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On clitic doubling and argument ellipsis: Argument ellipsis as predicate ellipsis*
Željko Bošković
University of Connecticut

1. Introduction

The goal of this paper is to discuss a surprising and non-obvious case of interaction between clitic doubling and argument ellipsis, an ellipsis phenomenon which elides full arguments (as in John kissed Mary or Mary kissed John, with Mary elided; note that argument ellipsis is not available in English) and explore what this interaction tells us about the nature of the phenomena in question, especially with respect to argument ellipsis, for which a new analysis will be proposed. The case in question superficially does not involve either clitic doubling or argument ellipsis. However, it will be argued in the paper that the looks are deceiving in this case.

It is well-known that pronominal elements normally do not support sloppy-style readings. Rumić (2014a,b), however, observes several cases where pronominal clitics in Serbo-Croatian (SC) do yield such readings. Thus, she observes that the pronominal clitic in (1) allows both the strict reading, on which both Nikola and Danilo invited Nikola’s girlfriend, and the sloppy reading, on which Nikola invited Nikola’s girlfriend and Danilo invited Danilo’s girlfriend.

(1) Nikola je pozvao (svoju) djevojku na slavu, a pozvao ju je i Danilo. [SC]
Nikola is invited his girlfriend on slava and invited her.cl.acc is too Danilo
‘Nikola invited his girlfriend to the slava and Danilo invited his (Danilo’s/Nikola's) girlfriend too.’

The availability of the sloppy reading is rather surprising here, given that, as noted above, pronominal elements normally do not support such readings. Thus, the sloppy reading is unavailable in English (2).

(2) Nikola invited his girlfriend, and Danilo invited her too.

The obvious difference between (1) and (2) is that the pronominal element in the Serbo-Croatian example is a clitic. One might then reason that it is clitichood that makes the sloppy reading available in (1), i.e. that, in contrast to non-clitic pronouns, clitic pronouns do support sloppy readings. That a simple clitic/non-clitic pronominal approach cannot work here can be easily seen by looking at other languages. Thus, clitics in Macedonian, which is closely related to SC, do not support sloppy readings, as observed by Rumić (2014a,b).

(3) Nikola ja povika devojka si na slava, a Daniel ja povika isto [Mac]
Nikola her.cl.acc invited girl him.cl.dat refl at slava and Daniel her.cl.acc invited too
‘Nikola invited his girlfriend to the slava and Daniel invited Nikola’s/*Daniel girlfriend too.’

Maybe then it is something about SC that allows pronominal elements to support sloppy readings. Treating pronominal elements in SC in general as exceptional with respect to the availability of the sloppy reading is not a winning strategy either, given that non-clitic pronouns do not support sloppy

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readings even in SC.\footnote{Pronominal and auxiliary clitics in SC cluster in the second position of their clause; the word order is slightly changed in (4) to observe the second position requirement (the auxiliary is a second position clitic).}

\[4\) Nikola je pozvao (svoju) djevojku na slavu, a pozvao je nju i Danilo. [SC]

Nikola is invited his girlfriend on slava and invited is her too Danilo

‘Nikola invited his girlfriend to the slava and Danilo invited his (Nikola's/*Danilo’s) girlfriend too.

The availability of the sloppy reading in (1) then appears to be rather puzzling in light of the unavailability of the sloppy reading in (2)-(4).

The goal of this paper is to examine the reason for the exceptional behavior of SC (1) regarding the availability of the sloppy reading and then investigate the consequences of the proposed analysis of (1) for other phenomena, in particular clitic doubling and especially argument ellipsis, which will be argued to be crucial in understanding the exceptional behavior of (1). Regarding argument ellipsis, the goal of the paper is to establish the conditions under which argument ellipsis is possible and more generally to contribute to our understanding of the phenomenon by providing a semantically based account of argument ellipsis which will also considerably broaden the scope of the phenomenon in question. In particular, it will be argued that argument ellipsis actually involves predicate ellipsis, which will be implemented as LF copying of elements of type $<e, t>$ (see also Tomioka 2003). The LF copying process in question itself is not parameterized; it can in principle apply even in a language like English, which is assumed not to allow argument ellipsis. However, it will be shown that for independent reasons it cannot yield argumental interpretation in a language like English, while it can in a language like Japanese, which is assumed to allow argument ellipsis. A number of other conclusions will be reached in the course of the discussion regarding the nature of both argument ellipsis and clitic doubling.

Returning to examples like (1), Runić (2014a,b) observes that SC is not the only language where clitics can support sloppy-like readings. In the next section I will first discuss the broader generalization regarding the availability of sloppy readings noted by Runić (2014a,b) and then turn to the account of the generalization. Before providing an account, which will be done in section 3, I will make a brief digression in section 2 to discuss the phenomenon of argument ellipsis, which will be crucially involved in the account provided in section 3. Section 4 involves a more general discussion of argument ellipsis, with a new proposal regarding how the phenomenon in question should be analyzed.

2. On the (un)availability of sloppy readings with clitics crosslinguistically


Runiq (2014a,b) establishes a new, rather interesting generalization regarding clitic pronouns that also runs along the NP/DP lines. The generalization concerns the availability of sloppy-like...
readings, a phenomenon briefly discussed in the introduction. As noted there, such readings are
standardly assumed not to be available with pronominal elements. Runić shows that they are available
with pronominal clitics but that languages differ in this respect. In particular, she shows that sloppy
readings are available with clitics in NP languages, but not with clitics in DP languages. Thus, as noted
in the introduction, the clitic pronoun in SC (5)a supports the sloppy reading on which Nikola invited
Nikola’s girlfriend and Danilo invited Danilo’s girlfriend (the relevant context is given below). The
same holds for Slovenian (5)b. This is not possible in Macedonian (5)c and French (5)d, where only
the strict reading is possible. What is important here is that SC and Slovenian lack definite articles, i.e.
they are NP languages in Bošković’s (2008, 2012) terms, while Macedonian and French have definite
articles, i.e. they are DP languages in Bošković’s (2008, 2012) typology.

