupposition of *dou* explains the distributional pattern of *dou* and many of its semantic consequences, such as the requirements regarding to distributivity and plurality, the *even*-like interpretation of the [(*lian*) Foc/Min *dou* ...] construction, the distributional pattern of the post-*dou* negation in licensing minimizers, and so on.

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**Acknowledgements** For helpful comments and discussions, I would like to thank Gennaro Chierchia, Manuel Križ, Danny Fox, C.-T. James Huang, Mingming Liu, Christopher Piñón, Benjamin Spector, and the reviewers of *Journal of Semantics*. This article expands significantly on Xiang (2016b) and Xiang (2016a: chapter 7).

## Appendix 1: Deriving FC with recursive exhaustification

Readers who are familiar with the grammatical view of exhaustifications might find that the proposed meaning of *dou* is similar to the operation of recursive exhaustification proposed by Fox (2007) or to the pre-exhaustification exhaustifier for D-alternatives by Chierchia (2013). This appendix reviews the possibility of using recursive exhaustification to derive the  $\forall$ -FCI use of a pre-verbal disjunction in Mandarin. For a detailed comparison of these three operators, especially on computing sentences with post-verbal FCIs, see Xiang (2016a: chap. 2 Appendix).

Fox’s (2007) recursive exhaustification (abbreviated as ‘ $O^R$ ’) has two major characteristics. First, exhaustification negates only alternatives that are I-excludable. Second, exhaustification is applied recursively. See (99) for a concrete example for computing a  $\diamond$ -sentence with a post-verbal FCI. The inner exhaustification negates the I-excludable  $\sigma$ -alternative (i.e.,  $\diamond[p \wedge q]$ ) and F-alternatives (e.g.,  $\diamond r$ ); the D-alternatives are not negated in this round, because they are not I-excludable. The outer exhaustification affirms the exhaustified prejacent and negates the pre-exhaustified D-alternatives.

### (99) Recursive exhaustifications (Fox 2007)

$$O^R \diamond [p \vee q]$$

a. The first exhaustification:  $O \diamond [p \vee q] = \diamond [p \vee q] \wedge \neg \diamond [p \wedge q] \wedge \neg \diamond r$

b. The second exhaustification:

$$\begin{aligned} O^R O \diamond [p \vee q] &= O \diamond [p \vee q] \wedge \neg O \diamond (p) \wedge \neg O \diamond (q) \\ &= [\diamond [p \vee q] \wedge \neg \diamond [p \wedge q] \wedge \neg \diamond r] \wedge [\diamond p \rightarrow \diamond q] \wedge [\diamond q \rightarrow \diamond p] \\ &= [\diamond [p \vee q] \wedge \neg \diamond [p \wedge q] \wedge \neg \diamond r] \wedge [\diamond p \leftrightarrow \diamond q] \\ &= \diamond p \wedge \diamond q \wedge \neg \diamond [p \wedge q] \wedge \neg \diamond r \end{aligned}$$

For an easier comparison with *dou*, I formulate the semantics of  $O^R$  as in (100):  $O^R$  affirms the prejacent, negates the exhaustification of each sub-alternative, and negates the I-excl-alternatives.<sup>32</sup>

$$(100) \quad O_C^R(p) = \lambda w : p(w) = 1 \wedge \forall q \in \text{SUB}(p, C) [O_C(q)(w) = 0] \wedge \forall q' \in \text{IEXCL}(p, C) [q'(w) = 0] \\ \text{where } \text{SUB}(p, C) = (C - \text{IEXCL}(p, C)) - \{p\}$$

It can be observed that  $O^R$  is stronger than the proposed meaning of *dou*: unlike  $O^R$ , *dou* does not negate I-excl-alternatives and thus does not yield an exclusive inference or scalar implicature. For instance, the sentence “John or Mary **dou** can teach Intro Chinese” (English paraphrase of (6b)) does not imply that no one other than John and Mary can teach Intro Chinese. If *dou* is defined equivalent to  $O^R$ , we will have to assume that all the I-excludable F-alternatives are pruned.

Two reviewers suggested an alternative analysis for the FCI-licenser use of *dou*, which attributes the derivation of FC to the application of recursive exhaustification, as summarized in the following.

