Word order typology
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1 Introduction

This article collects a number of ideas and comments on word order typology from the perspective of the principles-and-parameters framework. More specifically, I will investigate the strong generative capacity of a specific version of the computational system of human language CHL and show that we predict a larger set of transitive structures than the six types normally mentioned in the typological handbooks (SVO, SOV, VSO, etc). This article will spell out these predictions in more detail and suggests ways in which these structures can be recognized, and, by doing so, it sets a new research program for language typology. The paper concludes with a number of potentially complicating factors which should be taken into account during the execution of the program.

2 Two problems

A language like English has a so-called SVO order. This means that in an unmarked declarative sentence the subject (S) is followed by the verb (V), which is in turn followed by the object (O). It is the order we find in an English sentence such as in (1).

(1) John ate an apple.
    \[ \begin{array}{ccc}
    \text{S} & \text{V} & \text{O} \\
    \text{John} & \text{ate} & \text{an apple} \\
    \end{array} \]

Greenberg (1963) noticed that the fast majority of the world’s languages has an SO-order. The OS-order was deemed to be “excessively rare”, and on the basis of this observation, he formulated his famous language universal 1:

(2) Universal 1: In declarative sentences with nominal subject and object, the dominant order is almost always one in which the subject precedes the object.

The correctness of universal 1 has been confirmed over and over again (cf. Table 1 in Siewiersky 1999), and Dryer (2008) provides the following figures for the sample of 1228 languages collected for The World Atlas of Language Structures.

(3) a. SVO: 436  d. VOS: 26  g. No dominant order: 171
    b. SOV: 497  e. OVS: 9
    c. VSO: 85  f. OSV: 4

Greenberg’s view on clause structure and word order was simple in the sense that he just investigated the relative surface orders of S, V and O. This simple view has changed considerably over the last 50 years and the various formal approaches now assume that relatively simple sentences like (1) must be assigned rather intricate hierarchically organized structures, with the result that, e.g., the SVO order can in principle be derived in more than one way; even the highly simplified structures in (4) show that there are at least three ways to derive the SVO order, so that we may conclude that the basic word order typology is much more complex than the traditional six-way distinction suggests. This raises the question of

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1 E-mail: Hans.Broekhuis@meertens.knaw.nl. I like to thank Johan Rooryck for extensively discussing some of the material included in this article.
how many basic word order types there actually are, and this paper aims at providing an answer to this question.

(4)  
  a.  \([IP \ldots I [VP S [V O]]]\)  
  b.  \([IP S I [VP tS [V O]]]\)  
  c.  \([IP S I+V [VP tS [tv O]]]\)

The second question is how we can account for Greenberg’s Universal 1, and I will argue that the structure building mechanisms proposed in Grimshaw (1997) may shed some new light on this question by showing that under a number of natural assumptions at least the exceptional OSV and OVS orders are not likely to be derived by means of head movement, so that more intricate derivations must be involved to derive these orders.

Of course, by varying specific details attributed to C\(^{HL}\) the typological predictions may change considerably. This means that in the optimal case proponents of alternative versions of C\(^{HL}\) should perform a similar exercise as undertaken her by me, in order to fix a larger set of typological expectations, which could be used to evaluate the individual proposals. No doubt this will show that we are in need of certain types of typological data that are currently not available and thus need to be collected in the near future.

3 Word order in generative grammar: historical background

The European structuralist Paardekooper (1961) has shown that, in Dutch, complementizers in embedded clauses and finite verbs in main clauses have a similar position relative to the subject of the clause. Den Besten (1983) accounted for this observation by assuming that in main clauses finite verbs move by means of head-movement from their original clause-final position into the position normally occupied by the complementizer in embedded clauses.

(5)  
  a.  dat Jan dat boek morgen koopt  
      that Jan that book tomorrow buys  
      ‘that Jan will buy that book tomorrow.’  
  b.  Morgen koopt Jan dat boek koopt  
      \(\uparrow\)  

This head-movement proposal has had a major impact on present-day generative grammar in that it has led to the postulation of a number of functional heads, which perform a twofold role in the grammar: first, the projections of the these heads introduce landing sites for head- and XP-movement and thus play an important role in accounting for word order phenomena, and, secondly, the heads themselves are assumed to carry features that play a role in expressing certain grammatical relationships between the constituting elements of the clause, like case and agreement features, or in the interpretation of the clause, like tense features.

In more recent proposals these two functions are normally claimed to be intimately related. The inflectional head I in (4a), for example, has been argued to contain nominal features that are responsible for nominative case-assignment and subject-verb agreement as well as tense features that are relevant for the interpretation of the verb; these features are claimed to enter in an Agree relation with corresponding features on the subject and the verb, and it are these Agree relations that license the subject and verb movements in (4b&c). In a sense, we may say that movement serves to make the Agree relations visible in the syntactic representation of the clause by placing the elements that enter in this relation in a local, head-adjunction or specifier-head relation. The combination of Agree + Movement will henceforth be referred to as Attract: I may attract the verb and the subject in configuration like (6), in which \(\phi\) is used as a shorthand for the nominal features that are involved in subject-verb and object-verb agreement (person, number and gender).
Although the number and nature of available functional heads is still subject of ongoing debate, it seem likely that the clause contains more functional heads than just the inflectional head I in (4). Pollock (1989), for example, argued on empirical grounds, which will be presented in (11) below, that there is an additional functional layer on top of VP, which he called AGRP, that can likewise function as the landing site of V. In, e.g., the papers collected in Chomsky (1995) it was further suggested that the AGR-head contains features that can be held responsible for case assignment and, e.g., Italian object-participle agreement, and that this head can therefore also trigger movement of the object, as in (7b).