(5) a. Nikola je pozvao (svoju) djevojku na slavu, a pozvao ju je i Danilo. [SC]
Nikola is invited his girlfriend on slava and invited herCL.ACC is too Danilo
‘Nikola invited his girlfriend to the slava and Danilo invited his (Danilo's/Nikola's) girlfriend too.’
b. Marko je povabil (svojo) punko na zabavo, in povabil jo je tudi Peter. [Slov]
Marko is invited his girlfriend on party, and invited herCL.ACC is also Peter.
‘Marko invited his girlfriend to the party and Peter also invited his (Marko’s/Peter’s) girlfriend.’
c. Nikola ja povika devojka si na slava, a Danilo ja povika isto [Mac]
Nikola herCL.ACC invited girl him.CLA.DAT at slava and Danilo herCL.ACC invited too
‘Nikola invited his girlfriend to the slava and Danilo invited Nikola’s/*Daniel girlfriend too.’
d. Nicolas a invité sa petite amie à la fête et Danilo l’a invitee aussi. [French]
Nicolas has invited his girlfriend to the party and Danilo herCL.ACC has invited too
‘Nikola invited his girlfriend to the party and Danilo invited Nikola’s/*Daniel girlfriend too.’

Nikola and Danilo are brothers and their family celebrates St. Nicholas, the patron saint’s feast day in
Orthodox tradition that is celebrated annually on December 19. It is a common practice among Serbs
to invite a boyfriend/girlfriend to a family celebration. Both Nikola and Danilo have a girlfriend (thus,
in this context, there are two girlfriends) and they invited their girlfriends to their family celebration.

Runić (2014a,b) discusses several additional sloppy(-like) readings and a number of additional Slavic
and Romance languages (and Greek), which all conform to the pattern discussed above, i.e. they
confirm the NP/DP cut (e.g., the only Slavic languages where clitics disallow sloppy readings are
Macedonian and Bulgarian, which are the only Slavic languages with articles). Runić (2014a,b) then
concludes that we are dealing here with a more general pattern; in particular, she establishes (6).

(6) Clitics may have sloppy readings only in NP languages.

The question is now what is responsible for the generalization in (6). The goal of this paper is to
provide an account of (6) and then explore its consequences for the mechanisms involved in the
deduction of (6). Since the account will crucially involve the phenomenon of argument ellipsis, before
providing an account of (6) I will make a short digression to discuss argument ellipsis.3

3 See Runić (2014a,b) for a rather interesting alternative account of (6) which is based on an NP/DP analysis of clitic
pronouns. The account given in Runić (2014a,b) is semantically-based; under her account clitics in NP and DP languages
differ semantically. This paper proposes an alternative account of (6) where the difference in question does not result from
a different semantics of clitic pronouns in NP and DP languages but from an independent factor, which is only indirectly
related to clitics. (The proposed account also leaves room for potential speaker variation for NP languages like SC.)
A number of languages have been argued to allow ellipsis of arguments. The languages in question include Japanese, Korean, Turkish, Chinese, ASL, Malayalam, Mongolian, and Javanese (see Oku 1998, Saito 2004, 2007, Şener and Takahashi 2010, D. Takahashi 2008, Koulidobrova 2012, Takita 2011, Simpson et al 2013, Cheng 2013, Sato 2015, Sakamoto in press, among others). One of the defining characteristics of argument ellipsis is the possibility of sloppy(-like) readings. Thus, Japanese (7)b allows the reading on which Hanako respects different teachers from Taro, unlike the pronoun in (8)b (but on a par with (8)c and (8)d. I will refer to the reading in question as the sloppy-reading below).4

(7) a. Taroo-wa sannin-no sensei-o sonkeisiteiru.
   Taro-Top three-Gen teacher-Acc respects
   ‘Taro respects three teachers.’

b. Hanako-mo e sonkeisiteiru.
   Hanako-also respects
   ‘(Lit.) Hanako respects e, too.’

(Japanese, Şener and Takahashi 2010)

(8) a. John respects three teachers.

b. Mary respects them, too.

c. Mary does, too.

d. Mary respects three teachers.

The sloppy reading (Hanako’s son) is also possible in (9)b. It is, however, not possible with the pronoun in (9)c. (The examples are slightly modified from Şener and Takahashi 2010.)

(9) a. Taro-wa [zibun-no musuko-ga eigo-o sitteiru to] itta
   Taro-top self-gen son-nom English-acc know that said
   ‘Taro said that his son knew English’

b. Hanako-wa [e furansugo-o sitteiru to] itta
   Hanako-top French-acc know that said
   ‘Hanako said that e knew French’

c. Hanako-wa [kare-ga furansugo-o sitteiru to] itta
   Hanako-top he-nom French-acc know that said
   ‘Hanako said that he knows French’

Based on these facts and a number of additional arguments, a number of authors (Goldberg 2005, Kim 1999, Oku 1998, Saito 2004, 2007, Şener and Takahashi 2010, Sugawa 2008, Takahashi 2008, Takita 2011, Sakamoto in press, among many others) have argued that on the sloppy readings in question, (7)b and (9)b do not involve pro (given that in the contexts in question a pronoun cannot yield such readings). Rather, they involve argument ellipsis, where sannin-no sensei-o ‘three teachers’ and zibun-no musuko-ga ‘his son’ undergo ellipsis in (7)b and (9)b respectively (the readings in question are in fact available if these elements are overtly realized too).5

3.1. Argument ellipsis and clitic doubling

4 Note that SC clitic pronouns also license the sloppy reading in this context, see Runić (2014a).
5 The above is a brief illustration of some of the arguments for the argument ellipsis analysis from the literature. The works in question also show that Otani and Whitman’s (1991) analysis, on which eliptic null object constructions involve full VP ellipsis that is preceded by V-raising, cannot account for the full paradigm pertaining to argument ellipsis (e.g. they show that the sloppy readings of the kind illustrated above are available in the contexts where VP ellipsis is simply not possible).
What the data discussed above indicate is that ellipsis (i.e. argument ellipsis) but not overt pronouns gives rise to sloppy readings. In light of this, I suggest that the possibility of sloppy readings in examples like (5)a indicates that SC clitics co-occur here with an elided NP, i.e. that we are dealing here with a clitic+argument ellipsis combination. In other words, we are dealing here with a clitic doubling construction, where the doubled element is derived via argument ellipsis. The argument ellipsis NP, rather than the clitic, is the source of the sloppy reading. This analysis immediately explains why non-clitic pronouns, as in (4), do not yield such readings: only clitic pronouns are involved in the clitic doubling construction, non-clitic pronouns are not. Under this analysis, clitic and non-clitic pronouns in SC do not differ with respect to the availability of sloppy-readings, they are unavailable with both. Furthermore, SC and Macedonian clitics also do not differ with respect to the possibility of sloppy readings—neither of them gives rise to such readings. The difference here lies in the availability of argument ellipsis.