<sup>32</sup>In particular cases, the definition for  $O^R$  in (100) yields inferences different from what Fox’s proposal would expect: if the exhaustification of a sub-alternative is still not innocently excludable, the exhaustification of this sub-alternative would not be negated by  $O^R$  under Fox’s original definition. See details in Xiang (2016a: footnote 38).

First, *dou* is vacuous in assertion but it presupposes that the prejacent has at least one weaker alternative, as in (101b). Second, when *dou* combines with a disjunctive sentence, since its prejacent is the weakest among its alternatives, its presupposition forces the application of recursive exhaustification, which turns the prejacent disjunction into a conjunction.

- (101) John or Mary **dou** can teach Intro Chinese.
- a. LF:  $\mathbf{dou}_{C'} [O_C^R [s [John \text{ or}_{[+D]} Mary] \text{ can teach Intro Chinese}]]$
  - b.  $[[\mathbf{dou}_{C'}] = \lambda p \lambda w : \exists q \in C[p \subset q]. p(w) = 1$
  - c.  $C = \text{D-ALT}(S)$   
 $= \{\diamond \phi_j \vee \diamond \phi_m, \diamond \phi_j, \diamond \phi_m\}$
  - d.  $C' = \{O_C^R(p) \mid p \in \text{D-ALT}(S)\}$   
 $= \{O_C^R[\diamond \phi_j \vee \diamond \phi_m], O_C^R \diamond \phi_j, O_C^R \diamond \phi_m\}$

This analysis is quite appealing, but it faces two problems. First, it requires the D-alternatives of the prejacent disjunction to be used twice: once is by the recursive exhaustifier for deriving FC inference, and the other is by *dou* for fulfilling the presupposition. However, according to the grammatical view of exhaustifications, if an alternative has been used by a local operator, it will become unavailable to global operators. Second, contrary to the expected consequence of this analysis, recursive exhaustification cannot salvage the presupposition failure of *dou*. Consider the recursively exhaustified alternatives in (101d): these alternatives are derived by applying recursive exhaustification point-wise to the D-alternatives of the prejacent disjunction. The domain for recursive exhaustification, as in (101c), is also the set of D-alternatives of the prejacent disjunction.<sup>33</sup> As such, although recursively exhaustifying the prejacent disjunction yields a desired FC inference, as in (102a), the recursively exhaustified disjuncts (102b-c) contradict this FC inference, leaving the presupposition of *dou* unsatisfied.<sup>34</sup>

- (102) The alternatives in (101d) are mutually exclusive:
- a.  $O_C^R[\diamond \phi_j \vee \diamond \phi_m] = \diamond \phi_j \wedge \diamond \phi_m$
  - b.  $O_C^R \diamond \phi_j = O \diamond \phi_j = \diamond \phi_j \wedge \neg \diamond \phi_m$
  - c.  $O_C^R \diamond \phi_m = O \diamond \phi_m = \diamond \phi_m \wedge \neg \diamond \phi_j$

One might suggest to solve this problem by stipulating that recursively exhaustifying one disjunct does not negate the other disjunct (for example, let  $O_C^R \diamond \phi_j = \diamond \phi_j$ ). Then, the domain of *dou* would be pleasantly as follows:  $C' = \{\diamond \phi_j \wedge \diamond \phi_m, \diamond \phi_j, \diamond \phi_m\}$ . However, in Fox's (2007) derivation of  $\exists$ -FC

<sup>33</sup>In computing the embedded recursive exhaustification, F-alternatives must be pruned to avoid the undesired exclusive inference. Complications with  $\sigma$ -alternatives are ignored here.

<sup>34</sup>Crnič (2017) provides an analysis for post-verbal FC-*any* that overcomes the mutual exclusivity problem. The main trick is that the syntactic domain variable  $D$  of *any* moves over the recursive exhaustifier, as illustrated in (i). Here, corresponding to the "**dou**<sub>C'</sub>" in (101a), " $\text{Op}_{C'}$ " stands for a F-sensitive operator with a domain  $C'$ . (In Crnič's paper, " $\text{Op}_{C'}$ " is a covert *even* with a universal scalar presupposition.) Thanks to the binding relation between  $D$  and trace  $t_3$ , for each recursively exhaustified alternative, the domain of the recursive exhaustifier varies if  $g(D)$  is replaced with a subset.