Adopting the proposal in (7) for the moment, we can continue the derivation by adding the inflectional head I, as in (8a). As we have already seen in (6), this functional head licenses movement of the verb and the subject, which results in the structure in (8b).

The C-head identified by Den Besten was claimed to carry features related to the illocutionary force of the clause (e.g., declarative or question). These features again license verb movement as well as movement of topical/focus phrases in declarative or wh-phrases in interrogative clauses. This results in the structure in (9).

In the early 90’s, the structure in (9) was the more or less generally accepted one for regular transitive clauses (although some claimed that the inflectional head I should be divided in an AGR-head for the subject and a separate Tense-head). For expositional reasons, I will also adopt it as my point of departure for the discussion in this subsection, although (in line with more recent insights) I will replace it in the later sections by a somewhat different proposal that does not make use of AGR-heads.

Example (10) shows that the syntactic representation in (9) in a sense defines a syntactic template. I will show in the remainder of this section that this template can be used to formally account for the syntagmatic relationships within the clause that we cross-linguistically find; I will focus on the boxed part of the template since we are concerned with the basic word order typology and the CP-layer is mainly used to obtain special semantically conditioned effects related to the illocutionary force of the clause.² I will continue to assume that the output structures are formed by moving the verb and its arguments into the available

² For this reason, I will also ignore the fact that in asymmetric Verb-Second languages like Dutch and German (as well as Danish) the basic word order can be obscured in main clauses by an additional movement of the finite verb into the “second position”; cf. Den Besten’s analysis of Verb Second in (5). This does of course not mean that asymmetric Verb-Second would not be interesting from a typological point of view; see Broekhuis (2008:Section 4.1) for relevant discussion and a verb movement typology that includes this property.
One of the main findings of the last decade of generative research is that word order differences between languages can be reduced to the question whether or not, in a certain language L, the Agree relation is made visible by movement, that is, whether or not the features on the functional heads force movement of their associates. This replaces the earlier claim that the pertinent movements are obligatory in all languages but that languages are parameterized with respect to the question whether these movements apply before or after the spell-out operation that transfers the phonological features to the PF-component; movement applying after spell-out is invisible in the PF-output and therefore also in the actual utterance. In more technical terms, the more recent proposal claims that the long-distance dependency Agree is in principle sufficient for establishing the relation between functional heads and their associates, and that something extra is needed to trigger movement. In the current versions of the minimalist program this “something extra” is normally given shape by postulating epp- or edge-features associated with the functional heads that force movement of their associates, although (in my view: better) alternatives are available; see Broekhuis (2008) for extensive discussion and illustration. However, since we are just dealing with the strong generative capacity of C_HL, this need not concern us here.

Table (11) shows that by assuming that the movements that derive the surface structure are in principle optional, we can readily derive the six basic word orders from the universally available structure in (10); the traces in this table indicate that the relevant positions have been filled at some stage in the derivation; the cells marked with the sign “#” in the I and AGR columns indicate that these positions are filled by phonetically empty occurrences of these functional heads, and the remaining empty specifier positions are radically empty.

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3 The structure in (10) follows Kayne’s (1994) universal base hypothesis, according to which surface structures are derived by leftward movement from a universally available SVO base structure. Arguments in favor of an underlying SOV structure are given in, e.g., Haider (2000) and Barbiers (2000). In Broekhuis (2008), I compare the various proposals and conclude that the original SVO-hypothesis is superior to the SOV-hypotheses.
(11) Language types w.r.t. the order of subject, verb and object

<table>
<thead>
<tr>
<th></th>
<th>SpecIP</th>
<th>I</th>
<th>SpecAGRP</th>
<th>AGR</th>
<th>Subj</th>
<th>V</th>
<th>Obj</th>
</tr>
</thead>
<tbody>
<tr>
<td>SVO</td>
<td>#</td>
<td>#</td>
<td>S</td>
<td>V</td>
<td>O</td>
<td></td>
<td></td>
</tr>
<tr>
<td>S</td>
<td>#</td>
<td>#</td>
<td>tS</td>
<td>V</td>
<td>O</td>
<td></td>
<td></td>
</tr>
<tr>
<td>S</td>
<td>#</td>
<td>V</td>
<td>tS</td>
<td>tV</td>
<td>O</td>
<td></td>
<td></td>
</tr>
<tr>
<td>S</td>
<td>V</td>
<td>o</td>
<td>tV</td>
<td>tS</td>
<td>tV</td>
<td>tO</td>
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<td>#</td>
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<td>V</td>
<td>tS</td>
<td>tV</td>
<td>tO</td>
</tr>
<tr>
<td>OSV</td>
<td>V</td>
<td>#</td>
<td>O</td>
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<td>V</td>
<td>tO</td>
</tr>
<tr>
<td>VOS</td>
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<td>S</td>
<td>tV</td>
<td>tO</td>
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<tr>
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<td>tV</td>
<td>tO</td>
<td></td>
</tr>
</tbody>
</table>

If the assumptions so far are on the right track, Table (11) shows that the standard division on the basis of word order in six typological different languages is too simple; there are actually more than six word order types available. That this is not just a theoretical possibility but an apt description of the actual state of affairs is clear from the fact that it can be readily shown that at least some of the competing structures co-occur among the languages of the world. I will illustrate this here for the competing SVO-structures, which are repeated in a slightly different form in (12); the elements in boldface are the ones that are spelled out.