The argument ellipsis derivation, where argument ellipsis co-occurs with a clitic, then should not be available in DP languages, given Runić’s observation that clitic constructions in such languages do not support sloppy readings. This restriction can in fact be straightforwardly captured, given the generalization regarding the availability of argument ellipsis crosslinguistically established in Cheng (2013). In particular, Cheng (2013) establishes the generalization that argument ellipsis is possible only in languages without articles, i.e. NP languages (in fact, all the languages cited above as allowing argument ellipsis lack articles).

(10) Only languages without articles may allow argument ellipsis.

Given that what licenses the possibility of sloppy readings in clitic constructions is actually argument ellipsis, and that argument ellipsis is not available in DP languages, we then capture Runić’s observation that sloppy readings are not available with clitics in DP languages.

Note now that (10) is a one-way correlation; it does not require all NP languages to allow argument ellipsis. As discussed above, Japanese e.g. allows it, in fact in both subject and object position. Şener and Takahashi (2010) discuss the interesting case of Turkish, which allows it in object but not subject position. It turns out that SC behaves like Turkish in the relevant respect. Before demonstrating this, notice that what is important for our purposes is that argument ellipsis is allowed with objects, the unavailability of argument ellipsis with subjects is in fact irrelevant to the preceding discussion.

That being said, the following data indicate that argument ellipsis is not possible in the subject position in SC. Only the strict reading (Peter’s child) is possible in (11)b; the sloppy reading (Jovan’s child) is not (notice that SC has subject, but not object, agreement-licensed pro-drop).

(11) a. Petar je rekao da njegovo dijete zna engleski.
    Petar is said that his child knows English
    ‘Peter said that his child knew English’
    b. Jovan je rekao da e zna francuski.
    Jovan is said that knows French
    ‘Jovan said that e knew French.’

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6 What is important for our purposes is that (putting aside cases like (1)) sloppy readings are not possible with pronouns in the contexts under consideration; such readings are not always ruled out with pronouns, see, e.g. Elbourne (2001).
7 I will return below to the more general issue of clitic doubling in SC.
8 Cheng (2013) follows up here on one of the NP/DP generalizations argued for in Bošković (2012), namely the radical pro-drop generalization.
That SC has argument ellipsis in object position is harder to show since SC has V-stranding VP ellipsis, where the verb moves out of the VP, which is followed by VP ellipsis (Stjepanović 1998, Todorović 2015). This means that merely not eliding the verb is not enough to ensure that argument ellipsis rather than VP ellipsis is taking place. An argument for object argument ellipsis therefore needs to rule out the possibility of V-stranding VP ellipsis derivation. The data in (12)-(13) do in fact indicate that SC has object argument ellipsis. (Recall only object argument ellipsis is relevant for our purposes.)

(12) a. Ona je poslala svoje predstavnike jedan drugome.
   she is sent [her_{anaph} representatives_{acc}][each other_{dat}]
   ‘She sent her representatives to each other.’

b. *Ona je poslala jedan drugome svoje predstavnike.

(13) Ona je poslala svoje predstavnike jedan drugome, a on je predstavio jedan drugome.
   she is sent [her_{anaphor} representatives_{acc}][each other_{dat}] and he is introduced [each other_{dat}]

(12) shows that in the construction in question, only the DO-IO word order is possible, i.e. the IO cannot undergo movement here. This rules out the V-stranding VP ellipsis derivation for (13). Under that derivation, both the verb and the IO would have to move out of the VP, with the DO remaining in the VP to be elided under VP ellipsis. But then (13) should be at least as bad as (12)b, which it clearly is not.10

One may then wonder how other NP languages Runić (2014a) discussed, e.g. Slovenian, behave in the relevant respect. While the issue merits attention for independent reasons, it is actually not relevant for our purposes; in fact, whether the NP languages under consideration allow object argument ellipsis in non-clitic constructions turns out to be irrelevant to the proposed analysis of the clitic constructions under consideration. The reason for this has to do with the unavailability of argument ellipsis in (11). Saito (2007) provides a very interesting account of the impossibility of argument ellipsis in subject cases like (11) that allows argument ellipsis in clitic examples like (5)a regardless of whether argument ellipsis is allowed in the object position in examples without clitics.

Like Cheng (2013), Saito (2007) is concerned with the issue of what kind of languages in principle allow argument ellipsis. Saito argues that agreement matters in the availability of argument ellipsis. In particular, he argues for (14).

(14) Agreement blocks argument ellipsis  
     (Saito 2007)

Since Japanese in general lacks agreement, it has both subject and object argument ellipsis; on the other hand, since SC (and the same holds for Turkish) has subject but not object agreement, argument ellipsis is blocked by (14) only for the subject position in SC (and Turkish).

More importantly, the way Saito (2007) deduces (14) makes the issue of whether languages like SC allow argument ellipsis in the object position irrelevant to the availability of argument ellipsis in the clitic doubling cases discussed above. The gist of Saito’s analysis is that T/v cannot undergo agreement with an argument ellipsis TNP, hence argument ellipsis is not available when T/v have an

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9 See also Gribanova (2013a,b) and Bailyn (in press) for Russian (the discussion in these works bears on the possibility of both V-stranding VP ellipsis and argument ellipsis in Russian).