(i)  $\text{Op}_{C'} [D \exists O_C^R [ \dots \text{ any } t_3 \text{ one } \dots ]]$

However, this analysis also requires D-alternatives to be used twice — once by the local exhaustifier, and once by the global focus-sensitive operator. Moreover, it doesn't extend to FC disjunctions: unlike quantificational determiners, disjunctions do not carry a syntactic domain variable.

inferences, it is crucial to let disjuncts be alternatives of each other. More precisely, in computing (99b), if the disjuncts are not alternatives of each other, applying the outer exhaustification yields a contradiction. The following considers two possibilities: (103a) assumes that F-alternatives are pruned, while (103b) assumes that F-alternatives (i.e.,  $\diamond r$  and  $\diamond s$ ) are not pruned.

$$\begin{aligned}
(103) \quad a. \quad & O'O\diamond[p \vee q] = O\diamond[p \vee q] \wedge \neg O\diamond p \wedge \neg O\diamond q \\
& = \diamond[p \vee q] \wedge \neg\diamond[p \wedge q] \wedge \neg\diamond p \wedge \neg\diamond q \\
& = \perp \\
b. \quad & O'O\diamond[p \vee q] = O\diamond[p \vee q] \wedge \neg O\diamond p \wedge \neg O\diamond q \\
& = \diamond[p \vee q] \wedge \neg\diamond[p \wedge q] \wedge \neg[\diamond r \vee \diamond s] \wedge [\diamond p \rightarrow \diamond r \vee \diamond s] \wedge [\diamond q \rightarrow \diamond r \vee \diamond s] \\
& = \diamond[p \vee q] \wedge \neg\diamond[p \wedge q] \wedge \neg[\diamond r \vee \diamond s] \wedge \neg\diamond p \wedge \neg\diamond q \\
& = \perp
\end{aligned}$$

## Appendix 2: Comparing with Liao and Liu

Liao (2011: ch. 4) makes the first attempt to provide a uniform semantics treatment of the three uses of *dou*. Her analysis of the FCI-licenser use is too complex to be reviewed here. Hence, the following introduces only the technicalities in her proposal needed for getting the scalar additive operator use and the distributor use. Liao assumes that *dou* has no meaning per se, but that it indicates the existence of focus and is subject to syntactic dependency with a covert c-commanding E-operator, as in (104a). The meaning of this E-operator equals to what Karttunen and Peters (1979) assume for *even*: the E-operator is truth conditionally vacuous but presupposes that its prejacent is the most unlikely proposition among its alternatives.

$$\begin{aligned}
(104) \quad & \text{(Lian) JOHN}_F \text{ dou arrived.} \\
a. \quad & [E_C [\text{JOHN}_{[+F],i} \text{ dou } t_i \text{ arrived}]] \\
b. \quad & \llbracket E_C \rrbracket = \lambda p \lambda w : \forall q \in C[p \neq q \rightarrow q >_{\text{likely}} p].p(w) = 1
\end{aligned}$$

When *dou* applies to a distributive sentence, the scalar presupposition of the E-operator is trivially satisfied: under a distributive reading, the prejacent of *dou* entails all of its alternatives, and hence is not less likely than any of its alternatives.

Liu (2016b,c, 2018) differs from Liao (2011: ch. 4) in two respects. First, instead of placing an E-operator in the logical form, he equivocates the meaning of *dou* and *even*:

$$\begin{aligned}
(105) \quad & \text{Semantics of } dou \text{ (Liu 2016b,c, 2018)} \\
& \llbracket dou_C \rrbracket = \lambda p \lambda w : \forall q \in C[p \neq q \rightarrow p <_{\text{likely}} q].p(w) = 1
\end{aligned}$$

This change is advantageous since it captures the locality of *even*-inferences. In example (106), the *even*-inference is generated within the antecedent *lian ... dou* clause and projects over the conditional, as in (106a). If the *even*-inference of a *dou*-sentence were from an E-operator, we would expect the possibility of placing E above the entire conditional (i.e., E [if **lian** JOHN<sub>F</sub> **dou** came, Mary will be happy]), which however yields the undesired conditional scalar inference in (106b).

$$(106) \quad \text{If } \mathbf{lian} \text{ JOHN}_F \mathbf{dou} \text{ came, Mary would be happy.}$$

- a.  $\rightsquigarrow$  Compared with others, JOHN is less likely to come.
- b.  $\nrightarrow$  Compared with others' visits, it is more likely that JOHN's visit would make Mary happy.