(12)  

| (12) a. | [IP I [AGRP AGR [VP S V O]]] |
| (12) b. | [IP S [AGRP AGR [VP tS V O]]] |
| (12) c. | [IP S [AGRP AGR+V [VP tS tV O]]] |
| (12) d. | [IP S AGR+I+V [AGRP IAGR+V [VP tS tV O]]] |
| (12) e. | [IP S AGR+I+V [AGRP O IAGR+V [VP tS tV tO]]] |

That at least some of the representations in (12) do actually occur can be established on the basis of the orderings of S, V, and O with respect to certain types of adverbial phrases; cf. Emonds (1978). The examples in (13) show that the relative order of finite main verbs and frequency adverbs like *souvent/often* differ in French and English: the verb must precede *souvent* in French (13a), but follow *often* in English (13b).

(13)  

| (13) a. | John often sees Mary. |
| (13) b. | Jean voit souvent Marie. |

When we assume that frequency adverbs immediately precede the AGRP-projection, the difference between French and English follows when we assume that the verb occupies I in French, but AGR or V in English; cf. Pollock (1989) and Chomsky (1991). This means that two of the typologically different SVO-languages defined in (12) can now be identified: French has the representation in (12d) or (12e), whereas English has the structure in (12b) or (12c). When we abstract away from the internal structure of (that is, the exact placement of the object Marie/Mary and the English verb *sees* within) AGRP, the representations of the examples in (13) are as indicated in (14).

(14)  

| (14) a. | [IP John I [AGRP IJohn sees Mary]] | [cf. (12b/c)] |
| (14) b. | [IP Jean voit souvent [AGRP IJohn Ivoit Marie]] | [cf. (12d/e)] |
Observe that the difference between English and French cannot be accounted for by assuming that French and English differ in the placement of the frequency adverb (e.g., below I in French and above I in English) as this would run afoul with the common assumption that auxiliary and modal verbs do occupy I in English; since such verbs precede *often*, the latter must be placed below I.

(15) a. \[ \text{IP} \ 	ext{John I} \quad \text{often} \ [\text{AGR} \ t_{\text{John sees Mary}}] \].

b. \[ \text{IP} \ 	ext{John has} \quad \text{often} \ [\text{AGR} \ t_{\text{John seen Mary}}] \].

I want to conclude this section by noting that the proposals reviewed above do not only change our perspective on word order typology (in the sense that the typology is more complex than assumed earlier), but that they also mean an important step in reaching the main objective of generative grammar of accounting how children can acquire their mother language so quickly and accurately in a relatively short time; since children already know the (universal) structure of their language, they can readily deduce from the relative order of the verb/nominal arguments and certain types of adverbs, which movements do or do not apply in their target language and, hence, what type of language their target language is.

4 Phrase structure: recent developments

In what follows, I will assume that the typologically predictions entirely depend on the strong generative capacity of $C_{HL}$, and, for this reason, I want to be a bit more explicit about some underlying assumptions that I will adopt.

4.1 Phrase structure theories without AGR

The postulation of AGR-nodes has been criticized for two reasons. First, although AGR and I are both part of the projection line of the verb, it seems that the two differ in that only the former has features that are relevant for the verb; whereas it is traditionally assumed that I introduces tense features, it is not clear whether AGR has a similar contribution. Secondly, although it was originally assumed that AGR has case and agreement features for the object, these features rather seem to belong to the verb itself: for example, transitive verbs can assign accusative case, whereas unaccusative verbs cannot. If these two objections are indeed valid, it follows that AGR is feature-less and Chomsky (1995:ch.4) concluded from this that AGR should be eliminated from the theory.

The need to eliminate AGR does not necessarily imply, however, that the template in (10) is entirely incorrect. In fact, we can do away with AGR while still maintaining that the derivation proceeds along the lines indicated in (7) and (8) by adopting some version of Grimshaw’s (1997) theory of extended projections. I will maintain that $V$ has an accusative case feature that must enter in an Agree relation with the object of the clause and that this Agree relation can be made visible in the syntactic representation of the clause by placing the elements that enter in this relation in a local, specifier-head configuration. According to Grimshaw’s theory, this configuration will arise by creating an additional projection above VP by movement (remerge) of $V$ and the object, as in (16b&c); see Ackema, Neeleman & Weerman (1992) and Nash & Rouveret (1997) for similar ideas. For convenience, we may think of the extended projection as AGRP (in the same way as Grimshaw (1997) maintains the traditional labels in her work), but since this projection is headed by the moved $V$, I will label it VP.

(16) a. \[ \text{VP S [V O]} \]

b. \[ V \ [\text{VP S [tV O]}] \quad \text{[remerge of V]} \]

c. \[ \text{VP O [V [VP S [tV O]]]} \quad \text{[remerge of O]} \]
When we subsequently add the functional head I, and apply V-to-I movement and movement of the subject into the specifier of IP, we end up with the representation in (17), which is virtually identical to the one in (10); the two structures differ only with respect to the label of the AGR-nodes in (10). This means that we can maintain the earlier analysis of the contrast in (13) without any further ado, and that the other results from Section 2 will also carry over.4

(17)

It is important to note, however, that the creation of the extended projection involves the simultaneous application of verb and object movement: movement Ia cannot occur without movement Ib, and vice versa. As a result, adopting the extended projection approach is not entirely innocuous in that, when we maintain that all movements are optional, we reduce the number of logically possible OV-structures to four, which are furthermore partly different. First, whereas the representations in (12a-d) were possible in the AGR-based proposal, the corresponding representations in (18d) are now excluded since they do not involve movement at all or movement of the verb without the required accompanying object movement.