10 While it is better than (12)b, (13) is not completely perfect. However, it is not worse than (i), which indicates that whatever is responsible for its slight marginality has nothing to do with binding (we seem to be dealing here with a PF issue having to do with interaction of focus stress and deaccenting). In other words, this also confirms that we cannot be dealing here with the kind of derivation that (12)b has, which would be required under the V-stranding VP ellipsis analysis.

(i) ?Ona je poslala Ivanu Petru, a on je predstavio Petru.
   she is sent Ivan_{acc} Peter_{dat} and he is introduced Peter_{dat}
   ‘She sent Ivan to Peter and he introduced Ivan to Peter.’
agreement requirement that can only be satisfied by undergoing agreement with an argument ellipsis TNP. Following up on the line of research which goes back to Kuroda (1988), Saito argues that languages like Japanese, which do not exhibit morphological agreement, also lack agreement in general. In such languages, T/v then do not undergo agreement (i.e. they are not subject to an agreement requirement), hence argument ellipsis is possible in such languages.

How about languages that have overt morphological agreement, but only in certain positions? The issue is actually discussed in Şener and Takahashi (2010). Şener and Takahashi argue that the overtness of morphological agreement for particular heads matters. Recall that under Saito’s analysis, summarized in footnote 12, a functional head cannot undergo Agree with an argument ellipsis TNP. Any time agreement is morphologically manifested the relevant functional head must undergo agreement. Given the overtness of subject agreement in SC, this means that T is subject to the agreement requirement in SC, i.e. it must undergo Agree, which means subjects cannot undergo argument ellipsis in SC under Saito’s analysis. On the other hand, in the cases where agreement is not morphologically realized, in principle the relevant functional head may or may not be subject to an agreement requirement, where it would have to undergo Agree with a nominal element. None of the languages under consideration (i.e. those that are relevant for the generalization in (6)) actually has overt object agreement. This means that agreement itself cannot tell us anything about whether such languages would allow object argument ellipsis. If v in such languages is subject to the agreement requirement, object argument ellipsis would be blocked, if it isn’t, it would not be. Importantly, regardless of whether v is subject to the agreement requirement in the languages in question, i.e. regardless of whether object argument ellipsis is available in the languages in question, this analysis actually does not block the argument ellipsis derivation in constructions with clitics. In a clitic case like the one in (5)a, the clitic undergoes agreement with v. The argument ellipsis TNP that co-occurs with it then does not undergo agreement with v, hence argument ellipsis is not blocked for this TNP.

Under the combined Cheng/Saito analysis, we then get exactly the right cut, where argument ellipsis is always blocked in DP languages, including clitic cases like (5)b, but is allowed in NP languages like SC in the clitic cases (even regardless of its availability in non-clitic cases). Since under Saito’s analysis argument ellipsis should be allowed in the presence of an object clitic in the languages under consideration regardless of whether it is available in its absence, I will not examine if other relevant languages allow object argument ellipsis in the absence of a clitic (which SC anyway allows).

The analysis proposed above has important consequences for the more general issue of what determines the availability of argument ellipsis. It in fact provides evidence that both Cheng (2013) and Saito (2007) are right: both DP and agreement have the blocking effect on argument ellipsis. In

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11 I will use the term traditional NP (TNP) neutrally, without commitment to the categorical status of the relevant element: TNP stands for NP and its extended projections, if any (in DP languages, the TNP is a DP).

12 Following Chomsky (2000), Saito assumes that an unchecked Case feature makes TNPs visible for phi-feature agreement with functional heads. Argument ellipsis TNPs undergo Case-licensing in their original position prior to LF copying. They are then copied without an unchecked Case feature, which means that they are inactive for agreement in their new position. The argument ellipsis derivation then fails in languages where there is a functional head that must agree with a TNP since argument ellipsis TNPs are inactive for agreement. What is behind the blocking effect of agreement on argument ellipsis is that in the relevant cases a functional head needs to undergo agreement with a TNP, which an argument ellipsis TNP is unable to do. The problem does not arise in Japanese for the reason noted in the text.

13 It is worth noting here that, in contrast to Şener and Takahashi (2010), Saito (2007) suggests a simple binary distinction, where languages are either agreeing or non-agreeing for all relevant functional heads. SC would be classified as an agreeing language under Saito’s approach, hence v, as well as T, would be subject to the agreement requirement. As noted in the text, even if v needs to undergo Agree in SC, in the SC clitic doubling cases involving argument ellipsis the clitic can undergo agreement with v, so that the presence of an inactive (for agreement) argument ellipsis TNP does not matter in this case. Under this account, where SC v would always need to undergo Agree, examples like (13) can be handled by assuming that the indirect object, which does not undergo argument ellipsis, undergoes Agree with v in the second conjunct.
other words, both the lack of DP and the lack of agreement are prerequisites for the availability of argument ellipsis.\textsuperscript{14}

To summarize section 3.1., the argument ellipsis analysis presented in this section captures Rumić’s generalization regarding the restricted availability of certain sloppy readings with pronominal elements, where the readings in question are available with clitics in some but not all languages, and are unavailable with non-clitic pronouns even in the languages that allow them with clitic pronouns. The analysis also provides evidence that both Cheng (2013) and Saito (2007) are right regarding the issue of what determines the availability of argument ellipsis: both the lack of DP and the lack of agreement are prerequisites for the availability of argument ellipsis.

3.2. The overtness of clitic doubling

Under the analysis presented above, SC clitics can co-occur with an NP that undergoes argument ellipsis. What is of interest here is that most SC varieties actually disallow overt clitic doubling (i.e. clitic doubling by an overtly realized element) in examples like (15). (Some SC varieties do allow (15), see Rumić 2014a; also, as noted below, some cases of doubling are allowed in all varieties).

(15) *Ivan \textipa{ga} napisa pismo.
    Ivan it wrote letter

Given that on the current analysis of SC examples like (5)a the clitic in such cases co-occurs with another TNP, which means that such a combination should not be completely ruled out in SC, we need to address the unacceptability of examples like (15), a classical clitic doubling case. This section will show that an independently proposed account of crosslinguistic variation regarding the availability of clitic doubling constructions like (15) actually predicts that clitic doubling will be available in SC with argument ellipsis; i.e. it provides a straightforward, natural explanation why clitic doubling is not possible in (15) but is possible with argument ellipsis in SC.