Second, based on Link-Landman's approach of encoding distributivity/collectivity distinction (Link 1983; Landman 1996, 2012), Liu improves on the treatment of distributivity/collectivity in the derivation of alternatives. (See the problem of cover-based analysis of distributivity/collectivity in footnote 15. Details of Liu's implementation are omitted due to the scope of this paper.) Liu's analysis predicts the follows: when taking a distributive reading, the propositional argument of *dou* entails all of its alternatives, and hence is not less likely than any of its alternatives; when taking a collective reading, the propositional argument of *dou* and its alternatives are logically independent, forcing to order the alternatives by likelihood, yielding the *even*-like use of *dou*.

Liu's account was developed in parallel with the proposed account, as witnessed by preliminary presentations of the two accounts (Xiang 2015, 2016b; Liu 2016a). Although both Liu's and mine accounts use Alternative Semantics, we ended up with views contradictory with respect to which function(s) and semantics of *dou* are primary. Briefly, Liu assumes that *dou* is primarily equivalent to the likelihood-based particle *even*, and that it takes a distributor-like use when the scalar presupposition of *dou* is trivially satisfied. In contrast, my account predicts that the *even*-like use of *dou* is secondary: it is employed only when the semantics of sub-alternatives is weakened from logical strength to likelihood. I argue that the prediction of my account is more compatible with the asymmetric distributions of the distributor use and the *even*-like use of *dou* in (97) and (98). If the likelihood-based semantics were the default semantics, *dou* should be licensed whenever the presupposition of its likelihood-based semantics is satisfied, and hence should have the same distribution in basic declaratives and [*lian* ... *dou* ...] constructions, *contra fact*. For example, for the basic declarative (107) (English paraphrase of (98a)), if *they bought houses together* is contextually more likely than *the others bought houses together*, the likelihood-based semantics of *dou* should have been defined even if the prejacent takes a collective reading, *contra fact*.

(107) They **dou** bought houses. (#collective,  $\surd$ distributive)

Liu so far has no published work on the FCI-licenser use of *dou*. But he suggested to me an attempt through a private conversation, described as follows. When the prejacent proposition of *dou* is existential or disjunctive, the plain value of this prejacent is too weak to satisfy the universal scalar presupposition of *dou*; therefore, the prejacent of *dou* is forced to be recursively exhaustified, giving rise to an FC interpretation. This analysis is pretty much the same as what was described in (101) in Appendix I, except that here *dou* presupposes a universal scalar presupposition.

- (108) John or Mary **dou** can teach Intro Chinese.
- a. LF:  $\mathbf{dou}_{C'} [O_C^R [\text{John or Mary can teach Intro Chinese}]]$
  - b.  $[[\mathbf{dou}_{C'}]] = \lambda p \lambda w : \forall q \in C' [p \neq q \rightarrow p <_{\text{likely}} q]. p(w) = 1$

This attempt would struggle with the same questions as faced by the recursive exhaustification analysis reviewed in Appendix. First, it requires the D-alternatives of the prejacent disjunction to be used twice — once by  $O^R$  and once by *dou*. Second, related to the mutual exclusivity problem, this analysis predicts an unwanted scalar inference. *Dou* quantifies over a set of recursively exhaustified D-alternatives, the same as in (102). The scalar presupposition of *dou* is not the wanted trivially

true inference (namely, that the FC inference is less likely than both disjuncts), but rather that the FC inference is less likely than both exhausted disjuncts. Contra the predicted scalar inference, one can coherently say the following: “speaking of John and Mary, it’s unlikely that only John can teach Intro Chinese; it’s more likely that John or Mary **dou** can teach.”

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