(18)  a. *[IP I [eP e [VP S V O]]]
b. *[IP S I [eP e [VP tS V O]]]
c. *[IP S I [VP V [VP tS tv O]]]
d. *[IP S I+V [VP tv [VP tS tv O]]]

Secondly, the verb can move into I without first forming an extended projection and as a result we predict the representations in (19a-c) to be possible alongside (19d).

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4 Transfer of the earlier results does not necessarily hold for the competing multiple specifier theory proposed in Chomsky (1995: Section 4.10). The main reason to reject this alternative, however, is that it has given rise to certain descriptive problems with Icelandic transitive expletive constructions (Chomsky 1995:354) from which Chomsky concluded that verb movement should be considered part of the periphery as the result of some not further explicated PF-rule (Chomsky 1995:368). By removing verb movement from core syntax, we loose all hope that the minimalist program will be able to shed new light on word order typology; as a result, the minimalist would become empirically void in at least this respect. Fortunately, Section 6.2 will argue that Chomsky is wrong and that there is strong reason to maintain that verb movement is part of core syntax. This would mean that the original problem with Icelandic transitive expletive constructions still stands and from the fact that this problem does not arise with the extended projection approach (see Broekhuis 2000: fn.7), I conclude that this approach is superior to the multiple specifier approach.
Table (20) provides the full set of typological predictions of the extended projection approach; the number sign in the I column again indicates that this position is filled by the phonetically empty occurrence of this functional head, the grey cells indicate that the extended projections of V is not realized, and the cells marked with traces indicate either the base positions or the positions that have been used as intermediate landing of the moved elements. The table shows that the number of available representations for the SOV and VSO order is now reduced to one, and that the OSV order can no longer be generated given that object movement into Spec$_2$ requires that V be moved as well.

<table>
<thead>
<tr>
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<th>Spec$_1$</th>
<th>I</th>
<th>Spec$_2$</th>
<th>V-ext</th>
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<tbody>
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<td>$t_O$</td>
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</tr>
</tbody>
</table>

4.2 Verbs as composites

Another more recent development is the claim that verbs are not introduced in the structure as units: a verb consists of a verbal root V, which only gets its verbal properties by entering in a relation with a so-called light verb v; cf. Hale & Keyser (1993). In transitive constructions, the object (theme argument) is selected by the verbal root V and the subject (agentive argument) is introduced by the light verb, as in (21).

\[ [vP S v [VP V O]] \]

Since the verb is a composite of v and V, it is not a priori clear where the case and agreement features are situated. I will assume that the accusative case feature is situated on the light verb v given that this is a typical verbal property. For the object agreement features, I will assume that they are situated on the verbal root V given that these also occur on adjectives, cf. Maria è malata ‘Maria is ill’ (Burzio 1986:80). Assuming this distribution of features is again not innocuous, given that this actually adds a possible landing site for the object, as will be clear from the derivation in (22). The φ-features on V in (22a) may attract the corresponding features on the direct object, as a result of which an extended VP-projection will be created, as in (22b). In (22c), the light verb and the subject are added; the accusative case feature on v may attract the corresponding case features on the object, as a result of which an extended vP-projection will be created, as in (22d). The derivation is completed by adding I, the nominative case and agreement features of which may attract the corresponding features of the subject.
In the derivation in (22), we focused on movement of the nominal arguments but on top of that the verbal root $V$ can in principle move to $\nu$, and via $\nu$ to $I$. The derivation is therefore as indicated in (23), where all movements are again optional and the a- and b-movements involved in the creation of extended projections of $V$ and $\nu$ necessarily co-occur.

When we compare the derivation in (23) with the one in (17), we see that the new assumption that the verb consists of a verbal root $V$ and a light verb $\nu$, which can each separately attract the object, adds additional landing sites for the object (SPEC$_2$) and the verb (the position of the light verb $\nu$). We therefore expect that this will extend the word order typology, but we will see that this prediction is only partly borne out. First, consider Table (24), which provides the available structures for the SO languages; the grey cells again indicate that the extended projections of $V$ and $\nu$ are not realized, and the cells marked with traces indicate either the base positions or the positions that have been used as intermediate landing of the moved elements.
It is important to note that the typology in (24) silently adopts two assumptions that may or may not be correct. First, I have assumed that \( v \) can only be moved into I when it has obtained phonological feature by V-to-v movement. This claim is based on the vacuous movement hypothesis from Chomsky (1986) and the effect-on-output condition from Chomsky (2001) and Sabel (2005), according to which movement must have some word order or semantic effect on the output. Although these restrictions seem natural, they need not be correct; if wrong, this will add five extra representations (two for the SVO and three for the SOV languages). Secondly I have assumed that object movement must always proceed via the extended projection of V; if incorrect, this will add one additional structure for both the SVO and the SOV order, in which the object has been moved in one fell swoop in the specifier position of the extended projection of the light verb.

Comparison of Tables in (18) and (24) shows that our expectation that the number of available structures increases by the introduction of the light verb \( v \) is indeed borne out as far as the SO-languages are concerned: The number of available representations for the SVO languages has increased from four to nine, for the SOV languages from one to three, and for the VSO languages from one to two. Interestingly, Table (25) shows that the effect is much less drastic for the OS-languages: the only difference with table (18) is that it has become possible again to derive the OSV order.