Obviously, clitic doubling can in principle be possible only in languages that have pronominal clitics in the first place. Such languages do, however, differ with respect to the possibility of clitic doubling. Thus, Spanish allows examples like (16).

(16) Lo vimos a Juan.
    him we-saw a Juan

There are several approaches in the literature regarding the crosslinguistic variation in question. A prominent and well-known approach treats the difference in terms of Case (see Sportiche 1996, Jaeggli 1986, Schmitt 1996, among others). In languages where clitic doubling is not allowed a problem in such cases arises with respect to Case: since the clitic takes the Case that the verb would normally assign, the doubling TNP cannot be Case-licensed. In languages where clitic doubling is allowed, such licensing is possible—in some cases special mechanisms are involved, like $a$ in Spanish.

SC (15) is then ruled out because the NP pismo cannot be Case-licensed.\textsuperscript{15} That case may indeed be what is at issue here may be suggested by examples like (17), noted by Sanja Raković (p.c.), where

\textsuperscript{14}This may account for the relative rarity of argument ellipsis (see also section 4, where Cheng’s generalization is deduced).
\textsuperscript{15}Macedonian allows examples like (15) without any special Case-marking, as in (i).

(i) Ivo go napisa pismoto.
    Ivo it wrote letter-the

‘Ivo wrote the letter.’

Bošković (2008, 2012) argues that this kind of doubling is possible only in DP languages (the observation is confined to a particular kind of doubling, namely clitic doubling that is obligatorily accompanied with a definiteness/specificity effect;
*ga* and *bus* bear different Cases, hence the Case problem does not arise here (note that nominative is the default case in SC).\(^{16}\)

(17) Evo *ga*       *bus*
    here it.acc  bus.nom

‘Here is the bus.’

Importantly, the Case problem in question (i.e. the Case problem from (15)) does not arise at all when the doubling element is an argument ellipsis NP. The NP in question undergoes Case-licensing in its own clause prior to LF copying, hence no problem with respect to the Case-licensing of the doubling NP arises in this case.

It is worth noting here that Saito (2007) crucially argues that argument ellipsis NPs are Case-licensed in their original clause prior to LF copying and do not undergo Case-licensing in their “new” clause after LF copying. As discussed in footnote 12, this is in fact the crucial component of his analysis of the generalization that agreement has a blocking effect on argument ellipsis.\(^{17}\) In other words, he argues that Japanese (7)b, repeated in (18), is derived as follows: 1. *Sannin-no sensei-o* is Case-licensed in the first clause; 2. *Sanin-no sensei-o* is then copied in LF into the second clause, where it is not involved in any Agree relation; it does not undergo either agreement or Case-licensing.

(18) a. Taroo-wa    sannin-no    sensei-o       sonkeisiteiru.
    Taro-Top    three-Gen    teacher-Acc respects
    ‘Taro respects three teachers.’

b. Hanako-mo e  sonkeisiteiru.

Independently made proposals regarding crosslinguistic variation with respect to clitic doubling and argument ellipsis discussed above in fact make a prediction that argument ellipsis will be available in SC with clitic doubling and that clitic doubling with be possible in SC with argument ellipsis, which is exactly what happens under the analysis presented here.

It should be also noted that the current analysis may provide evidence that argument ellipsis should be treated in terms of LF copying rather than PF deletion. If we apply the PF deletion analysis...
of ellipsis to the SC case under consideration, where the doubling element is elided, a difficult question arises which does not have an obvious answer: why does the relevant NP have to be deleted in these cases (as indicated by (15))? On the other hand, under the LF copying analysis we have an easy explanation for why the NP in question does not surface phonologically: it is created only in LF. Furthermore, we have seen above that the Case account of the unacceptability of examples like (15) does not extend to the cases where the double is an argument ellipsis NP under the LF copying analysis of argument ellipsis, since the double does get Case-licensed under this analysis. This is not the case under the PF deletion analysis; the Case problem that arises in examples like (15) should also arise in the cases where the double is elided in PF, which would be the case under the PF deletion analysis of argument ellipsis.\footnote{It appears that the only way out here would be to assume the rescue-by-PF-deletion mechanism; more precisely, to assume that, as is often argued regarding locality violations, which are assumed to be rescuable by PF deletion (see for example Merchant 2001, Lasnik 2001, Bošković 2011; but see Abels 2011, Barros, Eliot, and Thoms 2014 for an opposing view), violations of the traditional Case filter, where an NP does not get Case-licensed, can be voided by deleting the relevant NP in PF (see Saito 2001 for such a proposal).}

The analysis presented here can then be taken to provide evidence that argument ellipsis should be implemented through LF copying, not PF deletion (for additional independent arguments to this effect, see Saito 2007 and Sakamoto 2015).\footnote{Sakamoto’s arguments in this respect are particularly strong. Sakamoto shows that covert but not overt extraction is allowed out of argument ellipsis sites in Japanese, which straightforwardly follows if argument ellipsis sites have internal structure only in LF, which is the case under the LF copying, but not under the PF deletion analysis. Note also that treating argument ellipsis in terms of LF copying does not necessarily mean that all ellipsis should be treated in terms of LF copying. In fact, Sakamoto (2015) and Dadan (2016) explicitly argue that both PF deletion and LF copying are in principle possible, and are taken advantage of in different ellipsis constructions. (Under the claim made in Bošković 2014 that ellipsis can target either phases or phasal complements, Sakamoto (2015) and Dadan (2016) argue that the former always involves LF copying and the latter PF deletion (Bošković 2014 in fact gives argument ellipsis as an example of full phase ellipsis).} It should also be emphasized that the current analysis captures what appears to be varied behavior of various pronominal elements with respect to the availability of the sloppy reading in examples like (1)-(4) without saying anything special about clitics vs non-clitic pronouns, or anything special about clitics in one language vs clitics in another language. All the pronominal elements in question, clitics in SC, clitics in Macedonian, non-clitic pronouns in SC, and non-clitic pronouns in English, are treated the same way when it comes to the sloppy reading (none of them in fact supports it in this context); all the differences regarding the availability of the sloppy reading in (1)-(4) follow from other factors (i.e. the (un)availability of other mechanisms), all of which were independently argued for in the literature; nothing new was actually proposed here to capture the variation in question.