(25) Predicted structures for OSV, VOS and OVS languages

<table>
<thead>
<tr>
<th>Spec1</th>
<th>I</th>
<th>Spec2</th>
<th>v-ext</th>
<th>Subj</th>
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<td>t_0</td>
<td>V</td>
<td>t_v</td>
<td>t_0</td>
<td></td>
</tr>
<tr>
<td></td>
<td>v+I</td>
<td>O</td>
<td>t_v+g</td>
<td>S</td>
<td>t_0</td>
<td>V</td>
<td>t_v</td>
<td>t_0</td>
<td></td>
</tr>
<tr>
<td>OVS</td>
<td>#</td>
<td>O</td>
<td>V+g</td>
<td>S</td>
<td>t_0</td>
<td>V</td>
<td>t_v</td>
<td>t_0</td>
<td></td>
</tr>
</tbody>
</table>

Note that the OSV order cannot be excluded by appealing to the vacuous movement hypothesis or the effect-on-output condition mentioned above: although the movement of the phonetically empty light verb \( v \) is not visible in the output representation, the inversion of the subject and the object does make the creation of the extended \( vP \)-projection visible; the structure as a whole therefore satisfies the effect-on-output condition.
4.3 A possible account of Greenberg’s Universal 1

The numeral contrast between Table (24) and (25) may tempt us to simply relate the frequency of the SO- and OS-languages to the number of structures made available by the grammar; Table (26) shows that there is at least some correlation between the two.

(26) Correlation between number of available structures and frequency

<table>
<thead>
<tr>
<th>TYPE</th>
<th>#LANGUAGES (WALS)</th>
<th>#AVAILABLE STRUCTURES</th>
</tr>
</thead>
<tbody>
<tr>
<td>SVO</td>
<td>436</td>
<td>9</td>
</tr>
<tr>
<td>SOV</td>
<td>497</td>
<td>4</td>
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<td>VSO</td>
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<td>2</td>
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<td>VOS</td>
<td>26</td>
<td>1</td>
</tr>
<tr>
<td>OVS:</td>
<td>9</td>
<td>1</td>
</tr>
<tr>
<td>OSV:</td>
<td>4</td>
<td>1</td>
</tr>
</tbody>
</table>

However, since it seems very hard to prove or disprove that this correlation is real, it would be preferable if we could appeal to something testable. Table (25) shows that the two language types that are rarest, OSV and OVS, have in common that their IP-projection does not contain any phonological material. This evokes the traditional formulation of the EPP that requires that the subject position (the specifier of IP) be filled; cf. Chomsky (1981). The EPP in this form is certainly not a universal principle (as will be clear from the structure assigned to the VSO languages in Table (24)), and it is therefore interesting to observe that Alexiadou and Anagnostopoulou (1998) have reformulated this principle by requiring that (i) the specifier of IP be filled by the subject or (ii) the I-position be filled by verb movement. This suggests that the EPP can be ultimately reduced to the effect-on-output condition introduced above by requiring that the functional projections of the clause must be made visible by filling it with some phonological material. This account of the rarity of OSV and VOS structures is testable given that it predicts that SVO and SOV representations which violate the effect-on-output version of the EPP will also be rare or non-existent.

When we remove the representations that do not comply with the new version of the EPP (without implying that they are categorically excluded), we arrive at the new word order typology in (27), which include the less frequent VOS structure.

(27) Word order typology

<table>
<thead>
<tr>
<th>Spec1</th>
<th>I</th>
<th>Spec2</th>
<th>v-ext</th>
<th>Subj</th>
<th>v</th>
<th>Spec3</th>
<th>V-ext</th>
<th>V</th>
<th>Obj</th>
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<tbody>
<tr>
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<td>S</td>
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<tr>
<td>SOV</td>
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<td>S</td>
<td>V+v+I</td>
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<td>V</td>
<td>t_v</td>
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<tr>
<td>VSO</td>
<td>V+v+I</td>
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<td></td>
<td>V</td>
<td>O</td>
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<tr>
<td>VSO</td>
<td>V+v+I</td>
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<td></td>
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<tr>
<td>VOS</td>
<td>V+v+I</td>
<td>O</td>
<td></td>
<td></td>
<td>V</td>
<td>t_v</td>
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<tr>
<td>VOS</td>
<td>V+v+I</td>
<td>O</td>
<td></td>
<td></td>
<td>V</td>
<td>t_v</td>
<td></td>
<td>V</td>
<td>O</td>
</tr>
</tbody>
</table>
Note in passing that the low frequency of the VOS order may reflect a more common phenomenon for natural languages, namely, that they tend to maintain the “underlying” word order of the nominal arguments, which I take to be S-IO-DO. This can be readily illustrated by the following object shift constructions from Icelandic: the examples in (28) show that whereas both the indirect object and the direct object can optionally undergo object shift, it is never possible to shift the direct object across the indirect object. This also holds for examples like (29), where object shift of the indirect object is forced in order to allow satisfaction of the requirement that pronominal objects be shifted.

(28) a. Pétur sýndi oft Mariú bókina.
    Pétur showed often Mariú the.book
b. Pétur sýndi Mariú oft tIO bókina.
c. Pétur sýndi Mariú bókina oft tIO tDO.
d. *Pétur sýndi bókina oft Mariú tDO.

b. *Pétur sýndi Mariú oft tIO hana.
c. Pétur sýndi Mariú hana oft tIO tDO.
d. *Pétur sýndi hana oft Mariú tDO.

Given that this requirement that the underlying order of the arguments be preserved is clearly language-specific (it does not hold for German, for example), it may be relevant to account for the fact that VOS languages are possible but relatively rare; I refer the reader to Müller (2000/2001), Williams (2003), Fox and Pesetsky (2005), Koeneman (2006), Broekhuis (2008) for recent discussions of this kind of order preservation. For completeness’ sake, note that under the assumptions adopted in this article, order preservation is a condition on A-movement; A’-movement is not subject to this condition.

According to our present proposal, the structures in (27) define the basic language types that make up the vast majority of the world’s languages. The following section will develop one possible diagnostic that can be used to identify the various language types.

5 Adverb placement

Table (27) constitutes a research program for language typology in the sense that we need to establish whether the predicted representations do indeed constitute the basic declarative orders of the world’s languages and whether they indeed exhaust the possibilities. Unfortunately there are no ready-made tests that can be applied to establish the basic structure of a language and all I can do in this section is to summarize some more or less familiar facts from the literature in the hope that this will provide a starting point of the development of a reliable set of diagnostics. The discussion will mainly focus on the two types of object movement that are predicted to exist; the object movement into Spec3 (the specifier of the extended projection of the verbal Root V), which will be called short object shift, and the object movement into Spec2 (the extended projection of the light verb v), which will be called regular object shift given that it is this movement that is normally referred to as object shift in the literature; see, e.g., Holmberg (1986/1999), Vikner (1994/2006), Broekhuis (2000/2008), Thráinsson (2001), Chomsky (2001), and the references cited therein.

5.1 Short object shift and VP-adverbs

Although it is normally taken for granted that English differs from Icelandic in that it does not exhibit regular object shift, it has been proposed that English does exhibit a more limited form of object movement. Johnson (1991), for example, postulated such movement in order
to account for—among other things—the fact that objects may and sometimes must be placed in front of verbal particles; cf. also Koizumi (1993).

(30) a. John looked up the information/*it.
    b. John looked the information/it up.

Lasnik (1999a: ch.2&8) provides further evidence in favor of short object shift on the basis of a number of binding facts. First consider the unacceptable example in (31a), which involves a violation of binding condition A: the anaphor each other is embedded in an adjunct of the matrix clause and is therefore not c-commanded by the intended antecedent the defendants. However, when we replace the finite clause by an infinitival clause, as in (31b), the result improves considerably; according to Lasnik (31b) has more or less the same status as (31c).

(31) a. *?The DA proved [that the defendants were guilty] during each other’s trials.
    b. The DA proved [the defendants to be guilty] during each other’s trials.
    c. The DA accused the defendants during each other’s trials.

The acceptability of (31b) suggests that the surface position of the exceptionally case-marked subject is not internal to the infinitival clause, but that it has moved into a position of the matrix clause where it c-commands the anaphor. Note that, for this reason, the placement of the brackets in (31b) and some of the later examples is somewhat misleading. I use them only to indicate that the antecedent of the anaphor is base-generated as part of the infinitival clause, without implying that it is still part of this clause when the binding relations are established. The examples in (32) show that the acceptability of (31b) is crucially related to the surface position of the antecedent: in (32a) the intended antecedent is sandwiched by other elements of the infinitival clause and therefore clearly internal to the infinitival clause; the subject of the infinitival clause only becomes available as antecedent of the reciprocal after leftward movement.⁵

(32) a. *The DA proved [there to have been two men at the scene of the crime] during each other’s trials.
    b. The DA proved [two men to have been at the scene of the crime] during each other’s trials.

Under the standard assumption that binding requires c-command, the binding facts in the infinitival constructions in (31) and (32) provide conclusive evidence in favor of the claim that there is short object shift in English. In fact, under the assumptions that adverbials are generated external to VP, the much simpler example The DA accused the defendants during each other’s trials in (31c) already shows the same thing: if short object shift does not apply, the object is VP-internal and therefore does not c-command the reciprocal embedded in the adverbial phrase, and binding would wrongly be predicted to be impossible; if we do assume short object shift, as in the schematic representation in (33), the c-command requirement is satisfied, and binding is correctly predicted to be possible.

---

5 The binding argument can be replicated by the examples in (i). The (a)-examples show that a bound variable reading of the possessive pronoun his is only possible when the subject of the infinitival clause has moved leftward, and the (b)-examples that licensing of the negative polarity item any likewise requires leftward movement of the subject.

(i) a. *The DA proved [there to be no suspect at the scene of the crime] during his trial.
   a’. The DA proved [no suspect to be at the scene of the crime] during his trial.
   b. *The DA proved [there to be no one at the scene of the crime] during any of the trials.
   b’. The DA proved [no one to be at the scene of the crime] during any of the trials.
The structure in (33) is important because it shows that short object shift may cross VP-
adjuncts (which are typically manner adverbs), which may therefore be used as a diagno-
sis for short object shift. Vice versa, short object shift may account for the fact that in lan-
guages like Swedish, which does not have regular object shift of non-pronominal objects, objects
normally do precede the VP-adverbs; see Sells (2001:143), who claims that VP-adverbs can
only appear in front of the direct object for “purposes of emphasis or due to other discourse
related factors”, Christensen (2005:52) for a similar remark on Danish, and Koeneman
(2006:fn.7) for the claim that in Scandinavian VP-adverbs are placed uniformly after the
object.

(34) a. Han tvättar <bilben> gärna <#bilben>. (✓ short object shift)
his washes the car gladly
b. Han tvättar <*>bilben> ju/inte <bilben>. (*regular object shift)
his washes the car indeed/not

The adverb test must be applied with care, however, given that the OV-languages may
behave differently in this respect: although Table (27) shows that such languages require that
short object shift take place, the object can nevertheless readily follow manner adverbs. This
is illustrated in (35) for Dutch.

(35) dat hij voorzichtig de auto wast.
that he carefully the car washes
‘that he washes the car carefully.’

This can again be attributed to the effect-on-output condition. Assume that adjuncts must
adjoin to the phrase they modify and that VP-adjuncts adjoin to VP for this reason, but that
the order of adjunction and short object shift is essentially free because the required
modification relation will be established irrespective of whether the adjunct is adjoined to the
lower or the higher part of the extended projection of VP. If so, we can in principle derive
both representations in (36) for SVO-languages like English. However, since there is no
phonetically realized material between the object and its trace in the representation in (36b),
the effect-on-output condition will block this representation in favor of the representation in
(36a), where the adverb intervenes between the object and its trace and thus makes the object
shift visible.