Having discussed one surprising and non-obvious case of argument ellipsis and its consequences for the proper treatment of argument ellipsis, I conclude the paper with some speculative remarks on the more general question of the nature of argument ellipsis and the issue of why argument ellipsis can in principle occur only in NP languages.

4. What exactly is argument ellipsis, and why is it possible only in NP languages?

I will first consider the issue of why argument ellipsis is in principle restricted to NP languages, adopting a semantic account of this issue, and then explore consequences of the account. The account will significantly increase the scope of the phenomenon in question, which will be argued to be a correct move.

Consider first how the NP/DP languages distinction can be implemented semantically. The most straightforward semantic implementation of the distinction can in fact be found in Chierchia (1998), more precisely, in his treatment of English vs SC in this respect (Chierchia actually discusses Russian, but his discussion straightforwardly extends to SC).
Chierchia (1998) argues that DP is not needed for argumenthood, which opens the door for an NP analysis of languages like SC. As in the current work, for Chierchia SC TNPs are NPs. They are of type \(<e, t>\), and become of type \(e\) (i.e., they are turned from predicates into arguments) by covert type shifting, which can be straightforwardly incorporated into the Bošković (2008, 2012) system: SC TNPs are then NPs, with covert type shifting applying to turn them into arguments. In English, D does the job in question. Thus, the definite article maps type \(<e, t>\) to type \(e\). As a result, the TNP itself (i.e., without application of any covert type shifting operations) here has the type \(e\) in English. Excluding purely covert type shifting operations that are not triggered by elements present in the syntax, SC TNP is still of type \(<e, t>\).

Simplifying somewhat, in the syntax itself argumental TNPs are then of type \(<e>\) in DP languages and of type \(<e, t>\) in NP languages. D turns NPs of type \(<e, t>\) to \(<e>\) in DP languages; while in NP languages this is accomplished via type shifting. What is important for our purposes is that considering only the structure that is present in the syntax itself (and excluding any covert type shifting not triggered by syntactic structure), argumental TNPs are of type \(<e, t>\) in SC and of type \(e\) in DP languages—the syntactic structure itself here corresponds to type \(e\) in DP languages.

The above gives us a semantic implementation of the NP/DP distinction. The proposal then is that argument ellipsis is semantically constrained. In particular, I adopt (19).21

(19) Argument ellipsis affects elements of type \(<e, t>\).

Recall now that I have argued above that argument ellipsis involves LF copying. In light of the above discussion where it was suggested that not all ellipsis should be treated in terms of LF copying (in fact,

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21 I ignore TNPs with elements like demonstratives. The discussion here adapts Chierchia (1998) to Bošković’s NP/DP typology. Although for Chierchia SC and Chinese both lack DP, Chierchia actually treats Chinese differently semantically. In particular (simplifying somewhat), he treats Chinese bare nominals as being of type \(e\), while SC bare nominals are of type \(<e, t>\). The proposal in the text treats Chinese and SC nominals in the same way, extending Chierchia’s analysis of SC to Chinese (similarly to Cheng and Sybesma 1999; see also Tomioka 2003). There are two reasons for this move. Conceptually, the move minimizes crosslinguistic variation: while Chierchia’s analysis assumes crosslinguistic differences both regarding the semantic type of nominals and the availability of covert type-shifting operations (see below), the current analysis assumes only the latter (in fact, it also minimizes it by restricting it to the differences Chierchia assumed to capture the English/SC differences). Furthermore, Chierchia’s analysis is not completely compatible with the typology of languages indicated by Bošković’s NP/DP generalizations. Although SC and Chinese also lack DP for Chierchia, whether one looks at the type of the NP or the whole TNP (see below for the relevance of this difference), we actually never get the SC/Chinese vs English cut in Chierchia’s system. For Chierchia, English and SC are \([+\text{arg}, +\text{pred}]\) languages, while Chinese is a \([+\text{arg}, -\text{pred}]\) language. This means NP in English and SC is (typically, but see below) of type \(<e, t>\), while in Chinese it is of type \(e\). At the level of NP we then get the English/SC vs Chinese cut. For Chierchia, SC NPs become \(e\) by covert type shifting, while in English D does the job in question. Excluding purely covert type shifting operations that are not triggered by elements present in the syntax, SC TNP is then of type \(<e, t>\), while the English (argumental) TNP is of type \(e\). Since for Chierchia Chinese TNP is of type \(e\), we then get the English/Chinese vs SC cut here. The problem is that the NP/DP generalizations indicate that SC and Chinese systematically lack the DP projection while English systematically projects DP. Thus, the NP/DP typology crosscuts Chierchia’s typology since Chinese and SC pattern together while English systematically patterns against Chinese and SC with respect to the NP/DP generalizations. (Note that in Chierchia’s system, bare NP arguments are allowed in certain cases in English. However, the NP/DP generalizations indicate that even in these cases DP is projected in English. Based on this, Bošković reaches the conclusion that English TNPs are always DPs.)

At any rate, what is suggested in the text keeps the gist of Chierchia’s account of SC and English, where SC NPs are of type \(<e, t>\), with covert type shifting to \(e\). (I assume English argumental TNPs are of type \(e\) without any covert (i.e., non-D triggered) type shifting (see also footnote 23), which is more in line with Chierchia’s treatment of Romance.)

Given the parallel behavior of SC and Chinese regarding the NP/DP generalizations, I assume there is no type difference between Chinese and SC. This means Chinese NPs are also of type \(<e, t>\), with covert type shifting to \(e\) in the cases where \(e\) interpretation is required. This treatment of Chinese is very similar to Cheng and Sybesma (1999), where the Chinese NP is also treated as being of type \(<e, t>\) (see also Tomioka 2003).
the strongest arguments for LF copying treatment of any ellipsis operation involve argument ellipsis), (19) can also be stated more generally as in (20).