(36) • Short object shift in SVO-languages
a. [IP S I [vP tS V+v [vP O tV [adverb [vP tV tO]]]]] (Merge adverb > Move O)
b. [IP S I [vP tS V+v [adverb [vP O tV [vP tV tO]]]]] (Move O > Merge adverb)

The available representations for SOV-languages are given in (37), which only differ from
those in (36) in that V does not raise to v. As a result, the effect-on-output condition is
satisfied in both representations in (37), due to the fact that verbal root V intervenes between
the object and its trace. Consequently, both orders are expected to be possible.

(37) • Short object shift in SOV-languages
a. [IP S I [vP tS v [vP O V [adverb [vP tV tO]]]]] (Merge adverb > Move O)
b. [IP S I [vP tS v [adverb [vP O V [vP tV tO]]]]] (Move O > Merge adverb)
5.2 Regular object shift and sentential adverbs

A large part of Broekhuis (2008) is devoted to establishing that Scandinavian object shift as well as some forms of Dutch/German scrambling are instantiations of object movement into the specifier of the extended projection of the light verb ν*. The well-known observation that Scandinavian object shift is characterized by the fact that it obligatorily crosses certain sentential adverbs can again be accounted for by an appeal to the effect-on-output condition. Assume that the relevant sentential adverbs are modifiers of the proposition and must therefore be adjoined to νP, but that the order of adjunction and regular object shift is essentially free. If so, we can in principle derive the intermediate representations in the primeless examples in (38), and the SVO order can subsequently be derived from these structures by moving the verb and the subject into their designated positions within IP, as in the primed examples. This means that regular object shift will only be visible in the output when the adverb intervenes between the object and its trace: the effect-on-output condition therefore correctly predicts that regular object shift must cross (at least one) νP-adjunct.6

(38) • Regular object shift in SVO-languages

a. [νP O V+ν [adverb [νP S tν+ν [VP to tν [VP tν to]]]]] (Merge adverb > Move O)
a’. [IP S I+V+ν [νP O tν+ν [adverb [νP tν S tν+ν [VP to tν [VP tν to]]]]])
b. [adverb [νP O V+ν [IP S I [VP to tν [VP tν to]]]]] (Move O > Merge adverb)
b’. [IP S I+V+ν [adverb [νP O tν+ν [IP S I [VP to tν [VP tν to]]]]])

The representations in (39) show that the same holds for the SOV-languages: although regular object shift crosses the underlying position of the subject, the latter is moved into the specifier of IP in order to derive the SOV order and regular object shift will therefore only be visible in the output when the adverb intervenes between the object and its trace. Consequently, the effect-on-output condition correctly predicts that regular object shift must cross (at least one) νP-adjunct in SOV languages like Dutch.

(39) • Regular object shift in SOV-languages

a. [νP O v [adverb [νP S tν [VP to tν V [VP tν to]]]]] (Merge adverb > Move O)
a’. [IP S I [νP O V [IP S I [VP to tν V [VP tν to]]]]]
b. [adverb [νP O v [IP S tν [VP to tν V [VP tν to]]]]] (Move O > Merge adverb)
b’. [IP S I [adverb [νP O V [IP S I [VP to tν V [VP tν to]]]]])

5.3 Verb movement and adverb placement

The discussion above implies that adverbs may also help in detecting verb movement: VP-adverbs are adjoined to VP and will therefore invariably be crossed by V-to-ν movement; sentential adverbs of the type crossed by regular object shift are adjoined to νP and will therefore invariably be crossed by V-to-I movement. Verb movements associated with the creation of extended VP- or νP-projections, on the other hand, only need to cross the VP- and νP-adjuncts when this is forced by the effect-on-output condition.

6 Two concluding remarks

Section 4 has proposed a new word order typology which, contrary to the more traditional six-way distinction, is based on the hierarchical structure of the clause: it is assumed (i) that there are a number of movement potentials for verbs and their nominal arguments and (ii)
that languages differ in the extent to which these potentials are actually realized. Furthermore, Section 5 has shown that movement is often only indirectly observable by inspection of the relative order of the verb and its nominal arguments, on the one hand, and certain types of adverbial phrases, on the other. This section will conclude the discussion with two brief remarks. The first concerns a number of empirical facts that suggests that the relatively simple typology in Table (27) may have to be complicated in at least two ways and the second concerns the well-known fact that verb movement and object movement are not entirely independent but sometimes interact; cf. Holmberg’s Generalization. This fact will be used to overcome a potential objection against the approach defended in this article.

6.1 Tripartitions

The typology in Table (27) is based on the idea that the movements made available by $C_{HL}$ are optional, which implicitly suggests that there is a set of binary parameters that determine whether a given movement does or does not apply, but this is not what we actually find; this section will show that we are arguably dealing with tripartite parameters instead. A first example of such a tripartite parameter involves regular object shift. Even if we just consider the Scandinavian languages it is clear that it does not suffice to make a distinction between languages like Icelandic that do and languages like Finnish-Swedish that do not allow for this type of object shift. In addition there are languages like Danish that do have regular object shift but only with pronominal DPs. This is illustrated by means of the contrast between the Icelandic (a)-examples and Danish (b)-examples in (40), where the angled brackets indicate alternative placements of the object.7

(40)   a.  Jón  las   <þessa bók>  ekki <þessa bók>.          [non-pronominal DP]
      Jón  read    this book    not

   a’. Jón  las   <hana>  ekki <*hana>.               [pronominal DP]
      Jón  read    it     not

   b.  Hvorfor  læste  studenterne  <*artiklen>  ikke <artiklen>?  [non-pronominal DP]
      why read   the students  the article    not

   b.  Hvorfor  læste  studenterne  <den>  ikke <*den>?      [pronominal DP]
      why read   the students  it    not

The contrast between Icelandic and Danish in (40) therefore shows that we are actually dealing with a tripartition: languages may exhibit “full” object shift, no object shift at all, or “partial” object shift, that is, object shift with pronominal DPs only. This shows that the typology proposed in Table (27) is actually too simple: next to the language types with “full” short/regular object shift, we expect to find languages with “partial” object shift only.

Something similar may be expected in the domain of verb movement. Section 3 has already shown that English verb movement exhibits a dichotomy between auxiliary/modal verbs and main verbs: whereas the former can be placed in the I-position, the latter cannot. The relevant data are repeated in simplified form in (41).

(41)   a.  John  I    often  sees Mary.

   b.  John  has  often  seen Mary.

This contrast again suggests that we are dealing with a tripartition: languages may exhibit “full” verb movement, no verb movement at all or “partial” verb movement, that is, verb movements with non-main verbs only. Again this will complicate the typology in Table (27).

7 Example (40a) illustrates another familiar fact about regular object shift, namely that it can be blocked by information structural considerations: A shifted object cannot be part of the new information of the clause. I will not ignore this in what follows.
6.2 Interaction of verb movement and object shift

The word order typology proposed in this article is based on the idea that the typologically
different languages arise as the result of the extent in which they exhibit movement of the
verb and its nominal argument. Despite the potential of this approach, Chomsky (1995: 368)
suggested that verb movement is actually not a syntactic process, but rather involves some
phonological “rearrangement” process, which applies after spell-out in the phonological
component of the grammar; see also Boeckx & Stjepanovic (2001), who explicitly argue that
head-movement in general is a PF phenomenon. Eliminating head movement from core
syntax would, of course, completely undermine the present undertaking of setting up a new
word order typology and thus merits further scrutiny.

Although the original motivation for assuming that verb movement is not part of core
syntax was theory-internal in the sense that it repairs a deficit of the multiple specifier
approach (see note 4), Chomsky’s (2001:15) provides an important conceptual reason for
assuming that head movement is not a syntactic phenomena: he claims that verb movement
exhibits a property that is expected of “displacement rules interspersed in the phonological
component”, namely that they have “little semantic effect”. The English examples in (42)
show, however, that this claim is disputable. The difference between the two examples is
normally accounted for by assuming that the modal occupies the I-position in (42a) but is
moved into the C-position in (42b). This movement clearly has a semantic effect as it changes
the illocutionary force of the sentence: (42a) is declarative, whereas (42b) is interrogative. I
refer the reader to Lechner (2005) for more complex evidence against the claim that verb
movement has “little semantic effect”.

(42)  a.  John will go to the movies.
    b.  Will John go to the movies?

Another reason to doubt that verb movement is phonological in nature is related to
Holmberg’s (1986/1999) Generalization, that is, the fact that Scandinavian regular object
shift cannot cross the main verb. This is illustrated in (43): the finite main verb in (43a) has
been moved into I and object shift is therefore possible; the past participle in (43b), on the
other hand, is still vP-internal and therefore blocks object shift.

(43)  a.  Jón keypti <bókina> ekki <*bókina>.
    Jón bought the book not
    b.  Jón hefur <*bókina> ekki keypt <bókina>.
    Jón has the book not bought

Chomsky (2001) conclusively argues that regular object shift must be a syntactic operation
on the basis that its applicability depends on the information structure of the clause and thus
has semantic import. But if this is so, verb movement must also be syntactic: the
phonological component follows syntax, so that assuming that verb movement is part of
phonology amounts to saying that the main verb always remains VP-internally in syntax:
Holmberg’s Generalization would therefore wrongly predict that object shift always crosses
the main verb and thus can never apply in Icelandic; see Den Dikken (2007) for more reasons
to assume that V-movement is syntactic.

In short, Chomsky’s claim that verb movement is part of the phonological component
is based on an erroneous claim concerning the semantic impact of verb movement and is
incompatible with Chomsky’s (2001) correct claim that (the restrictions on) Scandinavian
object shift must receive a syntactic account: interaction between object shift and verb
movement is only expected when verb movement and regular object shift are both part of
core syntax.
7 Conclusion

This article has proposed a new word order typology which, contrary to the more traditional six-way distinction, is based on the hierarchical structure of the clause. Although Section 6.1 has shown that there may be some complications, the new typology is as given in Table (27), repeated here for convenience as (44).

(44) Word order typology

<table>
<thead>
<tr>
<th>Spec1</th>
<th>I</th>
<th>Spec2</th>
<th>v-ext</th>
<th>Subj</th>
<th>v</th>
<th>Spec3</th>
<th>V-ext</th>
<th>V</th>
<th>Obj</th>
</tr>
</thead>
<tbody>
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Section 5 has argued that the distinguished types can be recognized by taking the relative position of certain types of adverbial phrases into account. This sets a new goal for typological research since relatively little is known about the position of verbs and their nominal arguments relative to adverbial phrases: The World Atlas on Syntactic Structures, for example, is entirely silent on the issue.

References


Haider, Hubert. 2000. OV is more basic than VO. In The derivation of VO and OV, ed. Peter Svenonius, 45-67. Amsterdam/Philadelphia, John Benjamins.