(20) Only elements of type \(<e, t>\) can be copied in LF.

(20) states that only elements of type \(<e, t>\) can be copied. Note that the copying still applies in the syntax (more precisely, covert syntax), which means that it applies before type shifting. Recall now that considering the structure that is present in the syntax itself, argument TNPs are already of type e in DP languages. However, they are of type \(<e, t>\) in NP languages. Given that argument ellipsis affects only elements of type \(<e, t>\), through LF copying, the process is then restricted to NP languages. In other words, we capture the generalization in (10).

To illustrate, being of type e, DP *the student* cannot be copied in LF into the position of X in (21), given (19)-(20). The problem does not arise in Japanese (22), where the direct object is of type \(<e, t>\) at the point of LF copying. Gakusei-o *student* is then copied into the position of X in (22), with type shifting applying after the copying to yield the e-type interpretation.

(21) a. Peter failed the student.
   b. *John failed X too
(22) a. John-wa gakusei-o rakudais-ase-ta.
   John-top student-acc fail-caus-past
   'John failed the student.'
   b. Peter-mo X rakudais-ase-ta.
   Peter-also fail-caus-past
   'Peter also failed.'

There is, however, another derivation that needs to be blocked for (21). Suppose that what is copied into the position of X in LF is not the full TNP but only the NP *student*, which is of type \(<e, t>\), hence this copying operation does not run afoul of (19)-(20). This is in fact what happens in Japanese (22). Recall, however, that the copying operation is followed by a covert type shifting operation, from type \(<e, t>\) to type e, in Japanese (22). This is, however, not possible for English (21) under the derivation currently under consideration. The problem is that DP languages do not have access to the pure type-shifting operations of the kind NP languages do (see Chierchia 1998). In particular, in the case in question, the existence of a definite article, which does the job of an iota operator, mapping elements of type \(<e, t>\) to type e, blocks the application of a pure type shifting operation that would map an element of type \(<e, t>\) to type e in English. The “Japanese” derivation from (22) is then not possible in English (21).

(19) is tantamount to saying that traditional argument ellipsis is actually predicate ellipsis. Argumental interpretation is still possible for the result of such ellipsis in NP languages because such languages have access to pure type shifting operations that turn predicates into arguments; in fact, such type shifting operations are independently needed to obtain the indicated interpretation for Japanese (23). The reason why argument ellipsis is possible in Japanese but not English is then in fact the same reason why English (24) cannot be interpreted as “John failed the student”, an interpretation available for Japanese (23). The analysis thus unifies the facts in (23)-(24) with the (un)availability of argument ellipsis in (21)-(22).

(23) John-wa gakusei-o rakudais-ase-ta.
   John-top student-acc fail-caus-past
   'John failed the student.'
(24) *John failed student.
Now, as noted above, under the above analysis argument ellipsis is actually predicate ellipsis. The predicate ellipsis operation itself is not parameterized, i.e. it is not restricted to NP languages. Such an operation for independent reasons cannot yield argumental interpretation in DP languages (while it can in NP languages). However, there is nothing in anything we have seen above that would prevent such an ellipsis operation from applying in DP languages. Everything else being equal, we may then expect predicate ellipsis to be available in (at least some) DP languages, in fact not just for predicates like VPs, but also for TNP predicates. Predicate TNP ellipsis may in fact indeed be possible in DP languages. It may be instantiated even by examples like (25), with fools derived via predicate ellipsis.23

(25) They are fools, and we are fools too

In other words, we may be dealing here with the same process as argument ellipsis of NP languages, which means that <e, t> ellipsis would not be in principle restricted to NP languages (on ellipsis and type-shifting, see also Bošković 2013).24

Finally, returning to NP languages, if the above approach to argument ellipsis, where argument ellipsis is treated essentially as predicate ellipsis, is correct we would expect the ellipsis process in question to affect predicate TNPs too, i.e. we would expect to find true predicate TNP ellipsis in languages like Japanese as well. Such ellipsis is indeed possible in Japanese, as illustrated by (26).25

    they-top fool cop
    'They are fool.'

    we-also cop
    'We are also [e].'

Such examples indicate that the term argument ellipsis is a misnomer; the ellipsis process in question is not limited to arguments. In fact, given that even argumental TNPs are actually predicate TNPs in NP languages at the relevant point of the derivation, the term predicate ellipsis is more appropriate and in fact captures the full scope of the phenomenon.

The last question to address is whether a TNP in a predicate position can serve as an antecedent for ellipsis of a TNP in an argument position, and whether a TNP in an argument position can serve as an antecedent for a TNP in a predicate position. As long as independent factors do not block these possibilities we would expect to find such cases in languages like Japanese. It should, however, be noted that there are independent factors that may potentially be relevant here, in particular, the well-known parallelism requirement on ellipsis (see here Bailyn in press), whose exact nature is still not completely clear and is in fact hotly debated. At any rate, (27) shows that a TNP in a predicate position can be an antecedent for an elided TNP in an argument position. This can be interpreted as providing

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22 We are dealing here with the issue of what kind of ellipsis is in principle possible. Particular languages can still block certain ellipsis options for language-specific reasons. Thus, although VP ellipsis is quite widely available there are still many languages that disallow it (in fact in most cases for reasons that are still unclear).

23 Note that I assume that there is a null D in the object TNP in English Mary likes students, which converts properties to kinds (see footnote 20).

24 Indefinite argument drop in Greek, which Giannakidou and Merchant (1997) analyze in terms of LF copying, may also be analyzable as involving ellipsis of an element with type <e, t> (see also Tomioka 2003).

25 Sloppy readings are possible with predicate ellipsis in Japanese, as noted by Takahashi (2006).
additional evidence for the current analysis, which unifies predicate and argument ellipsis (under the umbrella of predicate ellipsis).

(27)  
\begin{enumerate}
  \item a. Karera-wa gakusei da.  \quad \text{(antecedent)}
    \begin{align*}
    \text{they-TOP} & \quad \text{student COP} \\
    \text{‘They are students.’}
    \end{align*}
  
  \item b. Boku-wa [e] aisiteiru.  \quad \text{(target)}
    \begin{align*}
    \text{I-TOP} & \quad \text{love} \\
    \text{‘I love [e].’}
    \end{align*}
\end{enumerate}

However, a TNP in an argument position cannot be an antecedent for a TNP in a predicate position.\(^{26}\)

(28)  
\begin{enumerate}
  \item a. Boku-wa gakusei-o aisiteiru.  \quad \text{(antecedent)}
    \begin{align*}
    \text{I-TOP} & \quad \text{student-ACC love} \\
    \text{‘I love students.’}
    \end{align*}
  
  \item b. * Karera-wa [e] da.  \quad \text{(target)}
    \begin{align*}
    \text{they-TOP} & \quad \text{COP} \\
    \text{‘They are [e].’}
    \end{align*}
\end{enumerate}

I suggest that we are dealing here with an issue of parallelism: if the antecedent bears a theta-role, the target also must bear a theta-role. The requirement rules out the ellipsis example in (28), but not (27). It is worth noting here that Chung (2013) shows that sluicing does not tolerate certain argument structure mismatches, which leads her to posit an argument structure parallelism requirement for sluicing. Furthermore, regarding argument ellipsis itself, Takahashi (2006) shows that a subject cannot be an antecedent for an object argument ellipsis, which indicates that if the antecedent bears the external theta-role, the target must also bear the external theta-role. We may be dealing with the same family of parallelism requirements in all these cases.

4. Conclusion

The paper has provided an account of the restricted availability of certain sloppy readings with pronominal elements, where these readings are available with clitics in some, but not all languages, and are unavailable with non-clitic pronouns even in languages that allow them with clitic pronouns. An account of these facts was proposed that does not say anything special about clitics vs non-clitic pronouns, or about clitics in one language vs clitics in another language. Under the proposed account, the locus of the variation in the relevant respect does not lie in the semantics of the pronominal elements—all the pronominal elements in question are treated in the same way when it comes to the sloppy readings in question; none of them in fact supports it. All the differences regarding the (un)availability of the sloppy readings in question come from other independently motivated factors. In particular, given that the sloppy readings in question are a typical hallmark of ellipsis, the constructions where they are licensed were argued to involve ellipsis; in particular, they were argued to involve a clitic doubling structure where the double undergoes argument ellipsis. The analysis straightforwardly explains why the sloppy readings in question are possible only with clitics—only clitics occur in clitic doubling constructions. Under this analysis, the variation regarding the availability of sloppy readings boils down to the variation in the availability of argument ellipsis.

\(^{26}\) The unacceptability of English examples like (i) may also be relevant here. (What would be copied here under the predicate ellipsis analysis is only the NP from the first conjunct, not the whole DP.)

(i) *They hate fools and we are fools.
Given that what licenses the possibility of these sloppy readings in clitic constructions is actually argument ellipsis, Runić’s (2014a) observation that the sloppy readings in question are possible only in languages without articles follows from Cheng’s (2013) observation that argument ellipsis is possible only in languages without articles. The discussion in the paper has also enabled us to draw a number of conclusions regarding the mechanisms of clitic doubling and argument ellipsis. Regarding the former, the discussion has provided evidence that Case is indeed one of the factors that is crucially involved in the licensing of clitic doubling, as originally proposed in Jaeggli (1986). Regarding argument ellipsis, the discussion in the paper has provided evidence that both Cheng (2013) and Saito (2007) are right regarding the issue of what determines the availability of argument ellipsis: both the lack of DP (as argued by Cheng 2013) and the lack of agreement (as argued in Saito 2007) are prerequisites for the availability of argument ellipsis. The discussion has also provided evidence that argument ellipsis should be treated in terms of LF copying, rather than PF deletion (as argued in Oku 1998, Saito 2007, and Sakamoto 2015). Finally, I have argued for a semantically based approach to argument ellipsis where argument ellipsis is actually predicate ellipsis—it involves LF copying of elements of type \(<e, t>\). The analysis considerably broadens the scope of what has been previously considered to be argument ellipsis; it is now part of a larger phenomenon which is much more widely available. The analysis provides a rather straightforward explanation why what was considered to be argument ellipsis is possible only in languages without articles (i.e. it deduces Cheng’s 2013 generalization). Modifying Chierchia (1998) by extending Chierchia’s account of Russian to all languages without articles, I have argued that bare nominals are of type \(<e, t>\) both in languages with articles and languages without articles. While D converts them to arguments, i.e. it converts them to type \(e\) in languages with articles, in languages without articles this “conversion” is done in the semantics by pure type shifting (from \(<e, t>\) to \(e\)). When it comes to arguments, what corresponds to the structure that is present in the syntax itself (prior to any type shifting) is then of type \(e\) in DP languages, but of type \(<e, t>\) in NP languages. Predicate ellipsis, i.e. ellipsis of elements of type \(<e, t>\), can then affect elements in argument positions in languages without articles because argumental TNPs are actually predicate TNPs, i.e. they are of type \(<e, t>\) when the ellipsis applies in such languages. LF copying of predicates itself is in principle available in both DP and NP languages. However, it has a broader scope of application in the latter because of the lack of DP. LF copying of a predicate, i.e. of an element of type \(<e, t>\), can still yield argumental interpretation in NP languages, but not in DP languages, because the type shifting that is needed for argumental interpretation is available only in NP languages for independent reasons, namely Chierchia’s blocking effect, where the presence of a lexical item that can perform \(<e, t>\)-to-\(e\) type shift blocks the application of a pure type shifting operation with the same effect. There is then no independent parameterization regarding the availability of argument ellipsis. Traditional argument ellipsis is restricted to NP languages and non-agreeing contexts due to independent factors, which are themselves not parameterized (LF copying of elements of type \(<e, t>\), which is responsible for the former, and the Activation Condition, which is responsible for the latter, are themselves not parameterized).27

References


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27 What the crosslinguistic variation in the domain in question then boils down to is the variation in the amount of structure projected and the agreement properties of particular functional heads, both of which can be formulated in terms of lexical variation.


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